



# WE - Heraeus Physics School

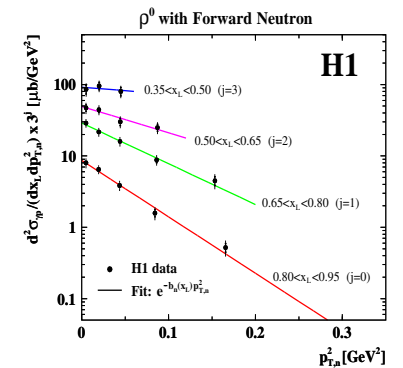
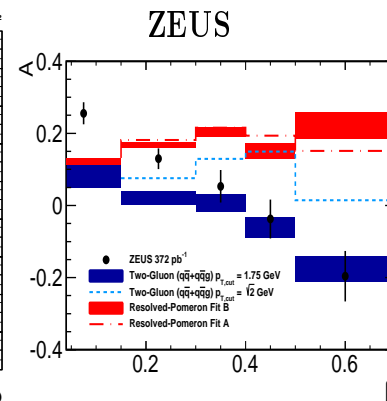
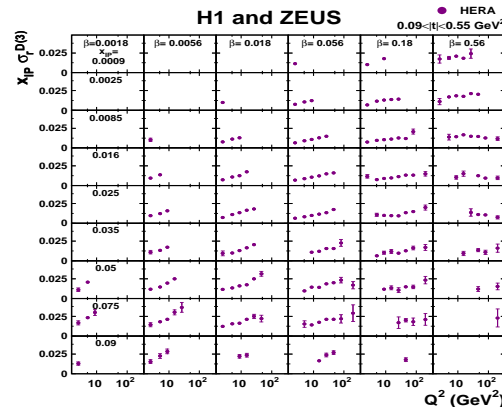
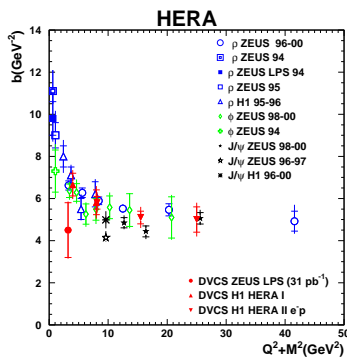
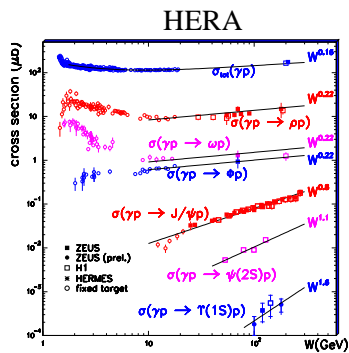
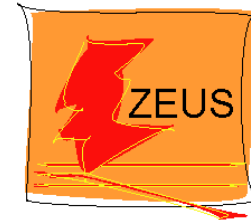
Diffraction and electromagnetic processes  
at high energies

Bad Honnef, August 17 - 21, 2015

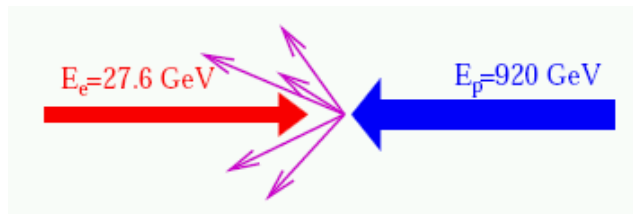
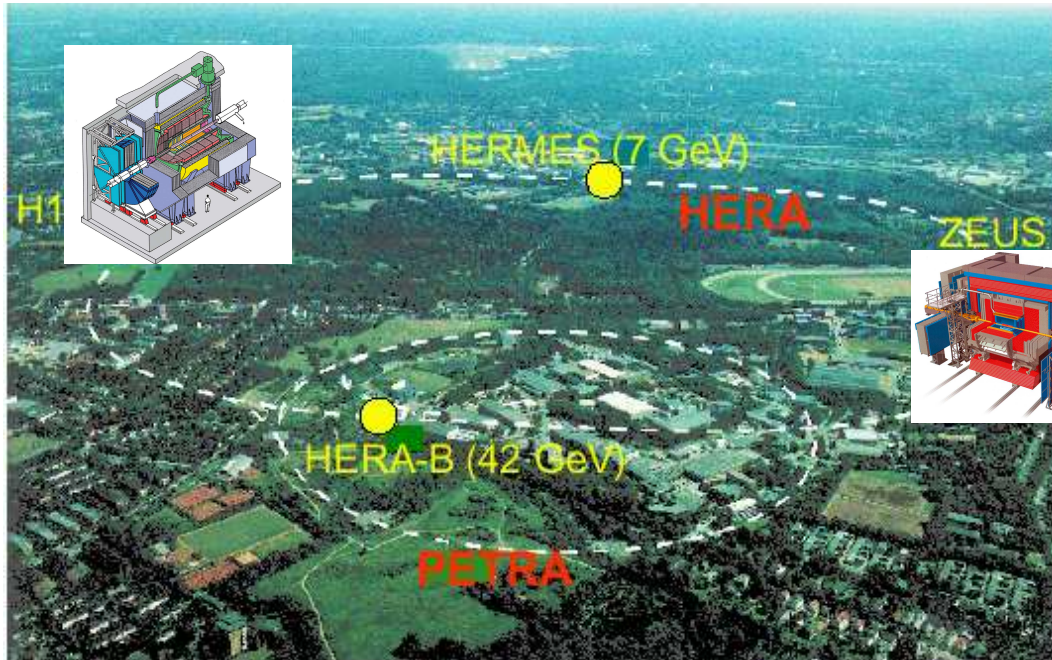
# Diffraction and forward physics at HERA



S. Levonian, DESY



# HERA: The World's Only ep Collider



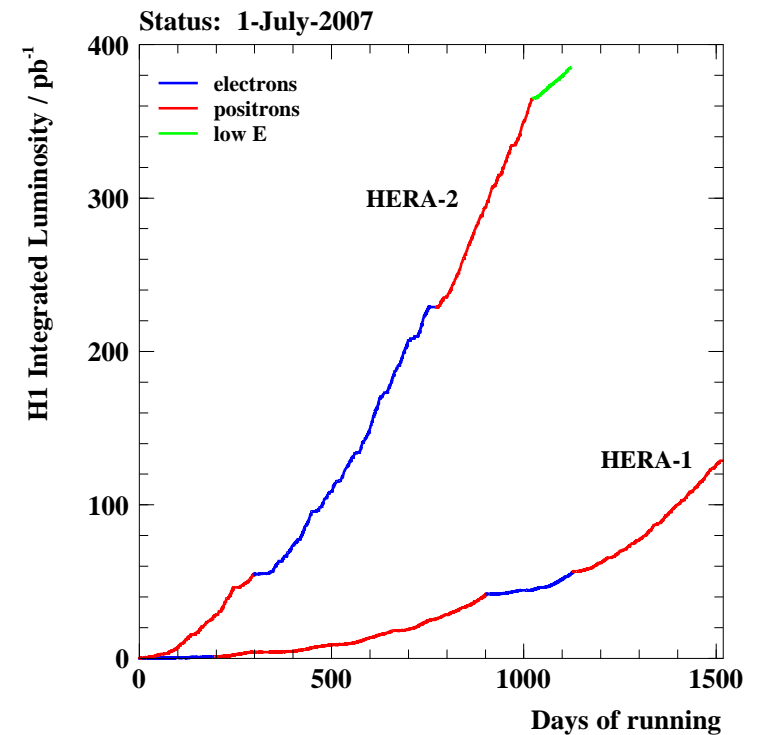
- 1998  $E_p$  upgrade:  $820 \Rightarrow 920$  GeV  
( $\sqrt{s}$  :  $301 \Rightarrow 319$  GeV)
- 2001 HERA-2 upgrade:  $\mathcal{L} \times 3$ , Polarised  $e^+/e^-$   
( $\langle P \rangle = 40\%$ )

HERA-1 (1993-2000)  $\simeq 120 \text{ pb}^{-1}$

HERA-2 (2003-2007)  $\simeq 380 \text{ pb}^{-1}$

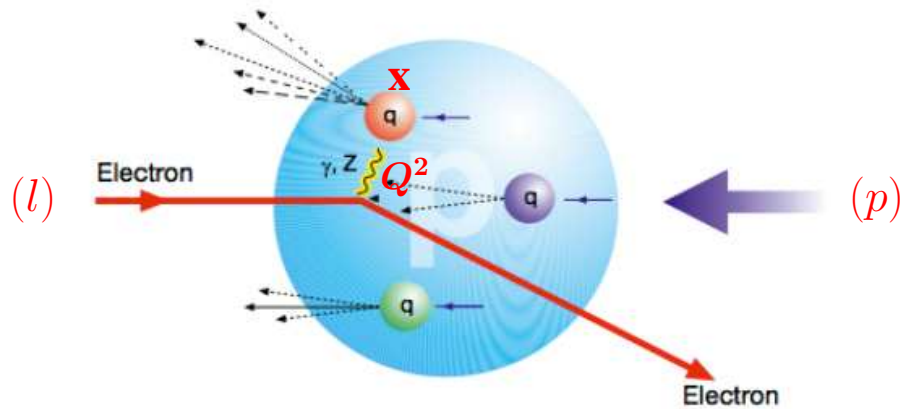
Final Data samples

H1+ZEUS:  $2 \times 0.5 \text{ fb}^{-1}$

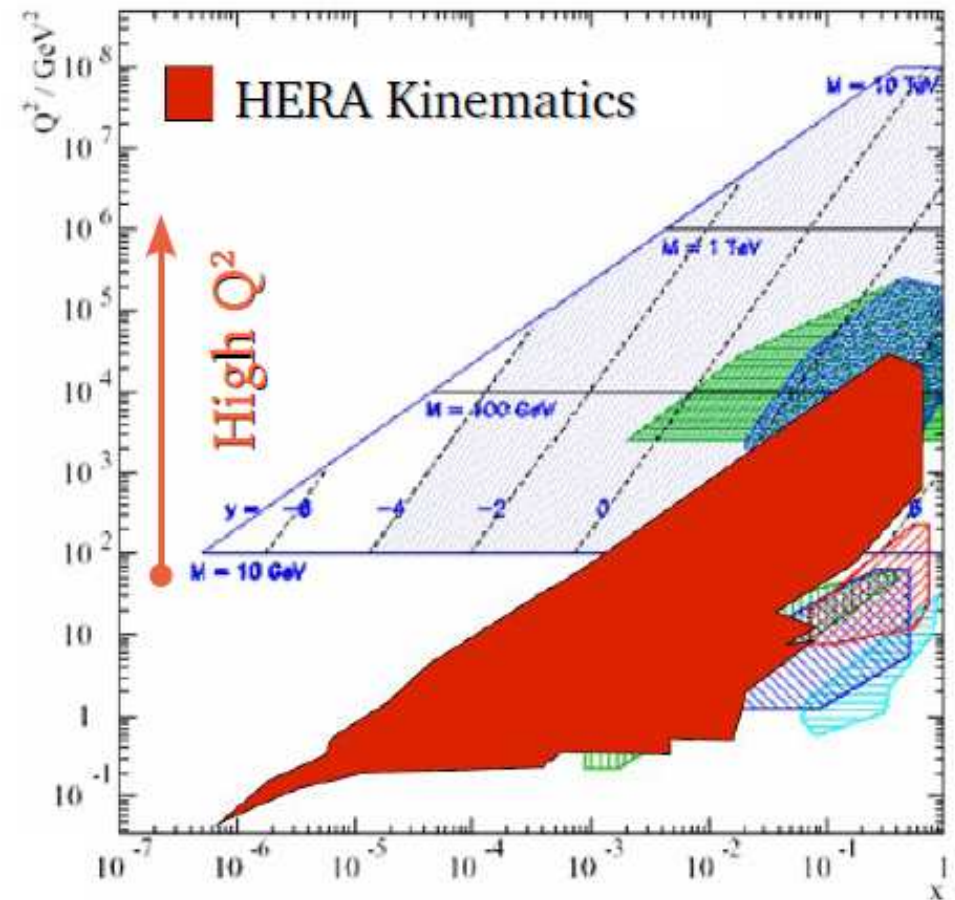
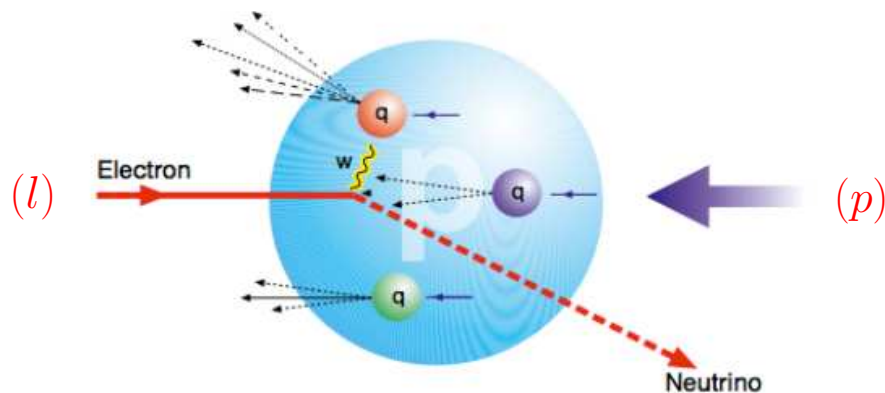


# Deep-Inelastic Scattering at HERA

Neutral Current DIS:  $ep \rightarrow e'X$



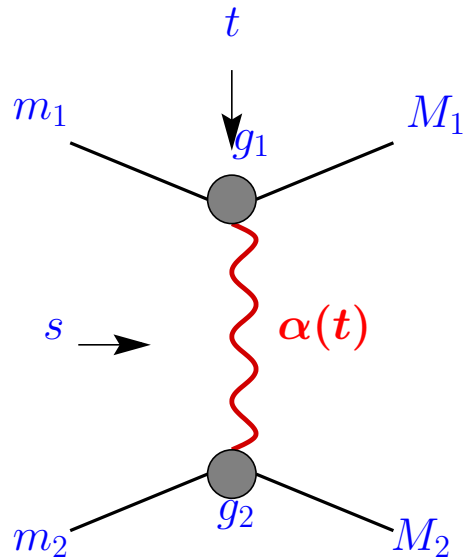
Charged Current DIS:  $ep \rightarrow \nu X$



Kinematics: (Momentum transfer)<sup>2</sup>:  $Q^2 = -q^2$   
 Bjorken  $x$ :  $x = Q^2 / (2p \cdot q)$   
 Inelasticity:  $y = (p \cdot q) / (p \cdot l)$   
 (Total hadronic energy)<sup>2</sup>:  $W^2 = (p + q)^2$   
 $W^2 \simeq Q^2 / x$

# Two approaches to Strong Interactions

## 1. Regge Pole Model $\Rightarrow$ RFT

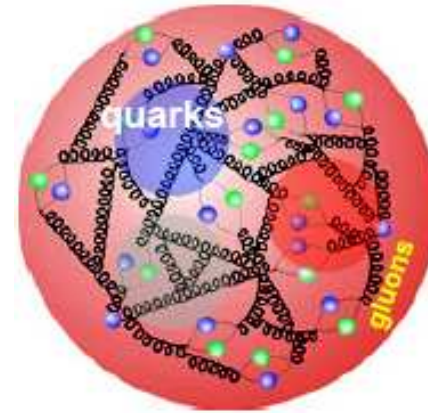


$$A(s, t) =$$

$$g_1(m_1, M_1, t) g_2(m_2, M_2, t) \frac{s^{\alpha(t)} \pm (-s)^{\alpha(t)}}{\sin(\pi\alpha(t))}$$

hadronic language

## 2. Quark-Parton Model $\Rightarrow$ QCD



$$\sigma_{ab} =$$

$$\int f_{i/a}(x_i, \mu^2) \cdot f_{j/b}(x_j, \mu^2) \cdot \hat{\sigma}_{ij}(x_i, x_j, \mu^2)$$

sub-hadronic language

**Ultimate goal: derive (1) from (2)**

- **Hadronic** degrees of freedom
  - Validity: large  $s \gg t$
  - $\mathbb{P}$  dominates:  $\alpha_{\mathbb{P}}(0) > \alpha_{\mathbb{R}}(0)$   
 $\rightarrow \sigma_{\text{tot}} \propto s^{\alpha_{\mathbb{P}}(0)-1}$
  - Unitarity corrections unavoidable  
 $(\sigma_{\text{tot}} \leq \ln^2(s/s_0) \text{ at } s \rightarrow \infty)$
  - When?  $s_{\text{sat}} = ?$
  - First to be seen in diffraction:  $\sigma_D \propto s^{2(\alpha-1)}$
- **Partonic** degrees of freedom
  - Low  $x$ :  $W^2 \gg Q^2, t$  ( $Q^2/W^2 \simeq x \ll 1$ )
  - gluons dominate:  $xg(x) \gg xq_{\text{val}}(x)$   
 $F_2(x, Q^2) \propto xg(x) \sim x^{-\lambda}$
  - Saturation of the  $xg(x)$   
(non-linear effects, shadowing, ...)
  - $x_{\text{sat}}(Q_{\text{sat}}) = ?$
  - First to be seen in diffraction:  $\sigma_D \propto |xg(x)|^2$

$\Rightarrow$  Diffraction  $\equiv$  Physics of the Pomeron,  
the essence of strong interactions

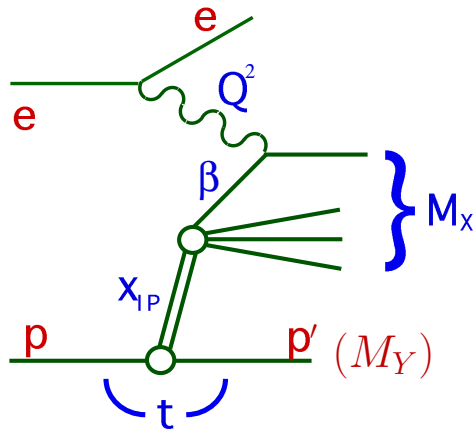
$\Rightarrow$  Diffraction  $\equiv$  Gluodynamics,  
the essence of QCD

(in high energy limit)



# Diffraction at HERA

- Fundamental aim: understand high energy limit of QCD (gluodynamics; CGC ?)
- Novelty: for the first time probe partonic structure of diffractive exchange
- Practical motivations: study factorisation properties of diffraction; try to transport to  $hh$  scattering (e.g. predict diffractive Higgs production at LHC)



$$x_{IP} = \xi = \frac{Q^2 + M_X^2}{Q^2 + W^2}$$

(momentum fraction of colour singlet exchange)

$$\beta = \frac{Q^2}{Q^2 + M_X^2} = x_{q/IP} = \frac{x}{x_{IP}}$$

(fraction of exchange momentum, coupling to  $\gamma^*$ )

$$t = (p - p')^2$$

(4-momentum transfer squared)

## Experimental methods:

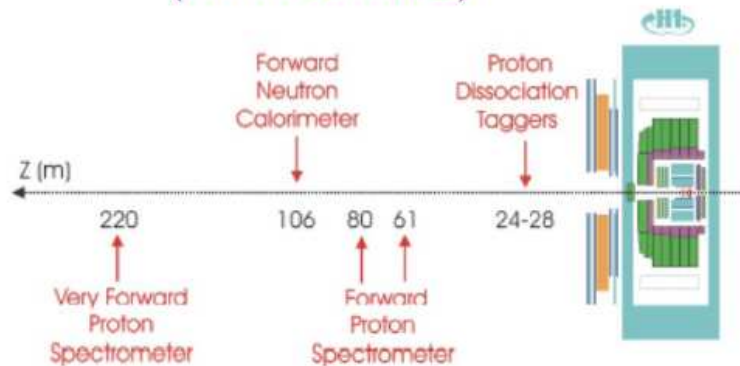
- 1) selecting LRG events
- 2) detecting  $p$  in Roman Pots  
(60 – 220 m from IP)



# Selection of Diffractive Events

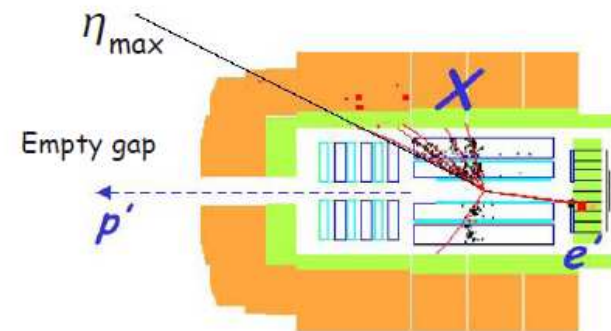
Measure the leading proton

→ Forward spectrometers  
(H1 FPS/VFPS)



- $x_{\text{IP}}$  and  $t$  measurements
- Less statistics
- p-tagging systematics

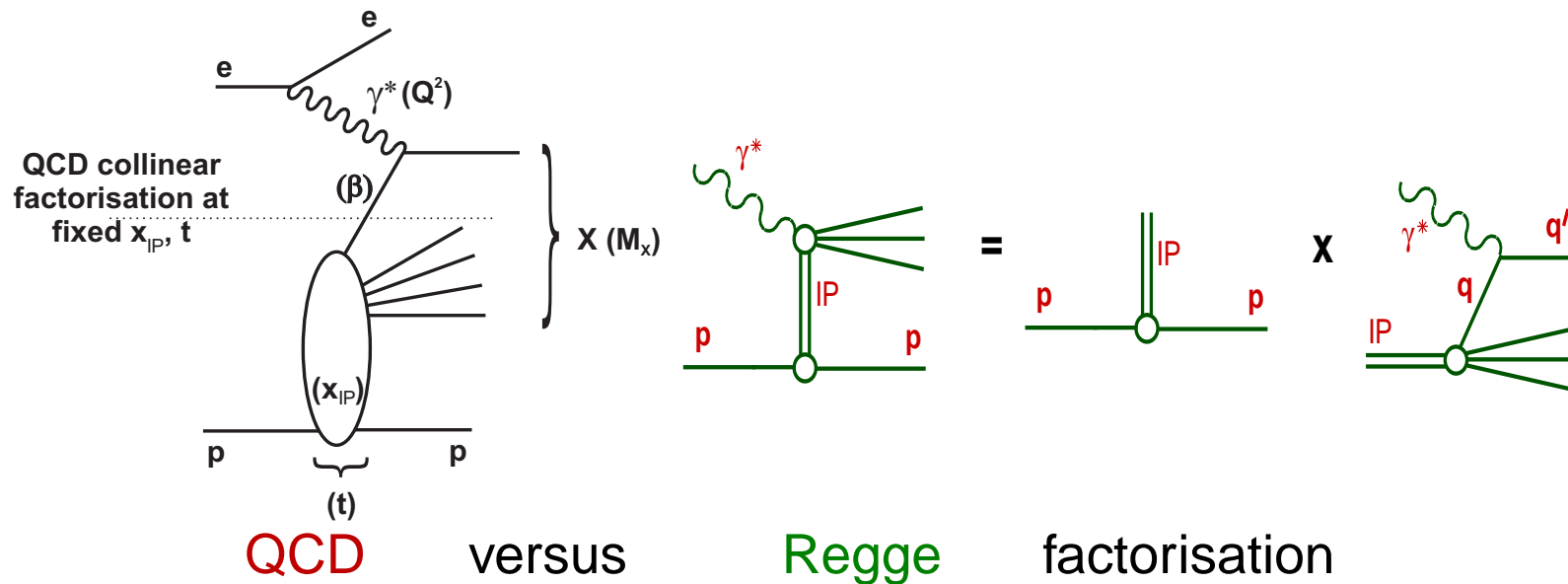
Measure a Large Rapidity Gap



- Data integrated over  $|t| < 1 \text{ GeV}^2$
- High statistics
- Contamination from proton dissociation events  
→ Needs to be controlled

- ↘ Different systematics
- ↘ Different kinematic coverage

# Factorisation properties in diffraction



## QCD factorisation

(rigorously proven for DDIS by Collins et al.):

## Regge factorisation

(conjecture, e.g. RPM by Ingelman, Schlein):

$$\sigma_r^{D(4)} \propto \sum_i \hat{\sigma}^{\gamma^* i}(x, Q^2) \otimes f_i^D(x, Q^2; x_{IP}, t)$$

- $\hat{\sigma}^{\gamma^* i}$  – hard scattering part, same as in inclusive DIS
- $f_i^D$  – diffractive PDF's, valid at fixed  $x_{IP}, t$  which obey (NLO) DGLAP

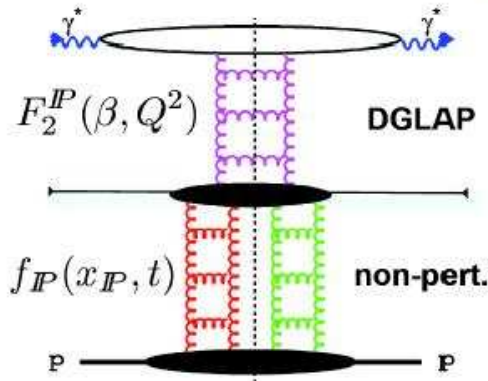
$$F_2^{D(4)}(x_{IP}, t, \beta, Q^2) = \Phi(x_{IP}, t) \cdot F_2^{IP}(\beta, Q^2)$$

- In this case shape of diffractive PDF's is independent of  $x_{IP}, t$  while normalization is controlled by Regge flux  $\Phi(x_{IP}, t)$



# QCD based approaches to DDIS: Partons vs Dipoles

- Infinite momentum frame: **partons**



- Factorization is assumed.

$$F_2^D = f_{IP}(x_{IP}, t) F_2^{IP}(\beta, Q^2)$$

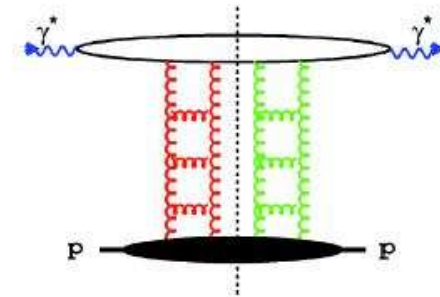
$$f_{IP} = \frac{e^{bt}}{x_{IP}^{2\alpha_{\mathbb{P}}-1}}$$

- Diffractive parton densities can be derived.

Resolved Pomeron model

(Ingelman, Schlein - 1985)

- Proton rest frame: **dipoles**

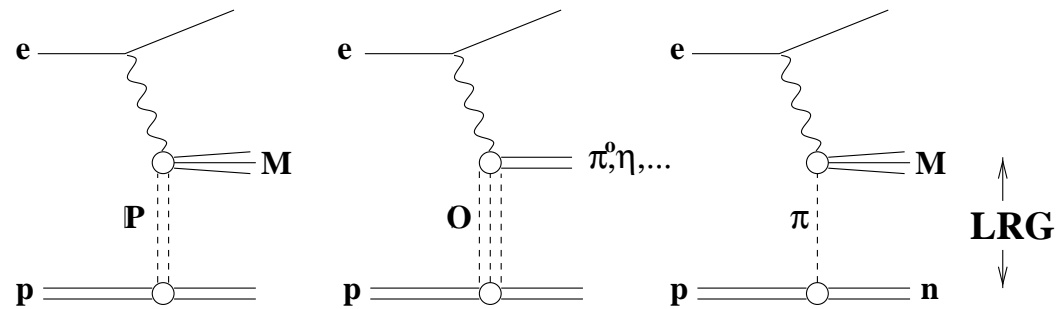


- Long-living quark pair interacts with the gluons from the proton.

$$d\sigma_{diff}^{\gamma^* p} / dt \propto \int dz dr^2 \Psi^* \sigma_{qg}^2(x, r^2, t) \Psi$$

- Direct relation to inclusive DIS.
- Incorporates saturation dynamics.
- No extra parameters for diffraction are needed.

## Selected Results



■ Inclusive diffraction and DPDF: Pomeron under the microscope

■ Diffractive dijets and QCD factorisation tests

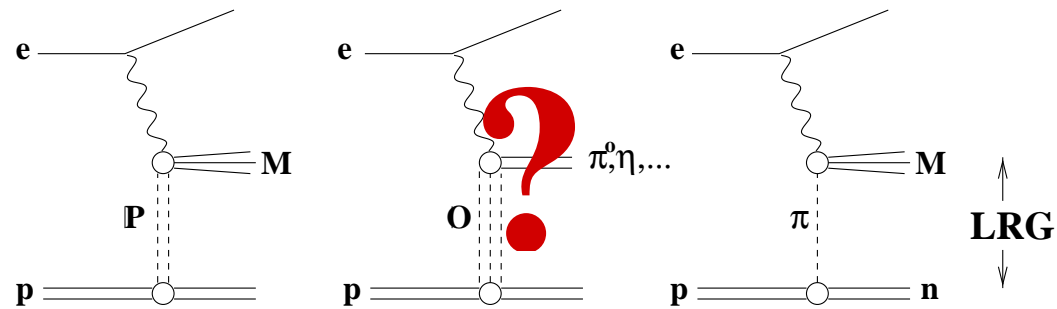
■ Vector Mesons and DVCS: soft vs hard Pomeron

■ Leading neutrons and  $\gamma\pi^+$  cross sections

- ▷ forward neutrons and photons and CR models
- ▷ inclusive neutrons in DIS and pion structure function
- ▷ exclusive  $\rho^0$  with forward neutron in PHP

■ Summary and open questions

# Selected Results



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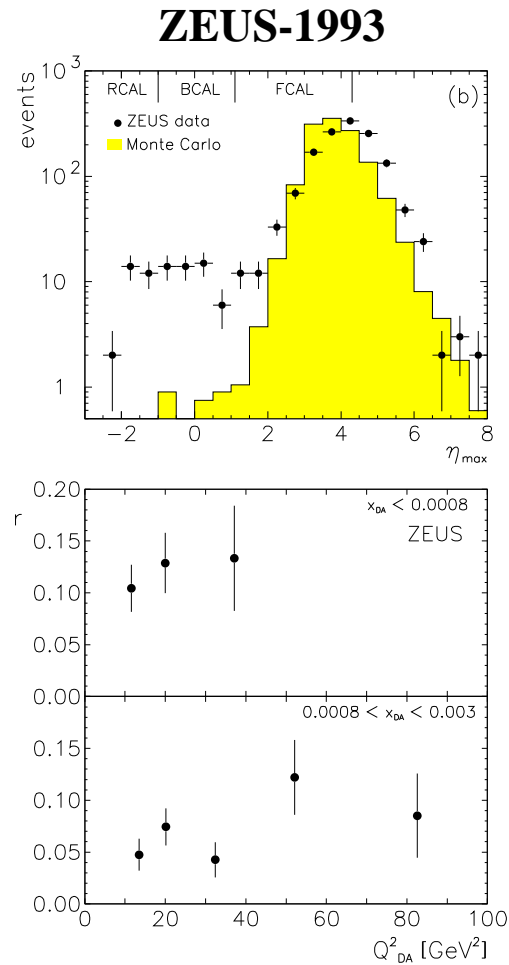
■ Leading neutrons and  $\gamma\pi^+$  cross sections

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■ Summary and open questions

# **Inclusive diffraction in DIS**

# 20 years of Diffraction in DIS

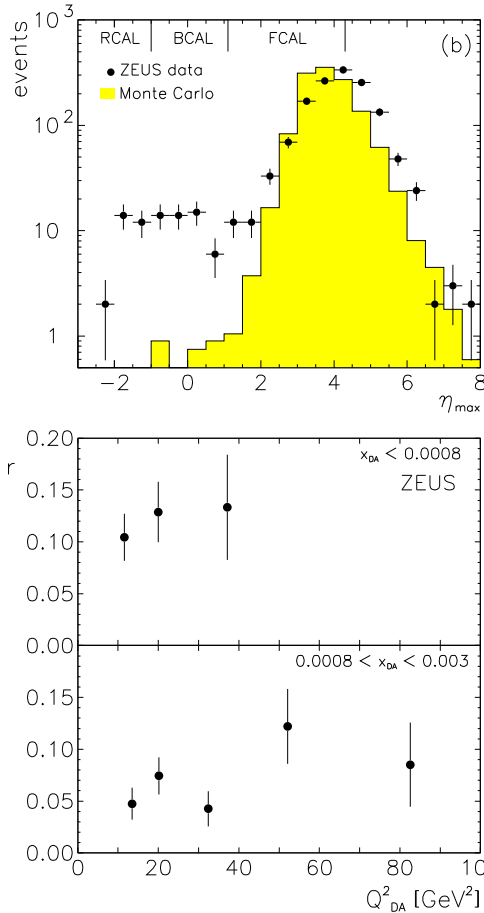


First observation  
of diffraction in DIS  
1992 data,  $24.7 \text{ nb}^{-1}$



# 20 years of Diffraction in DIS

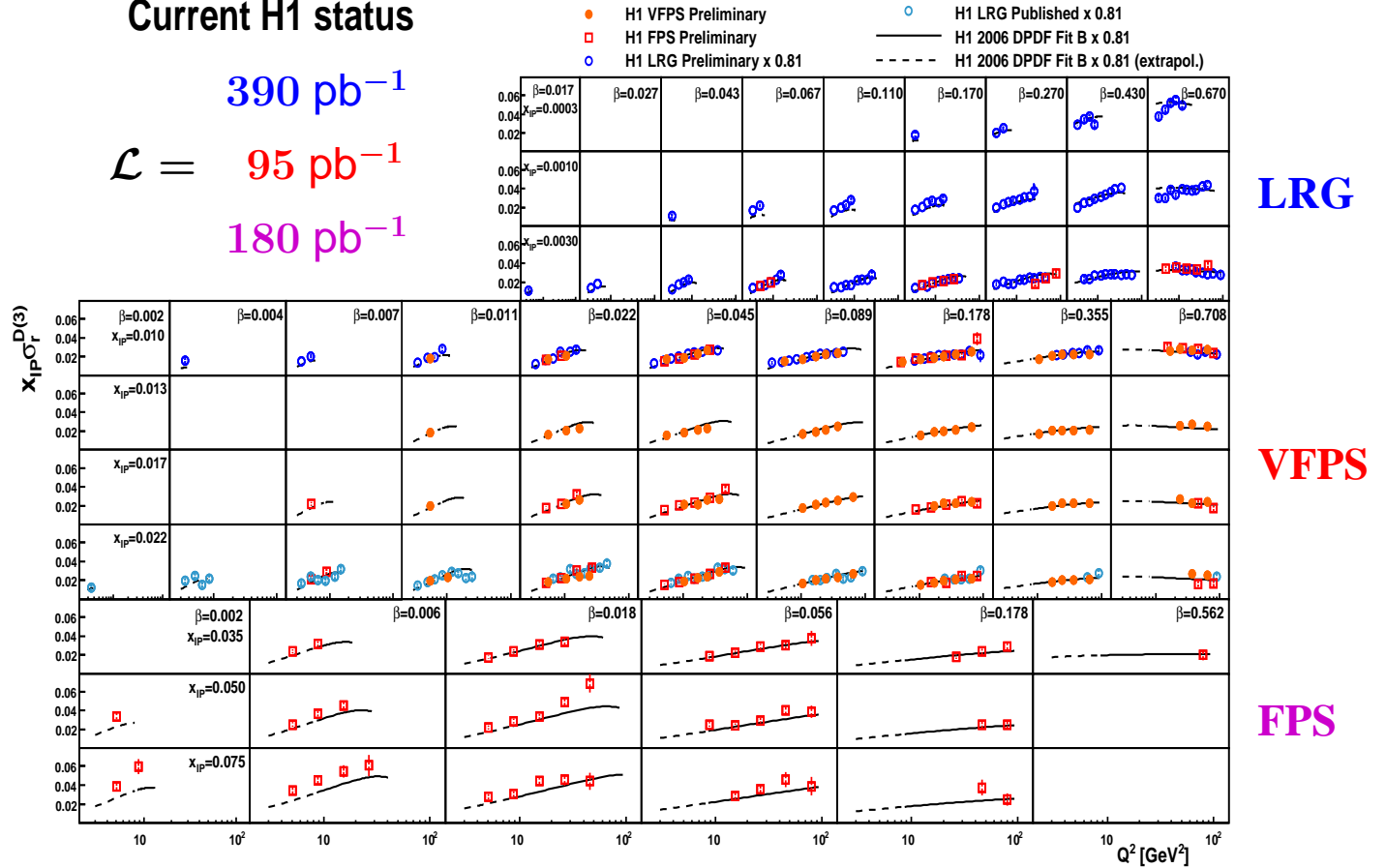
## ZEUS-1993



First observation  
of diffraction in DIS  
1992 data,  $24.7 \text{ nb}^{-1}$

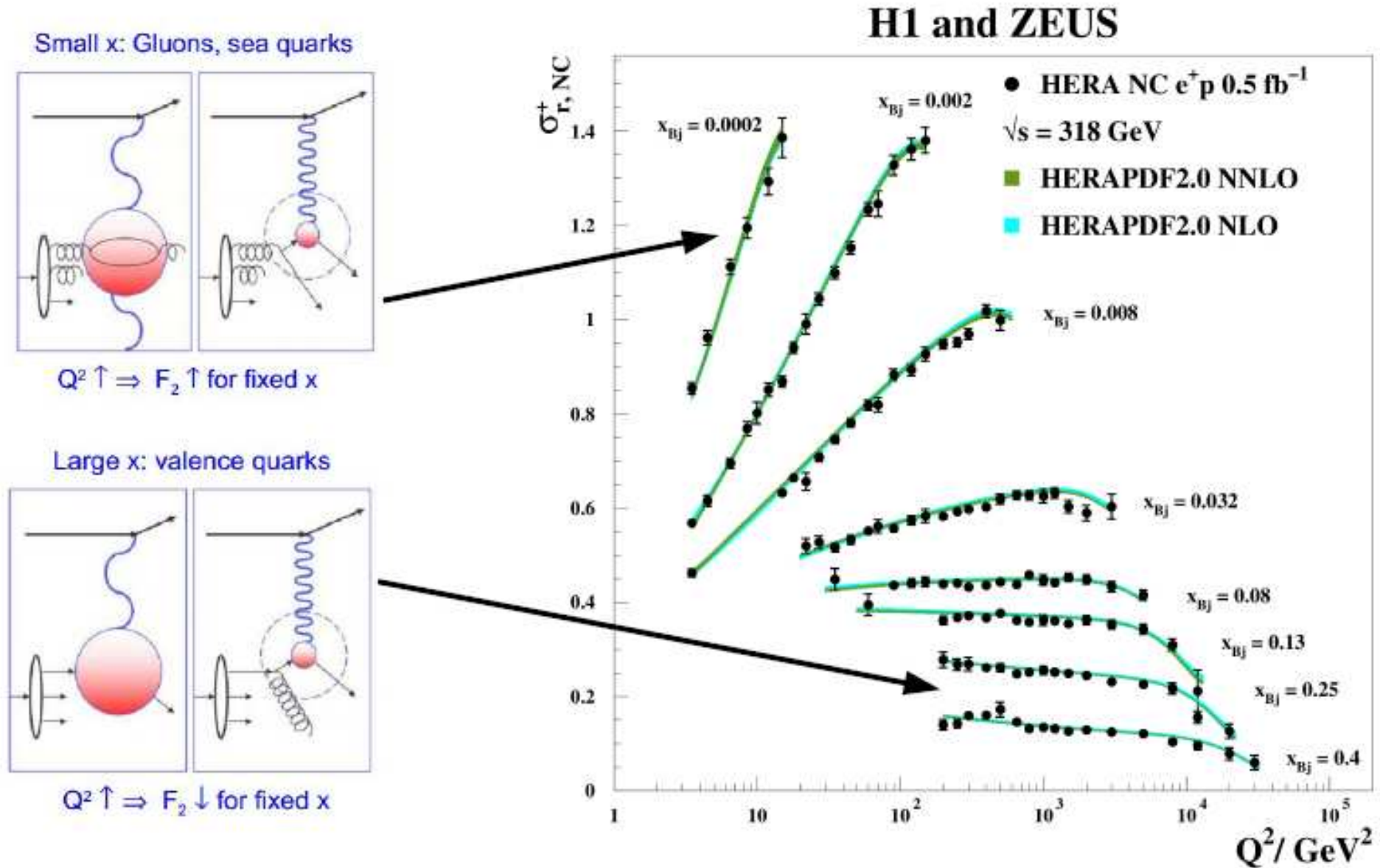
## Current H1 status

$\mathcal{L} = 390 \text{ pb}^{-1}$   
 $95 \text{ pb}^{-1}$   
 $180 \text{ pb}^{-1}$

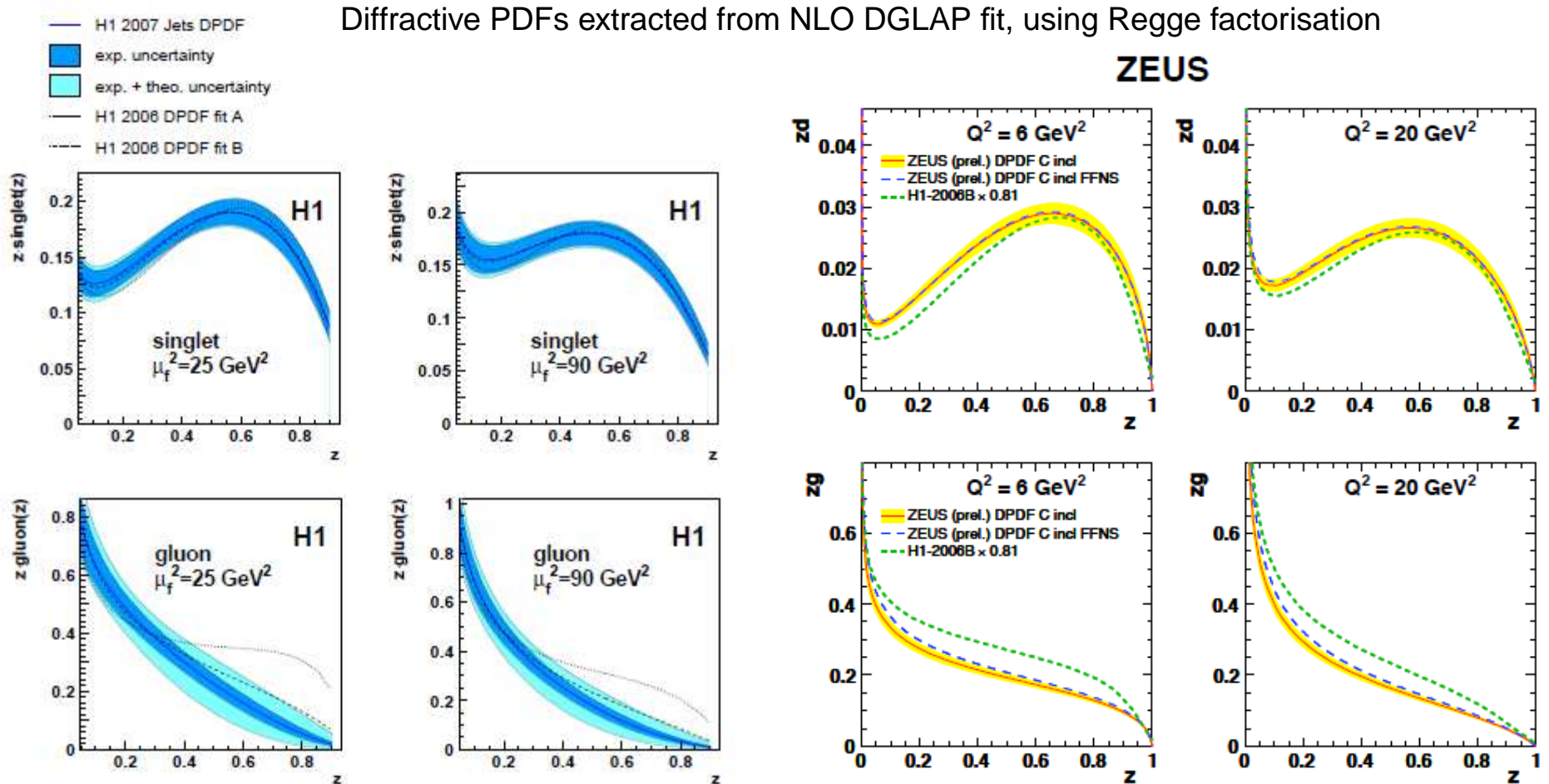


- Compelling confirmation of the NLO QCD picture of diffraction over a wide kinematic range. Clear candidate for the textbook!
- Positive scaling violation up to large  $\beta \Rightarrow$  gluon dominated  $IP$

# Compare to scaling violation in Inclusive NC DIS

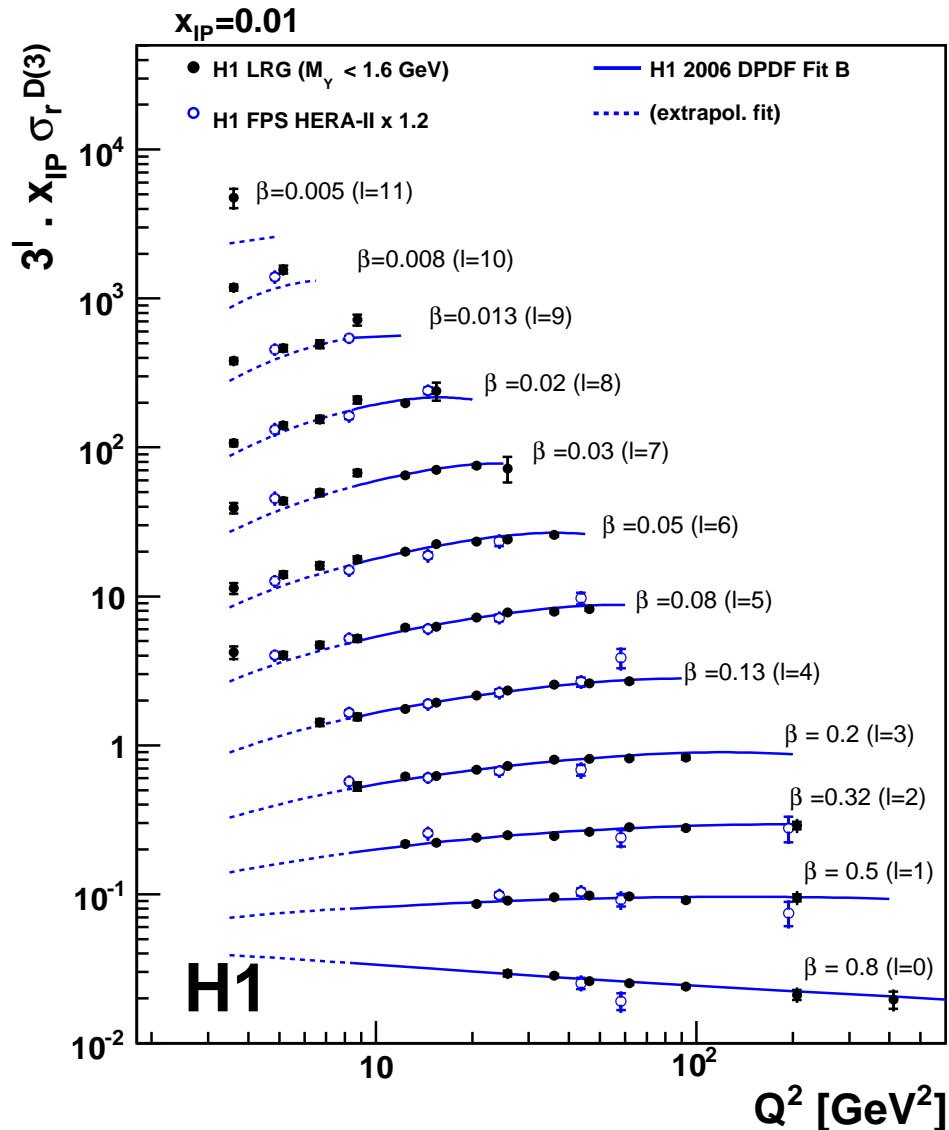


# Diffractive PDFs as determined by H1 and ZEUS



- ⇒ DPDFs are consistent in shape,  $\sim 10\%$  difference in normalisation
- ⇒ Jets help to constrain high  $z$  gluons
- ⇒ Gluons carry  $\sim (70 - 75)\%$  of the Pomeron momentum

# Inclusive DDIS: LRG vs p-tagged methods



Compare LRG and FPS cross sections

Ratio LRG/FPS:

$$\frac{\sigma(M_Y < 1.6 \text{ GeV})}{\sigma(Y=p)} = 1.203 \pm 0.019(\text{exp}) \pm 0.087(\text{norm})$$

(1.6%)
(7.2%)

➡ Experimental control on the amount of proton dissociation in LRG data

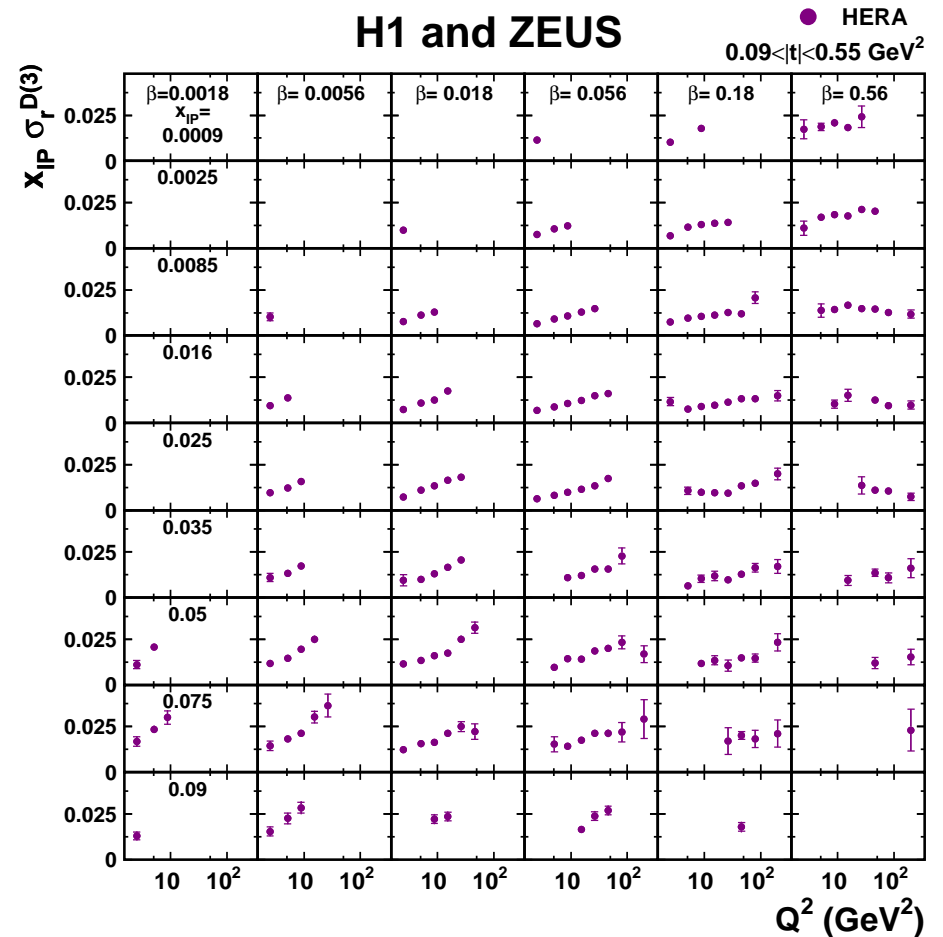
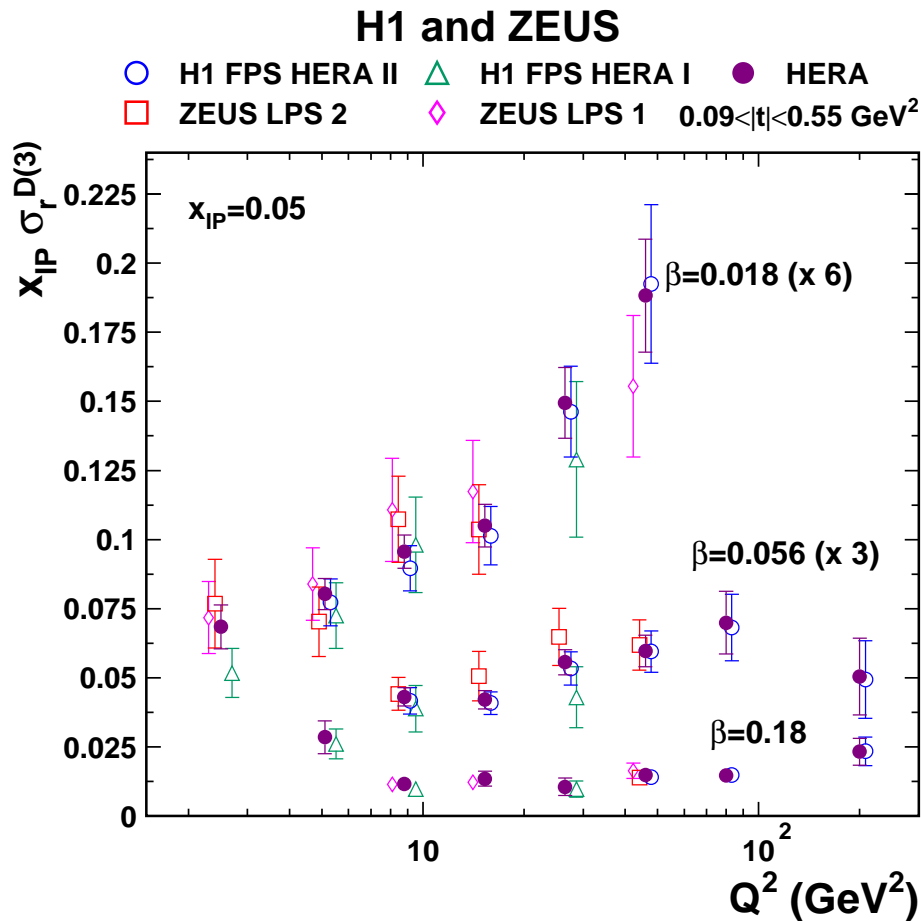
➡ No  $Q^2$  or  $\beta$  dependent differences observed





# First H1 + ZEUS combination in diffraction

*Eur. Phys. J. C72 (2012) 2175*

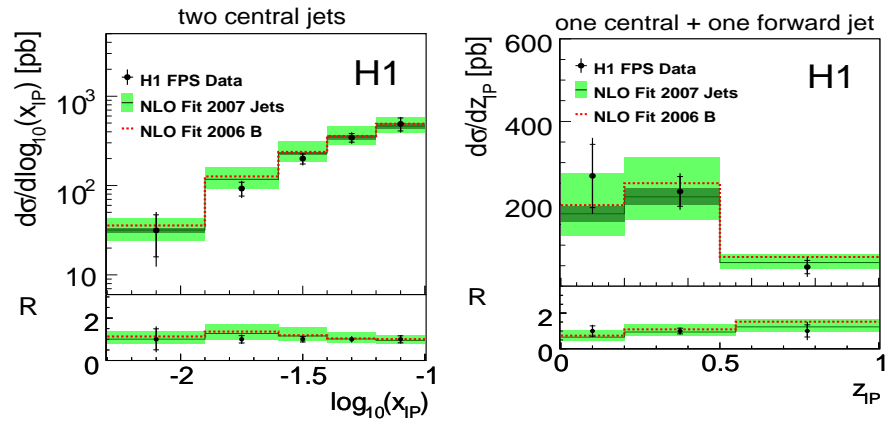


To do: final QCD analysis of all H1 + ZEUS data (LRG and  $p$ -tagged)  $\Rightarrow$  DPDF

# **Diffraction dijets**

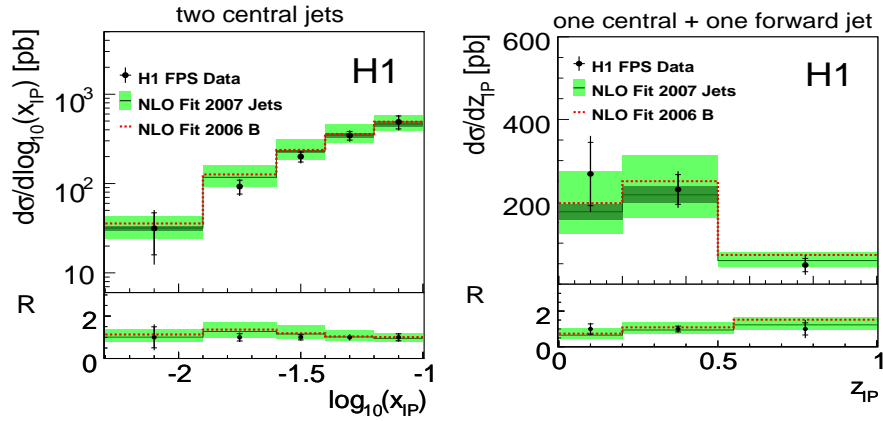
# QCD Factorisation Tests in Diffraction at HERA

QCD Factorisation holds in DIS regime (*EPJ, C72, 2012*)



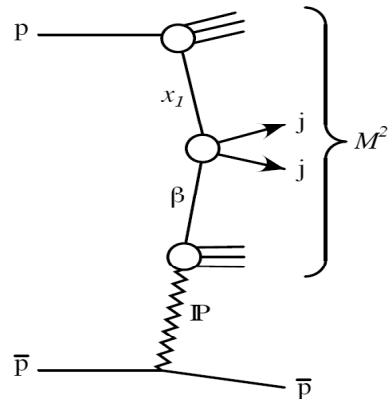
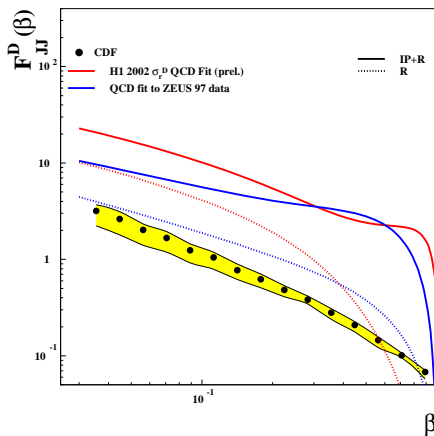
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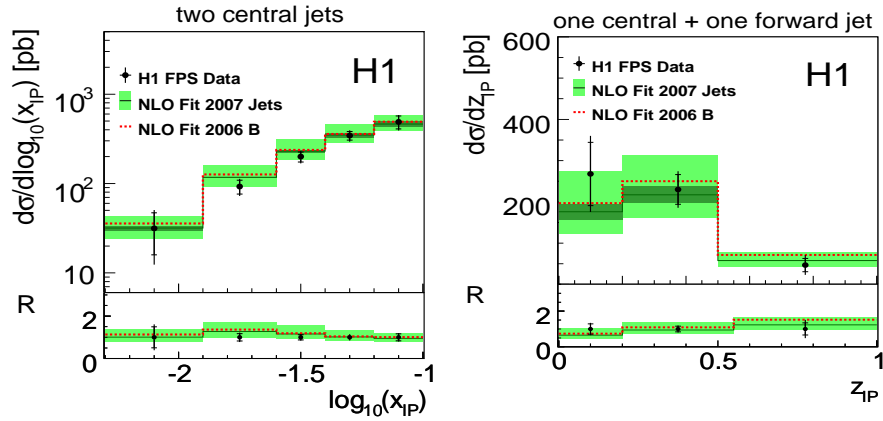
However, it breaks down at Tevatron ...

Tevatron vs HERA



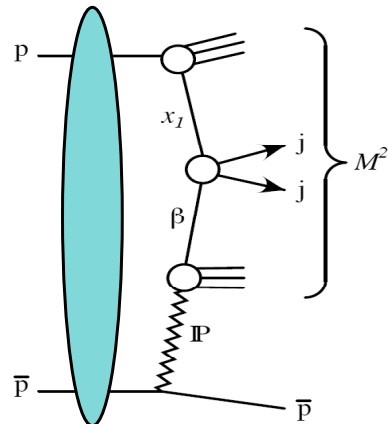
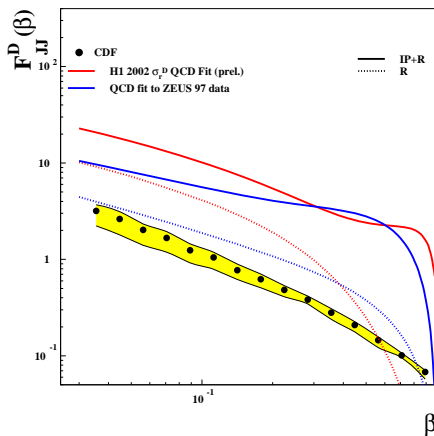
# QCD Factorisation Tests in Diffraction at HERA

QCD Factorisation holds in DIS regime (*EPJ, C72, 2012*)



However, it breaks down at Tevatron ...  
 ...due to soft remnant rescattering ( $S \sim 0.15$ )

Tevatron vs HERA

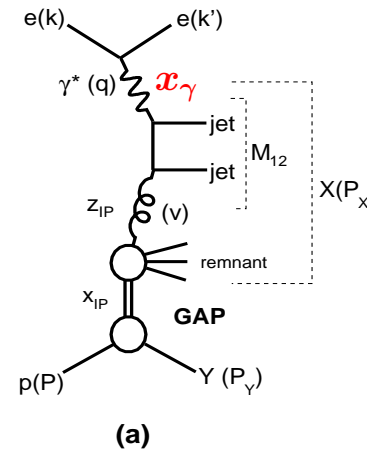
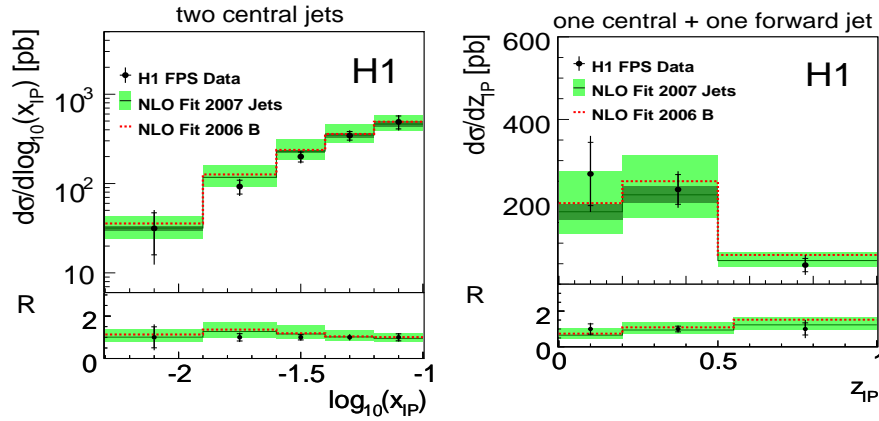




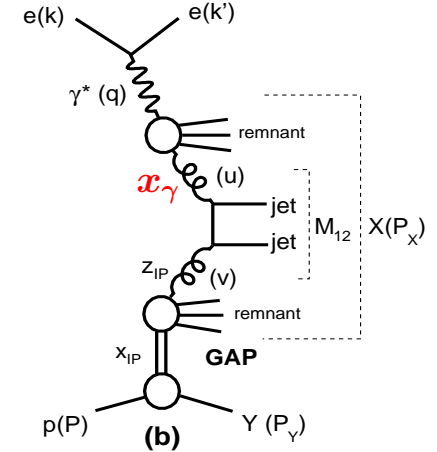
# QCD Factorisation Tests in Diffraction at HERA

QCD Factorisation holds in DIS regime (EPJ, C72, 2012)

⇒ Test it in photoproduction:



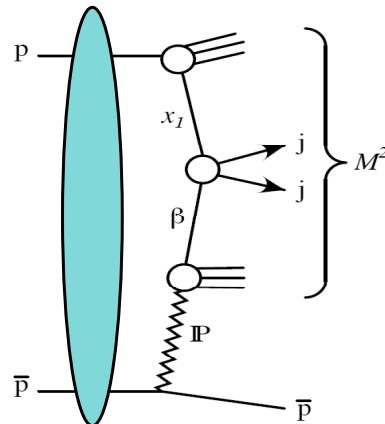
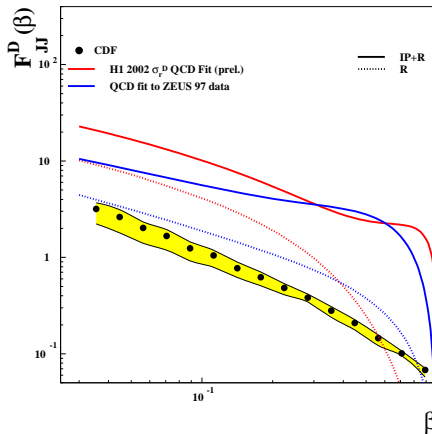
direct,  $x_\gamma = 1$  (DIS-like)



resolved,  $x_\gamma < 1$  (hadron-like)

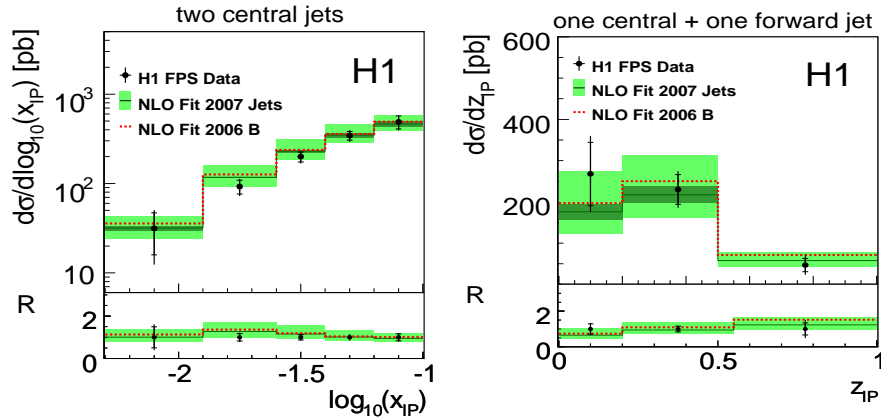
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Tevatron vs HERA

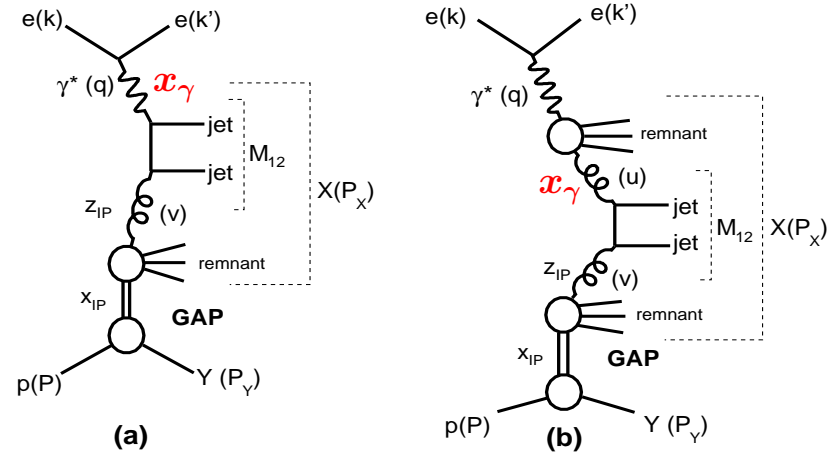


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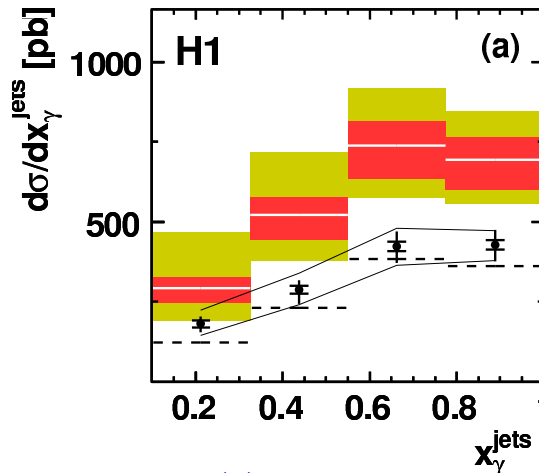
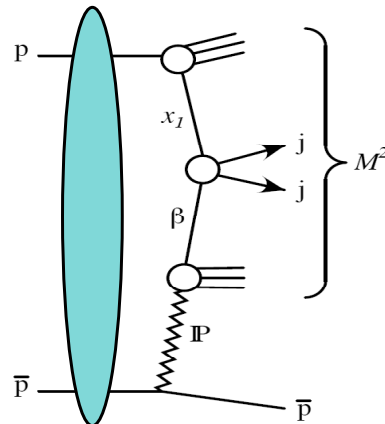
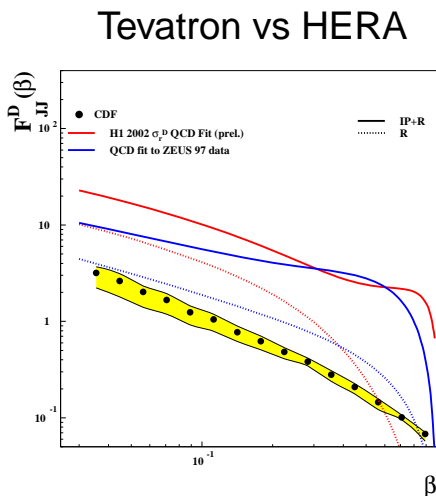
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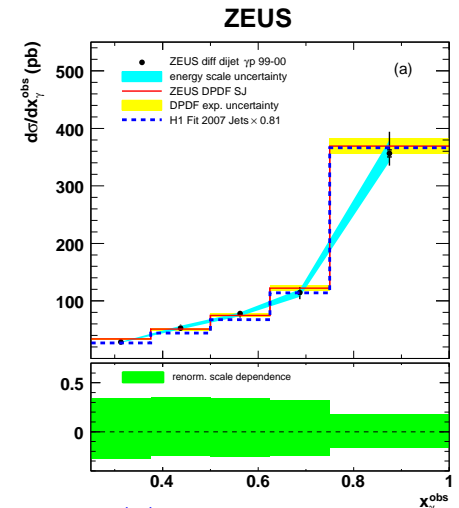


However, it breaks down at Tevatron ...  
 ...due to soft remnant rescattering ( $S \sim 0.15$ )



$E_T^{j(2)} > 5(4) \text{ GeV}$

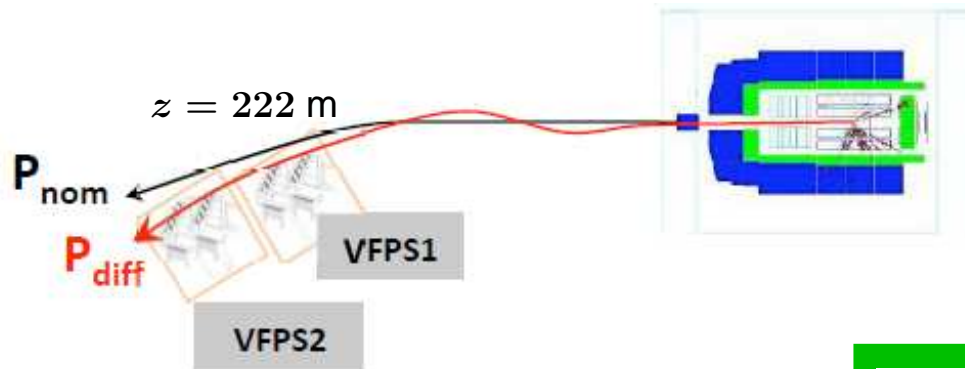
$S \approx 0.6$



$E_T^{j(2)} > 7.5(6.5) \text{ GeV}$

$S \approx 1.0$

# New analysis: VFPS Dijets in DIS and PHP



- 2006/07  $e^+p$  data,  $\mathcal{L} \approx 30(50) \text{ pb}^{-1}$
- Leading proton measured by VFPS
- Untagged photoproduction ( $e^+$  escapes in the beampipe)

Statistics: **3800** dijet events in PHP  
**550** dijet events in DIS

Data unfolded to the level of stable hadrons using *TUnfold* program

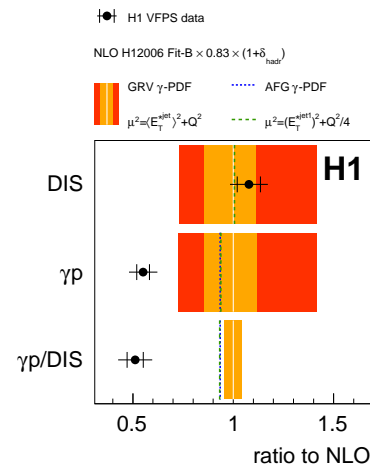
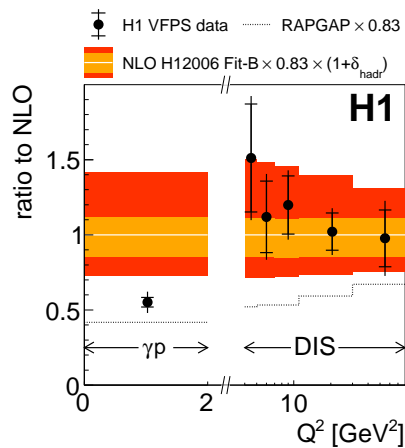
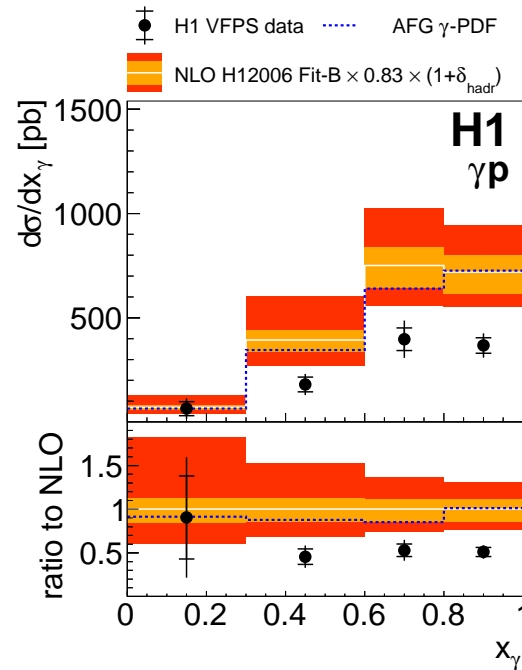
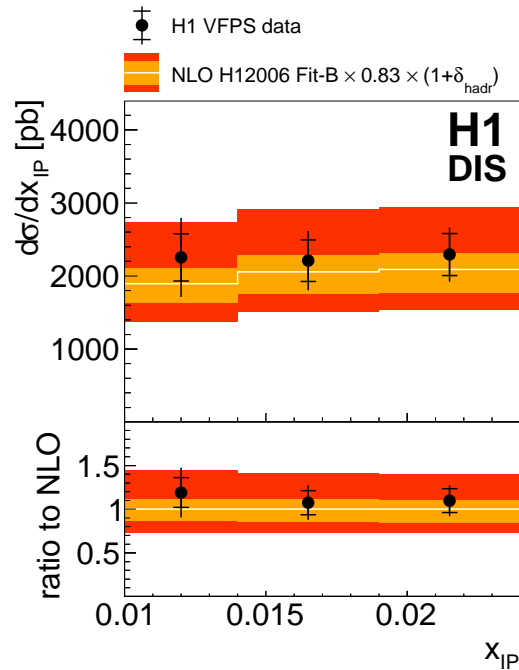
Results are compared to **NLO QCD**

- Scales:  $\mu_r^2 = \mu_f^2 = \langle E_{T,\text{jet}}^2 \rangle + Q^2$
- DPDF H1 2006 Fit B and GRV-HO  $\gamma$ -PDF used
- Different scale choices and  $\gamma$ -PDF studied

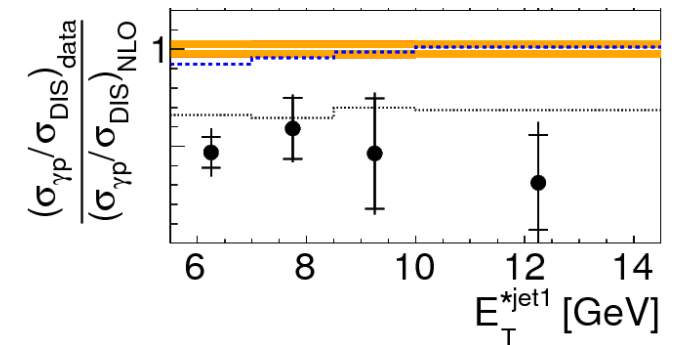
	Photoproduction	DIS
Event kinematics	$Q^2 < 2 \text{ GeV}^2$	$4 < Q^2 < 100 \text{ GeV}^2$
	$0.2 < y < 0.7$	
Leading proton	$0.01 < x_{IP} < 0.024$	
	$ t  < 0.6 \text{ GeV}^2$	
	$z_{IP} < 0.8$	
Dijets	$E_T^{*jet1} > 5.5 \text{ GeV}$	
	$E_T^{*jet2} > 4 \text{ GeV}$	
	$-1 < \eta^{\text{jet}1,2} < 2.5$	

**Table 1:** Analysis phase space.

# VFPS Dijets: Data vs NLO QCD



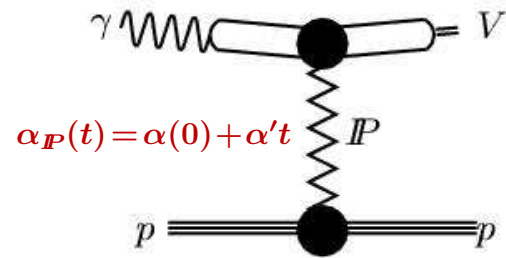
- DIS dijets in agreement with QCD factorisation
- Factorisation is broken in PHP  $\langle S^2 \rangle = 0.51 \pm 0.09$
- This is not related to  $p$  diss. ( $p$  tagged in VFPS)
- Independence on  $x_{\gamma}$  confirmed  
No jet  $E_T$  dependence observed



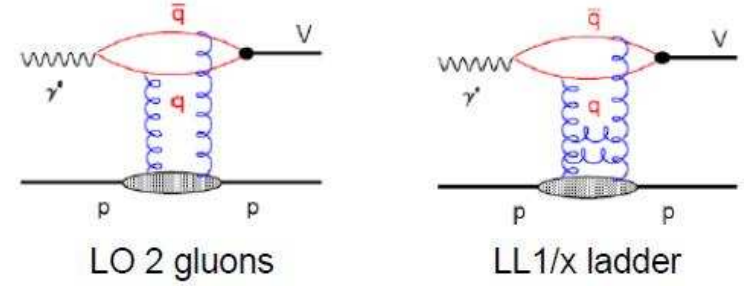
# **Vector Mesons and DVCS**

# Vector Mesons at HERA

soft  $\mathbb{P}$ omeron exchange

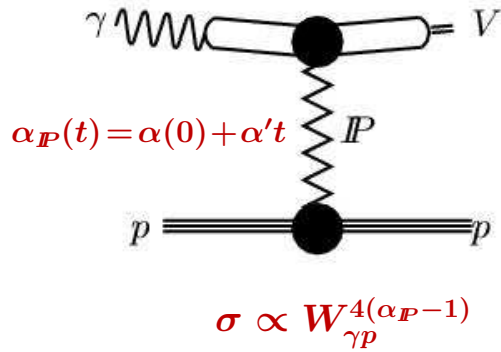


hard  $\mathbb{P}$ omeron diagrams



# Vector Mesons at HERA

soft  $\mathbb{P}$ omeron exchange



Hard scales:  $Q^2, M_V, t$

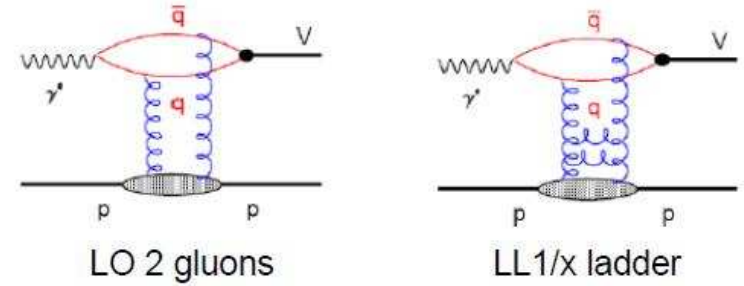
Predictions

$\alpha_{\mathbb{P}}(0) \simeq 1.08 / 1.20$

$\alpha'_{\mathbb{P}} \simeq 0.25 / 0.0$

Universal scale  $\mu^2 = (Q^2 + M_V^2)/4$

hard  $\mathbb{P}$ omeron diagrams

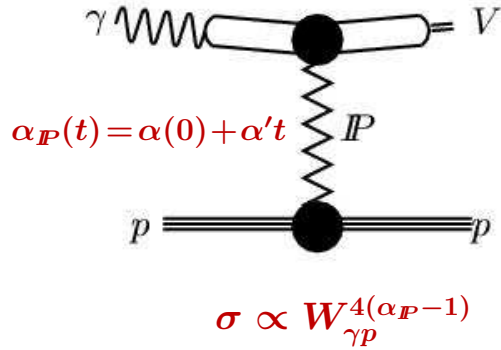


$\sigma \propto [xg(x, Q^2)]^2$

Exclusive VM production at HERA – a nice tool to study ‘soft’ vs ‘hard’ Pomeron regimes

# Vector Mesons at HERA

soft  $\mathbb{P}$ omeron exchange



Hard scales:  $Q^2, M_V, t$

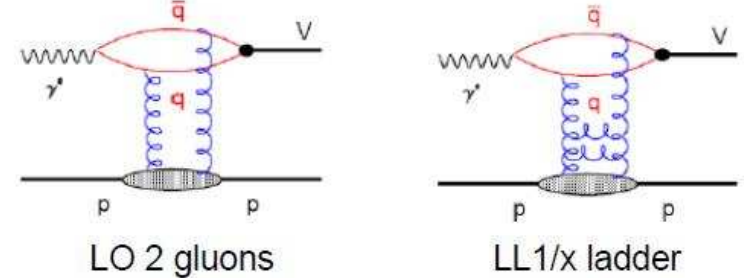
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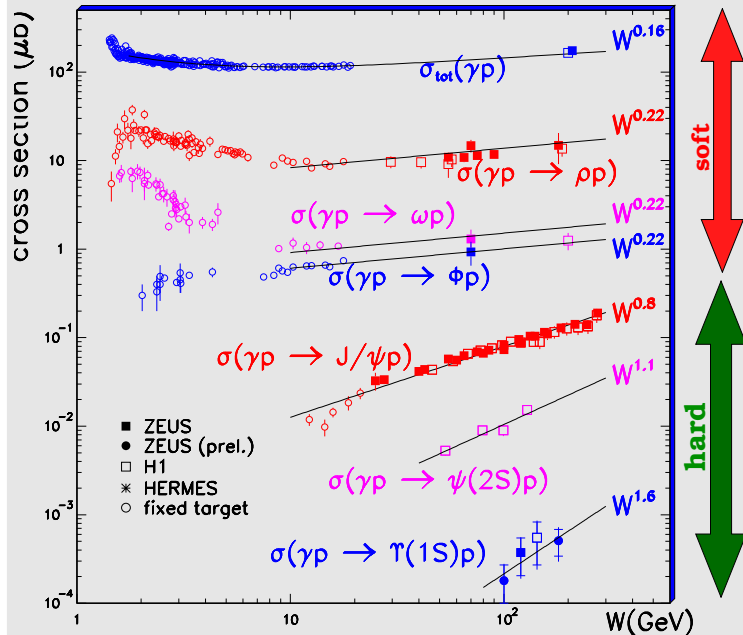
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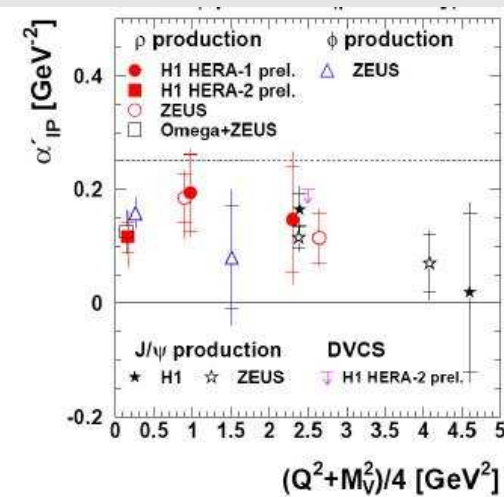
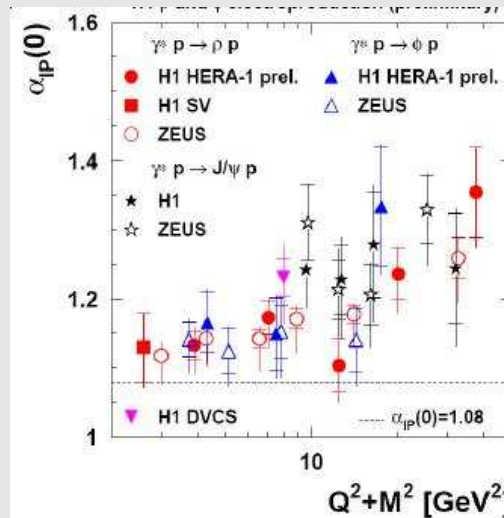


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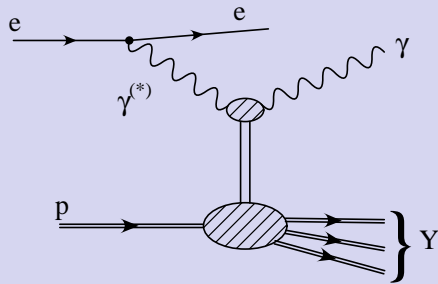


transition from soft to hard regime at  $\mu^2 \simeq 4 \div 5 \text{ GeV}^2$

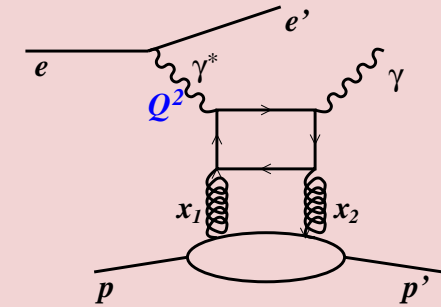
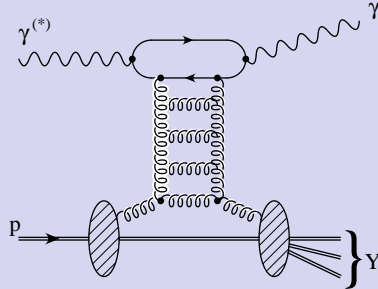




# Diffractive scattering of $\gamma$ at large $|t|$ and DVCS

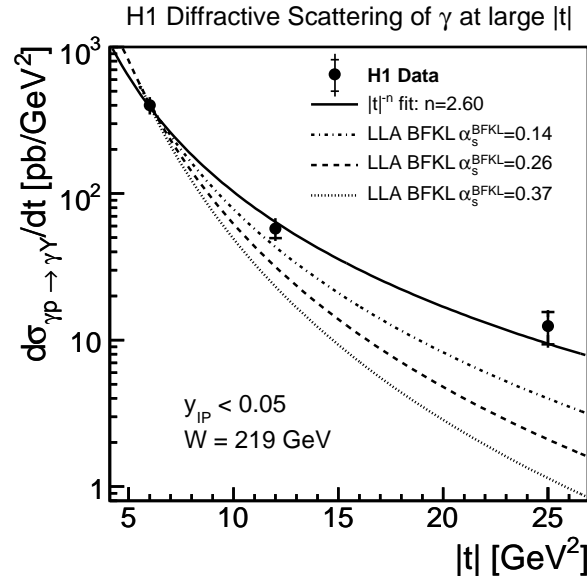
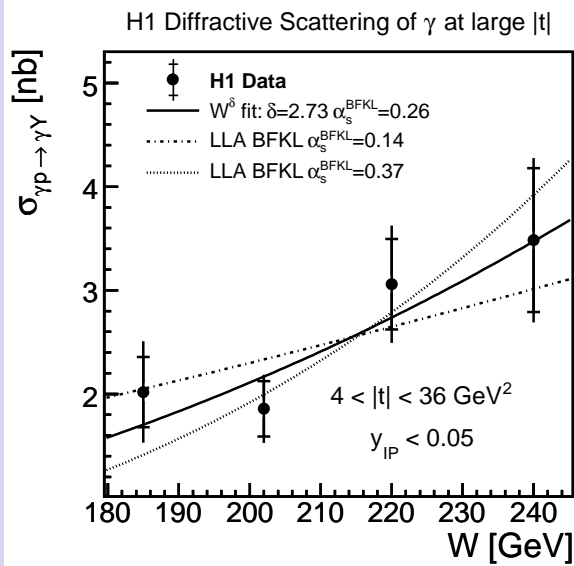


PHP ( $Q^2 < 0.01 \text{ GeV}^2$ )

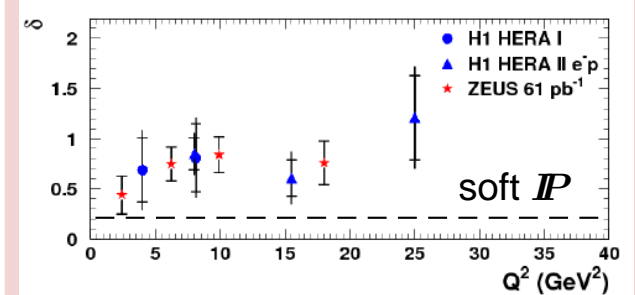
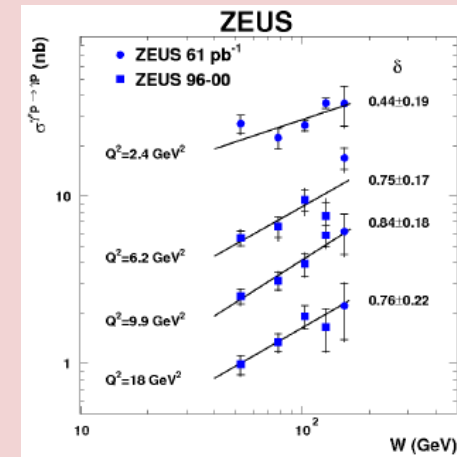


DIS ( $Q^2 > 2 \text{ GeV}^2$ )

$$\sigma(W) \propto W^{4\omega_0} \quad \omega_0 = 4N_c \frac{\alpha_s^{BFKL}}{\pi} \ln 2 \quad \frac{d\sigma}{dt} \propto |t|^{-n}$$



Hard Pomeron at work



## Vector Mesons at HERA: $t$ -dependence

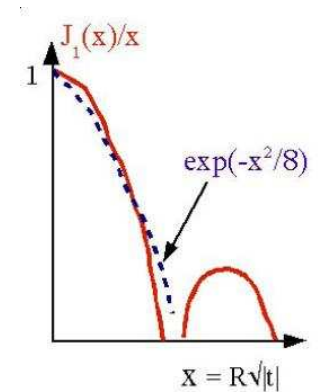
$d\sigma/dt \sim e^{-b|t|} \rightarrow$  diffractive peak (approximated from Bessel function)

$b = (R/2)^2 \rightarrow$  transverse size of the target (geometric picture)

Predictions:  $b = b_0 + 4\alpha'_{\mathbb{P}} \ln(W/W_0);$

soft  $\mathbb{P}$ : shrinkage of diffractive peak ( $\alpha'_{\mathbb{P}} = 0.25$ ); large  $b_0 \approx 10 \text{ GeV}^{-2}$

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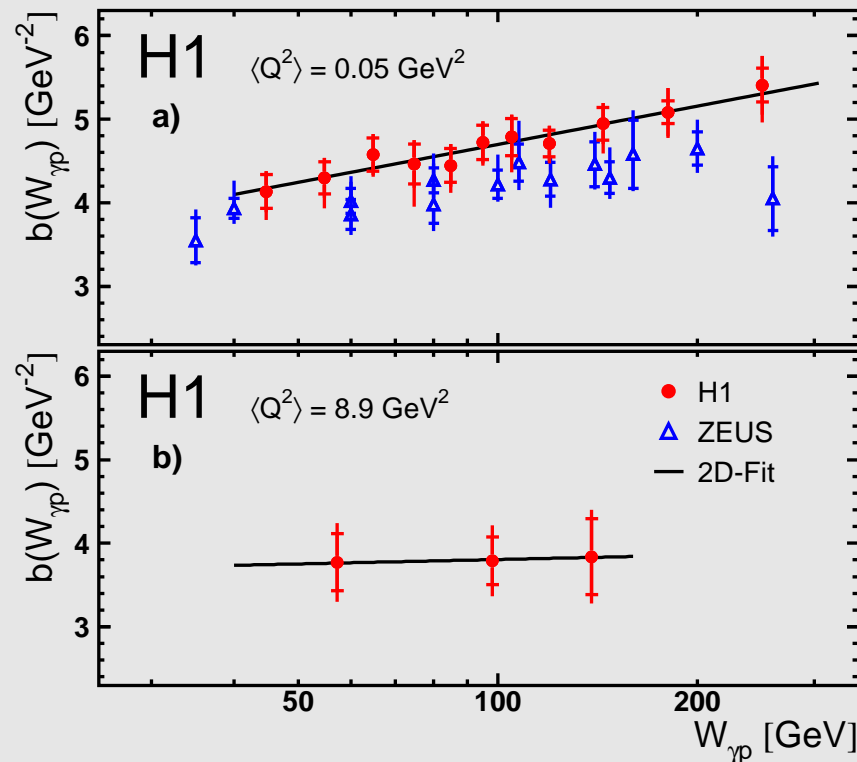
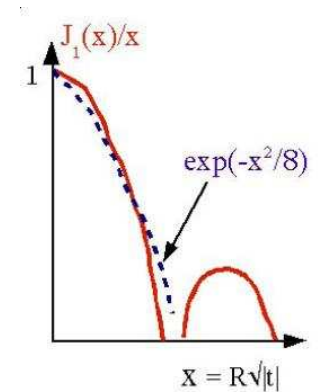
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Example: shrinkage in  $\gamma^*p \rightarrow J/\psi p$

$Q^2 < 1 \text{ GeV}^2$ :

$\alpha'_{\mathbb{P}} = 0.164 \pm 0.028 \pm 0.030$

$Q^2 = 2 - 80 \text{ GeV}^2$ :

$\alpha'_{\mathbb{P}} = 0.019 \pm 0.139 \pm 0.076$

# Vector Mesons at HERA: $t$ -dependence

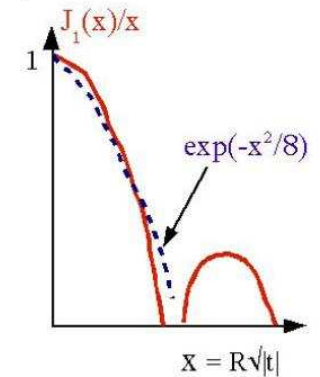
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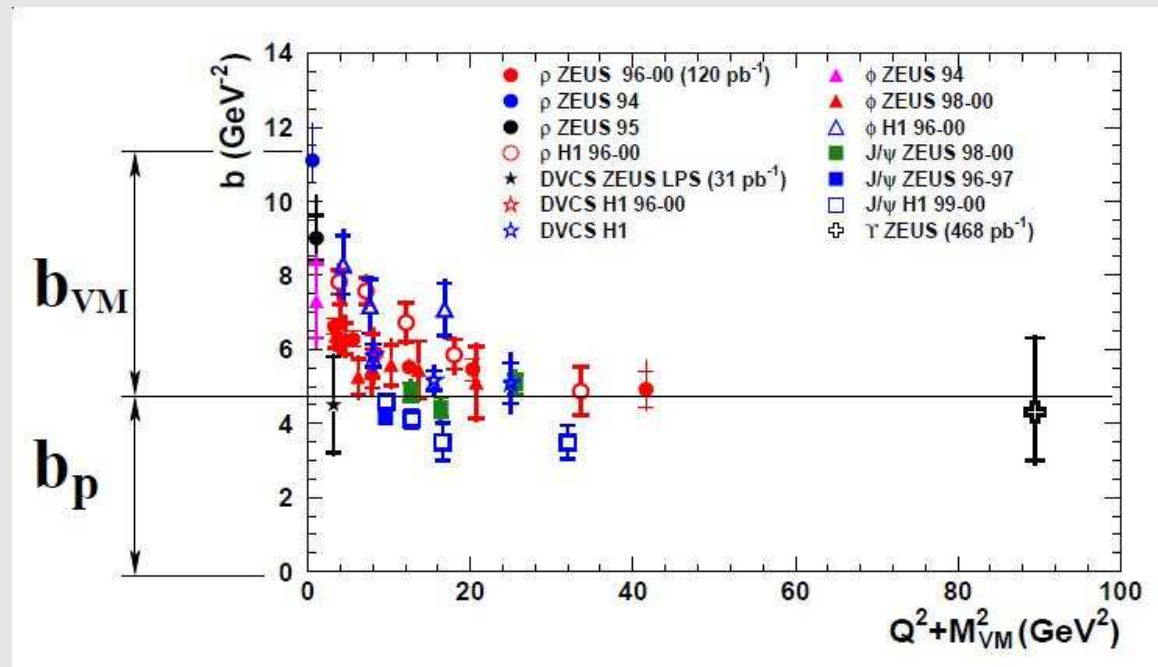
Dipole picture interpretation:

$$b = b_{VM} + b_p$$

$$b_{VM} \sim 1/(Q^2 + M_{VM}^2)$$

$b_p \rightarrow$  size of the gluons area:

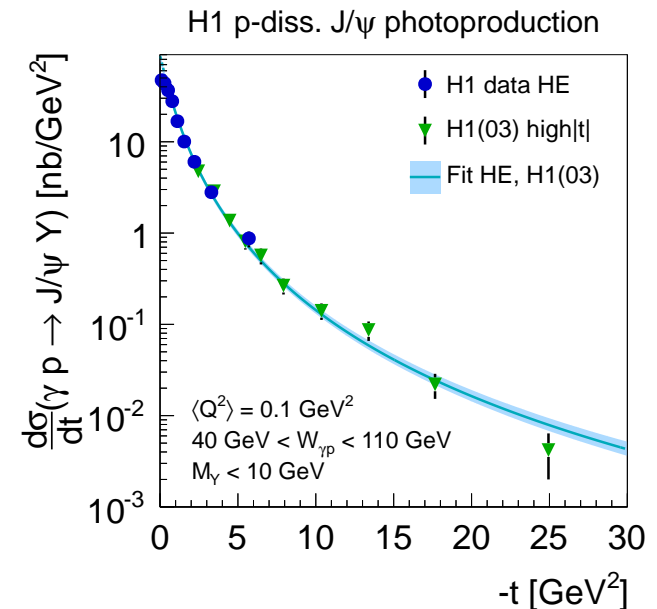
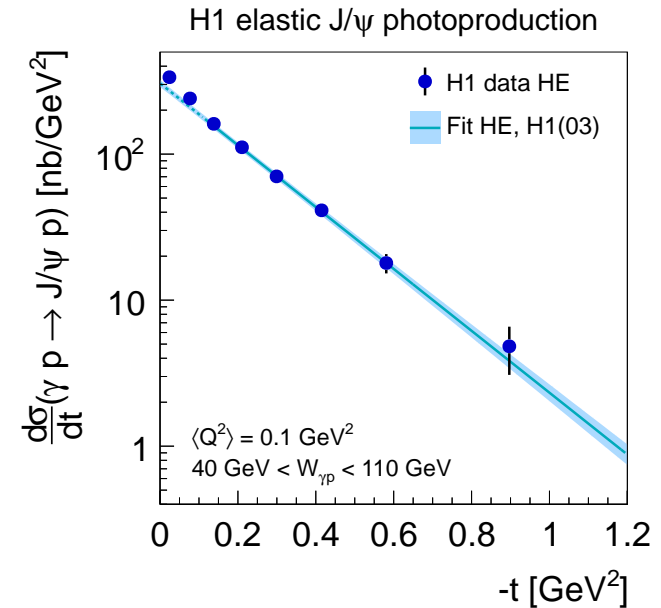
$$\langle r^2 \rangle = 2b_p \cdot (\hbar c)^2 \simeq 0.6 \text{ fm}$$



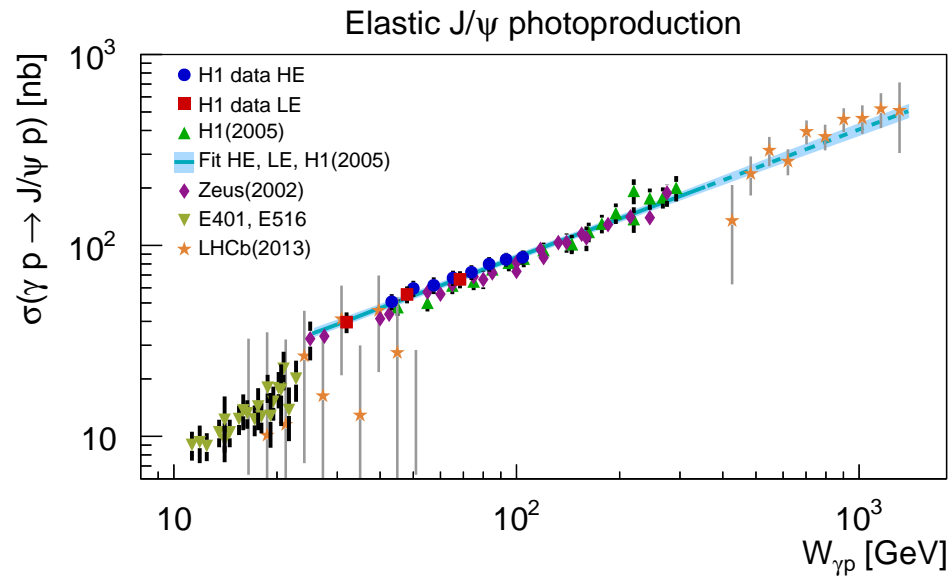
⇒ Gluons confinement area (0.6 fm) is smaller than the proton size (0.8 fm)

# Exclusive Photoproduction of $J/\psi$ Mesons

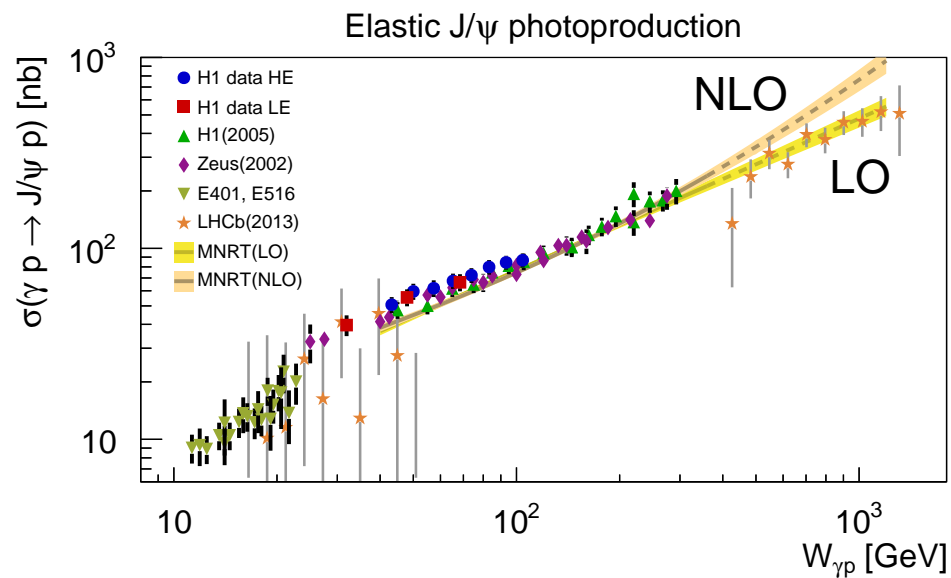
- Simultaneous unfolding of EL and PD channels
- Use high  $E_p = 920$  GeV and low  $E_p = 460$  GeV data thus extending  $W_{\gamma p}$  range
- Both  $e^+e^-$  and  $\mu^+\mu^-$  decay channels  $\Rightarrow$  cross check of systematics, better statistics
- $t$  dependence:
  - EL – exponential;  $b_{el} = 4.9(4.3)$   $\text{GeV}^{-2}$  for HE(LE)
  - PD –  $d\sigma/dt \propto (1 + (b_{pd}/n)|t|)^{-n}$ ;
- Energy dependence:  $\sigma \propto W_{\gamma p}^\delta$ 
  - $\delta_{el} = 0.67 \pm 0.03$ ;  $\delta_{pd} = 0.42 \pm 0.05$
  - (possible explanation:  $S_{gap}(W) < 1$  for PD case)



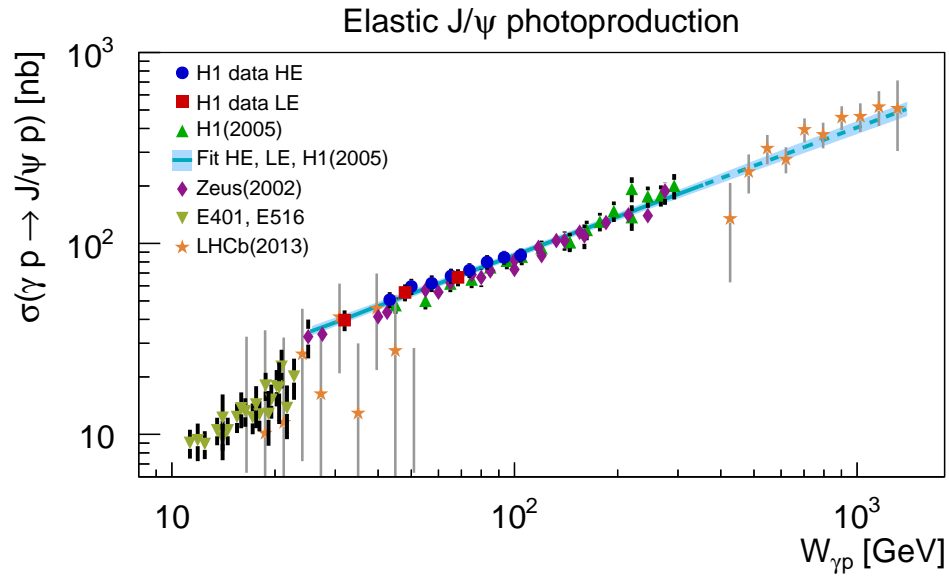
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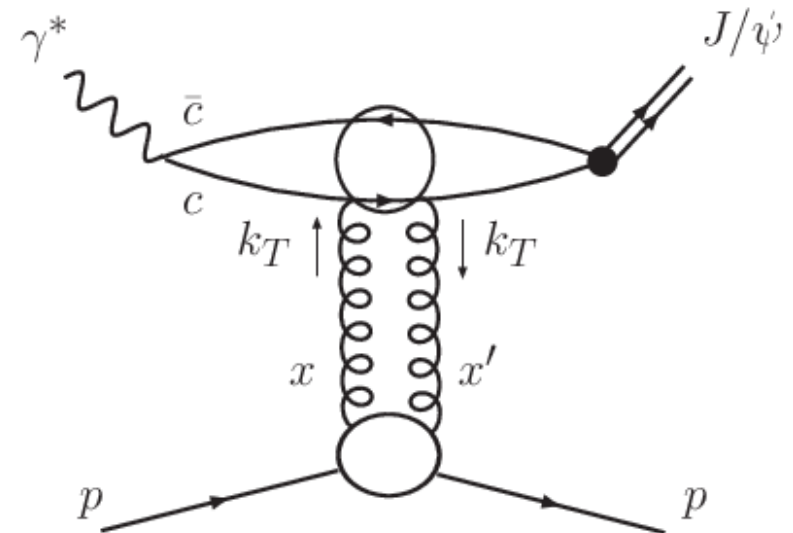
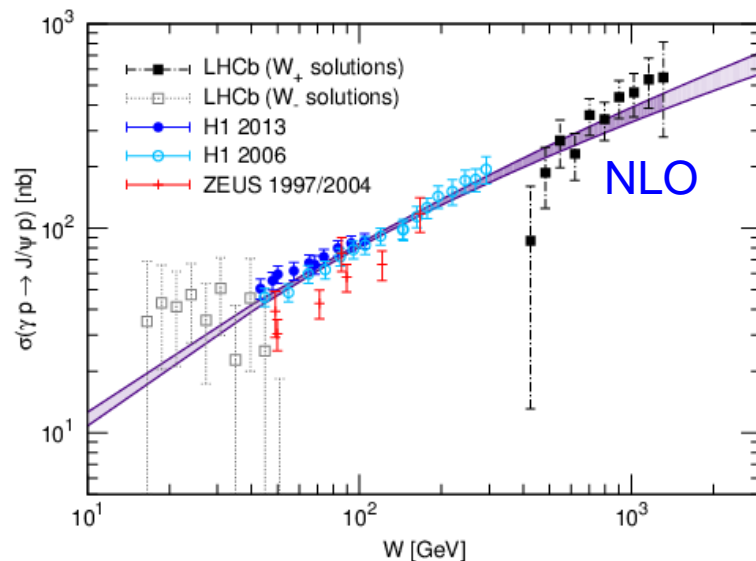
- Extrapolating HERA fit describes LHCb
- Low  $x$  gluon, based on old HERA data (A. Martin et al, 2008). NLO too steep



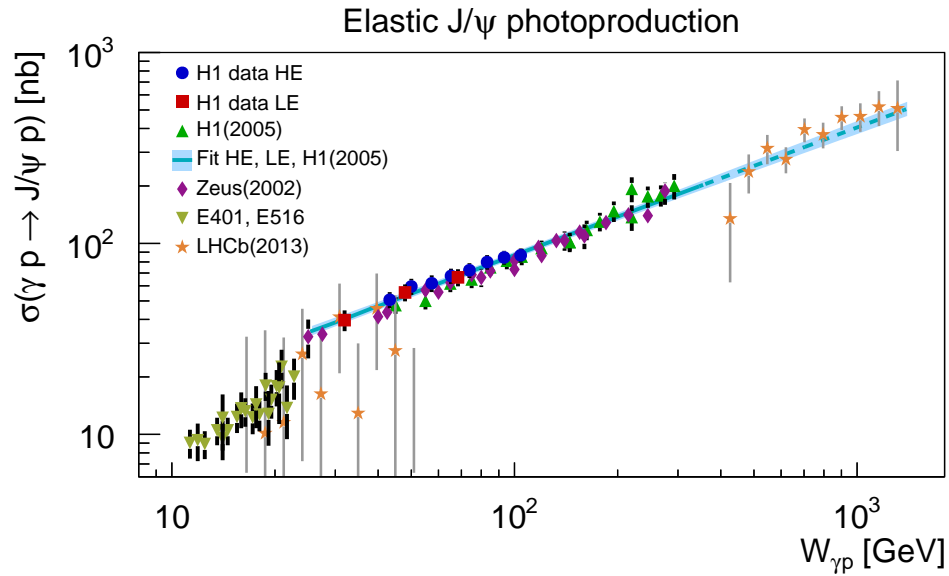
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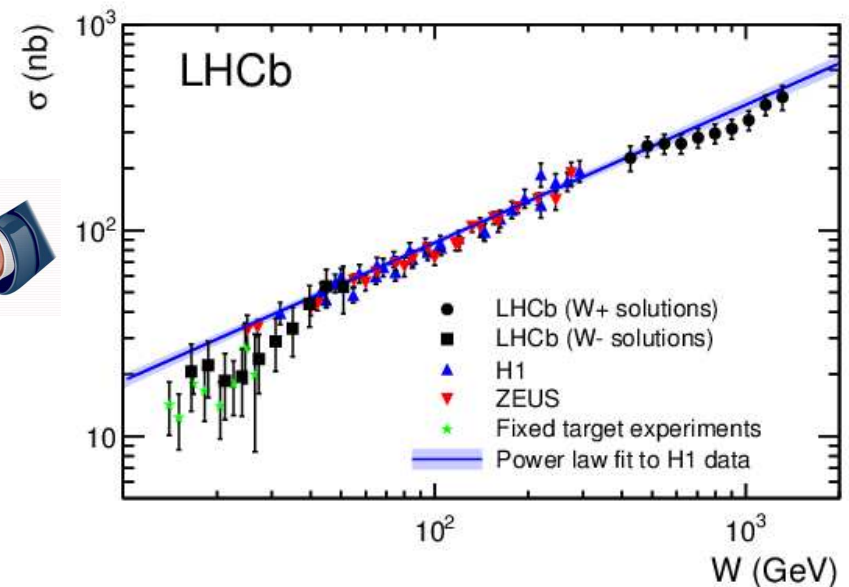
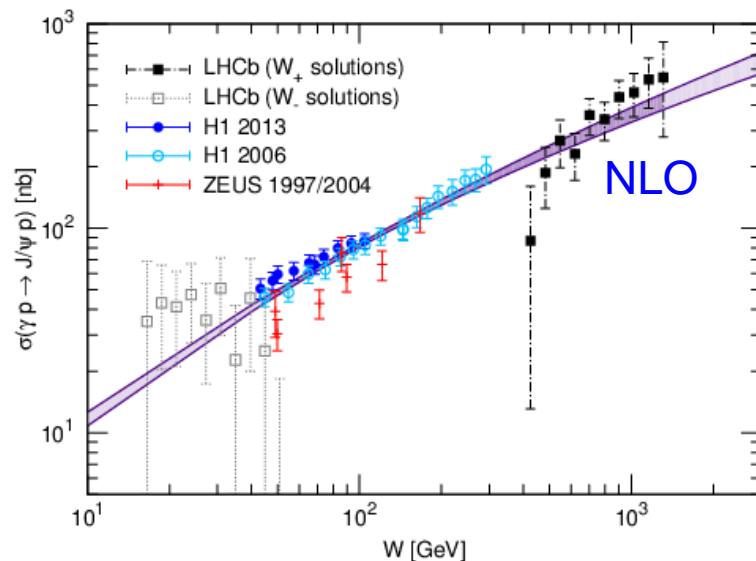
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# Exclusive Photoproduction of $J/\psi$ Mesons



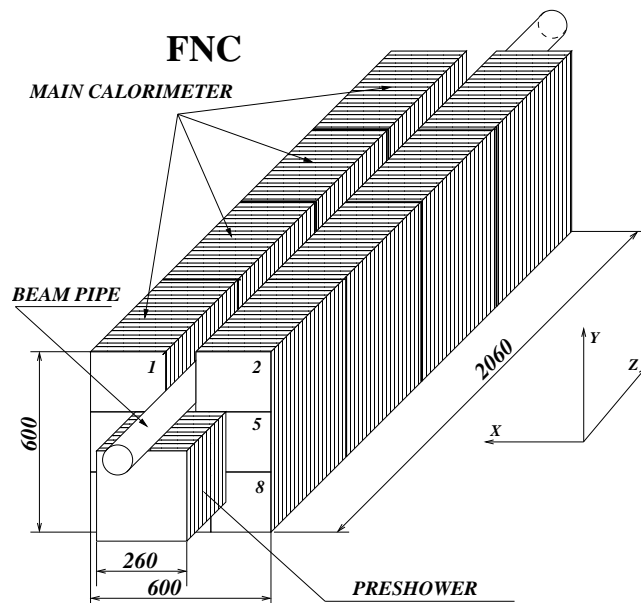
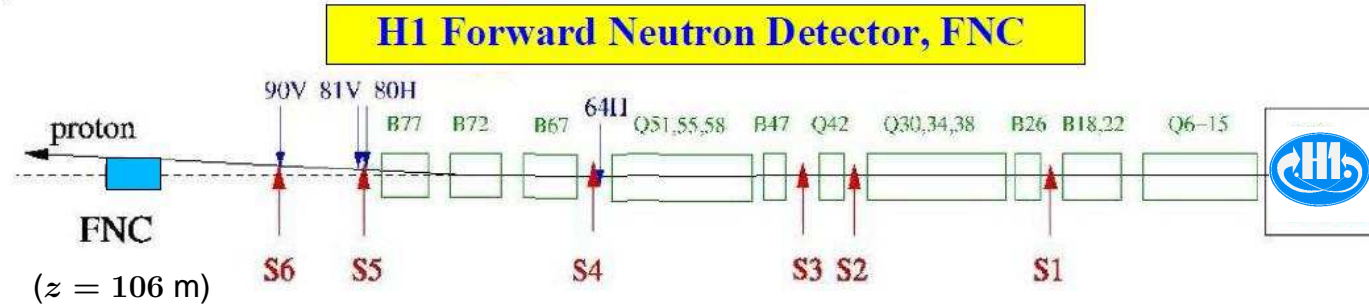
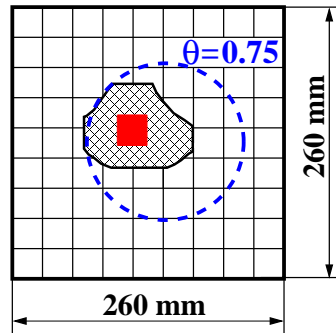
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- New LHCb data ( $930\text{pb}^{-1}$ ) [arXiv:1401.3288]





# Leading Neutrons at HERA

# Physics with Forward Neutral Particles



## HERA-I

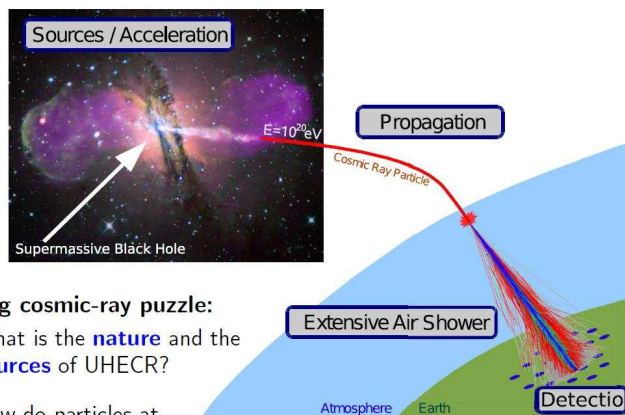
- Similar H1 and ZEUS calorimeters, only  $n$ , located at  $z = 106$  m from IP
- $\langle A \rangle \simeq 30\%$  for  $\theta_n < 0.8$  mrad

## HERA-II

- Improved H1 FNC: distinguish ( $\langle P \rangle = 98\%$ ) and measure  $n$  and  $\gamma/\pi^0$
- Preshower:  $60X_0$ , Main Calo:  $8.9\lambda$

# Motivation and Challenges

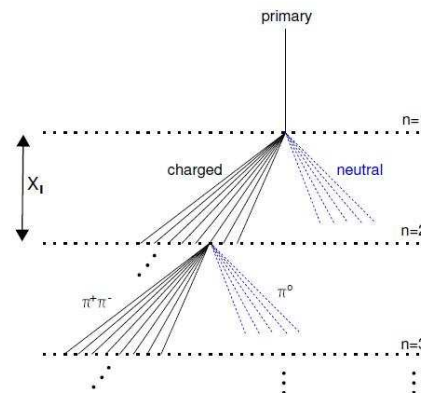
- Extreme forward region in particle collisions is still poorly understood
  - ▷ Theory: No (or few) firm predictions from first principles
  - ▷ Experiment: Difficult to measure due to detector acceptance limitations
- Important for correct analysis of (ultra-high energy) Cosmic Rays
  - ▷ Two pieces of the puzzle:
    - Sources/Propagation (prime interest)
    - Interaction/Detection (extensive air shower)
  - ▷ To understand the former one needs good MC models for the latter



### Solving cosmic-ray puzzle:

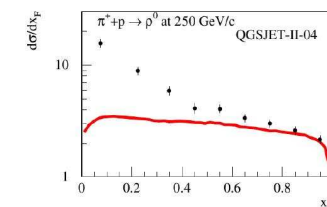
- What is the **nature** and the **sources** of UHECR?
- How do particles at ultra-high energies **interact**?

### Extended Heitler Model:



### (Forward) $\rho^0$ Production, QGSJetII.3 $\rightarrow$ QGSJetII.4

Charge Exchange, Leading  $\pi^0/\rho^0$  production:



S. Ostapchenko, ISVHECRI 2012

(Ralf Ulrich, PANIC-2014)

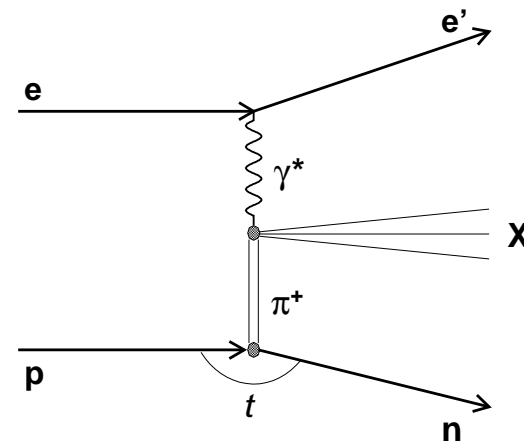
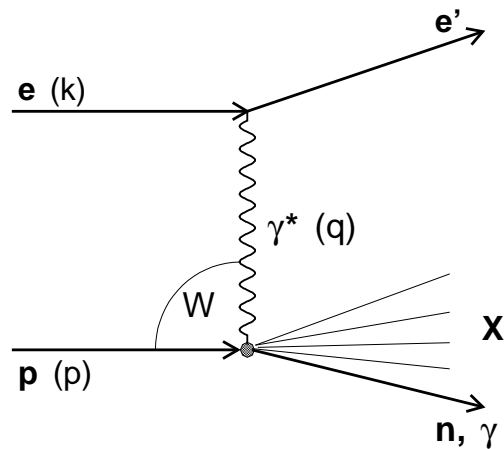
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  - ▷ Two pieces of the puzzle:
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  - ▷ To understand the former one needs good MC models for the latter
- Current situation (from PANIC summary):
  - ▷ Recent LHC data are very valuable for CR MC tuning but still no fully consistent picture yet
  - ▷ UHECR data becomes more precise and require also better precision of hadronic interaction modelling
- Specifics of HERA
  - ▷ Additional constraints for different kinematical regimes wrt hadron colliders (smaller collision energy can be "compensated" by studying scaling properties and transporting the measurements to higher energies)
  - ▷ Some observables are unique (e.g. possible extraction of  $\gamma\pi$  cross sections)

# Inclusive forward $\gamma$ , $n$ production in DIS

$$e^+ + p \longrightarrow e^+ \begin{pmatrix} n \\ \gamma \end{pmatrix} X$$



## Data

$$\mathcal{L} = 131 \text{ pb}^{-1}, \quad \sqrt{s} = 319 \text{ GeV}$$

$$6 < Q^2 < 100 \text{ GeV}^2$$

$$70 < W < 245 \text{ GeV}$$

$$\eta_{\text{lab}} > 7.9, \quad x_F = 2p_{\parallel}^*/W > 0.1$$

$$\gamma : 83000 \text{ ev.} \quad n : 230000 \text{ ev.}$$

## MC models

DIS: LEPTO/CDM ( $\gamma$ ,  $n$ )

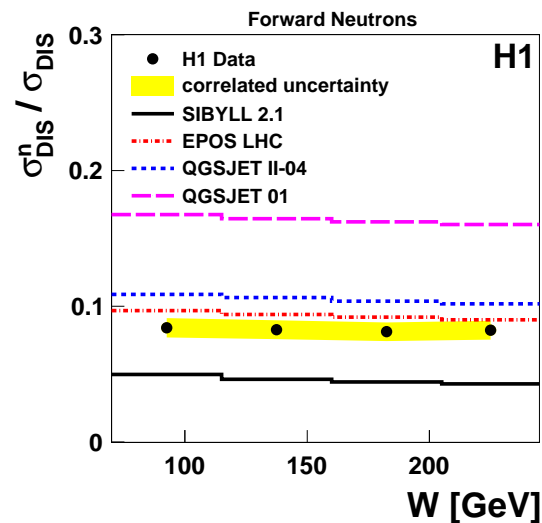
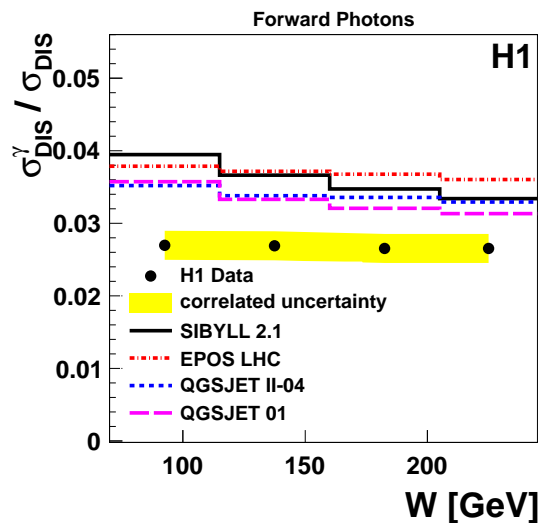
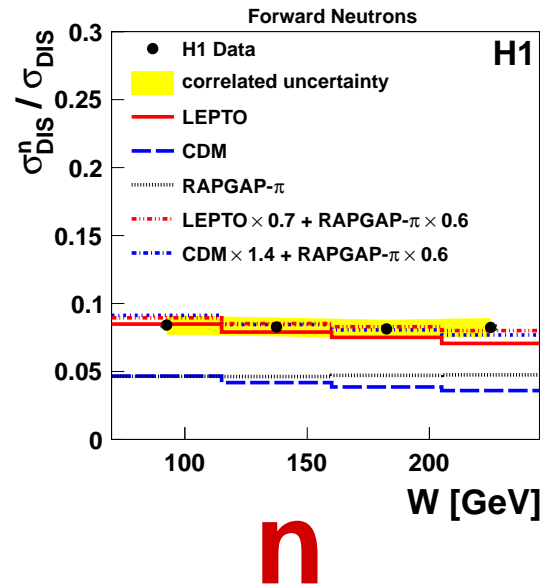
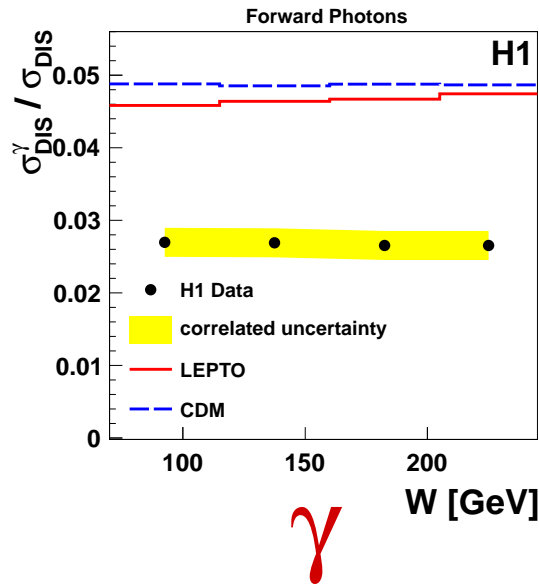
RAPGAP- $\pi$  ( $n$ )

CR: EPOS LHC

SYBILL 2.1

QGSJET (3 versions)

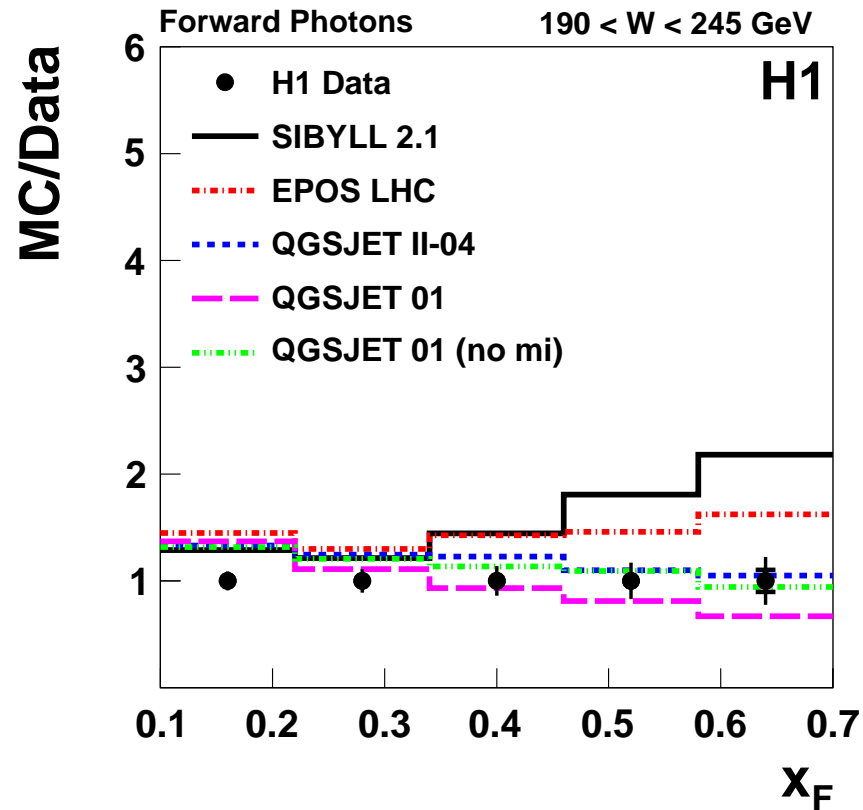
# $W$ dependence



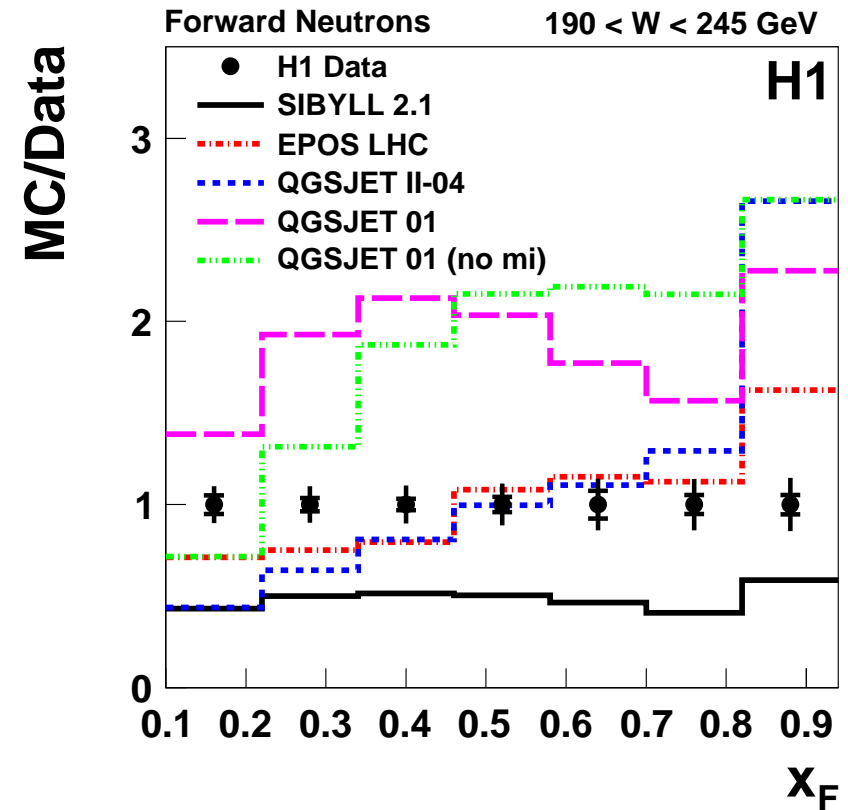
- $(\gamma, n)$  yields are independent on  $W$
- DIS MC overestimate photon rate by  $\sim 70\%$  and describe neutrons
- CR MC overestimate photon rate by 30–40% EPOS LHC is best for  $n$

# $x_F$ spectra vs CR MC models

$\gamma$

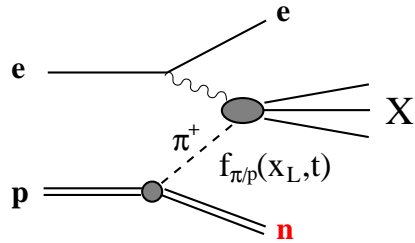


$n$



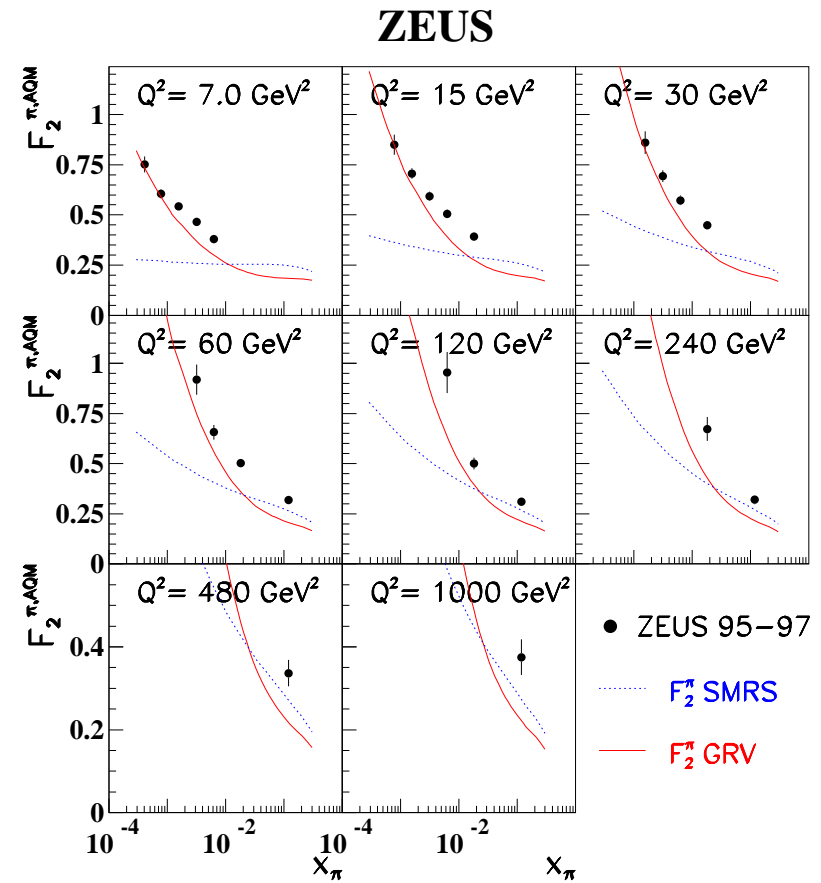
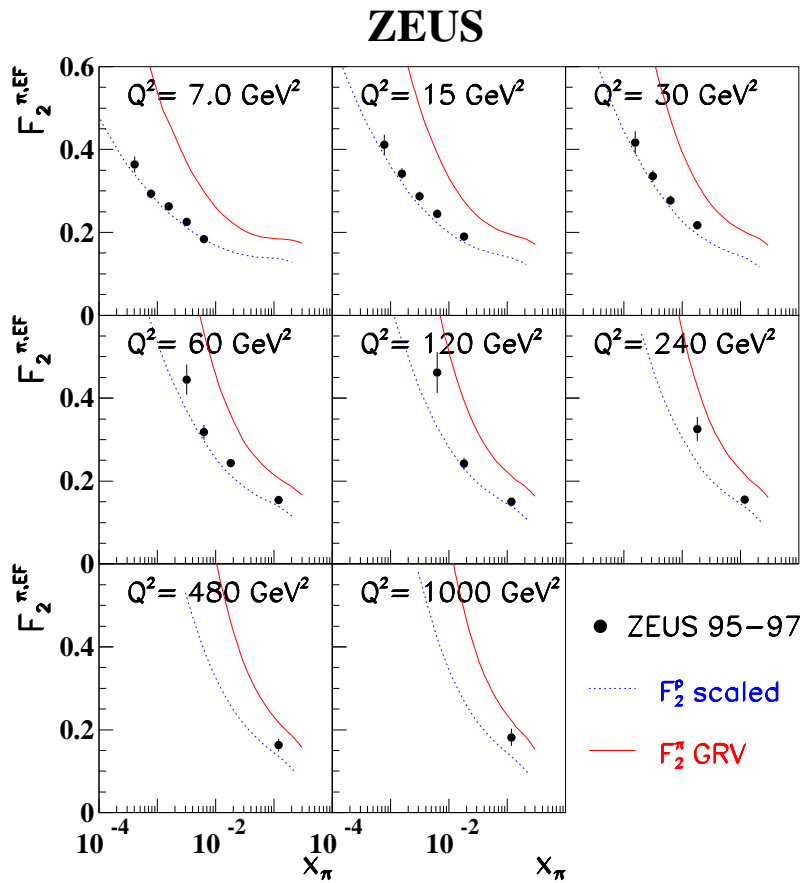
- None of the models describes simultaneously  $\gamma$  and  $n$
- EPOS LHC gives best shape description for  $\gamma$  and reasonable for  $n$

# Pion structure function from LN DIS



$$F_2^{\text{LN}(4)}(x, Q^2, x_L, t) = f_{\pi/p}(x_L, t) F_2^{\pi}(x/(1-x_L), Q^2, t) (1 - \Delta_{\text{abs}}(Q^2, x_L, t))$$

$$\Delta_{\text{abs}}^{\text{theo}} = (0.1 \div 0.4)$$



⇒ Important to determine absorptive corrections experimentally



## HERA as a '4P' facility

---

HERA enables us to study structure of

**P**roton –  $F_2, F_L, \dots$

**P**hoton –  $g/\gamma$

**P**omeron –  $F_2^D, F_L^D$

**P**ion –  $F_2^\pi$

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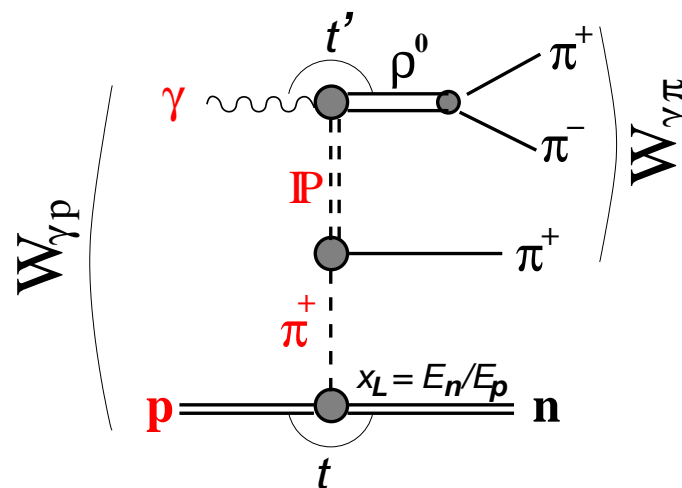
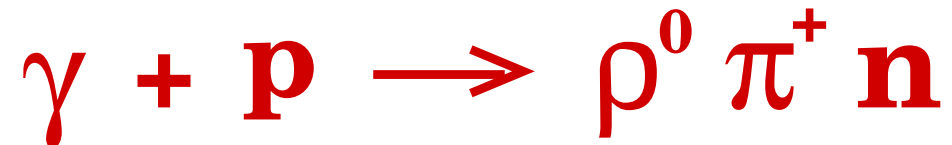
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Here for the first time we investigate the reaction involving all these objects simultaneously:



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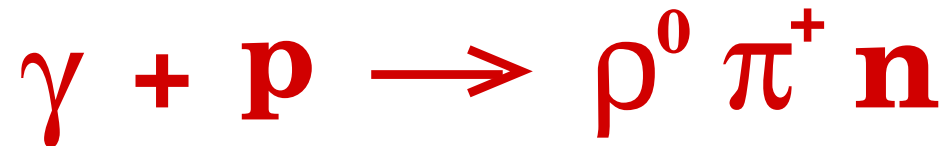
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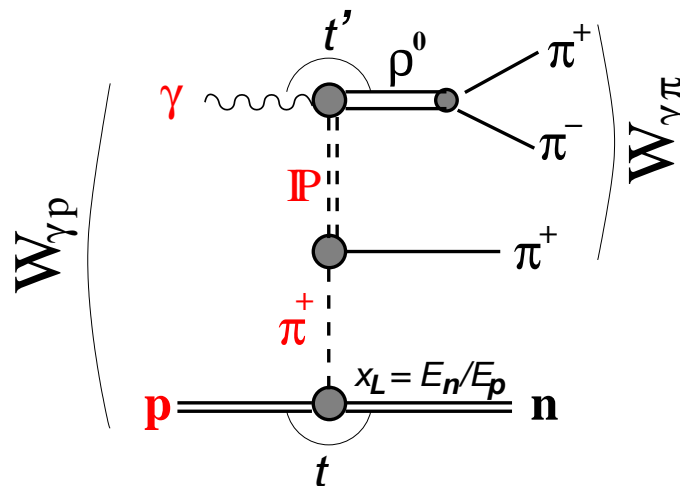
$$Q^2 < 2 \text{ GeV}^2 \quad (\langle Q^2 \rangle = 0.04)$$

$$|t'| < 1 \text{ GeV}^2 \quad (\langle |t'| \rangle = 0.20)$$

$$0.35 < x_L < 0.95;$$

$$\theta_n < 0.75 \text{ mrad}$$

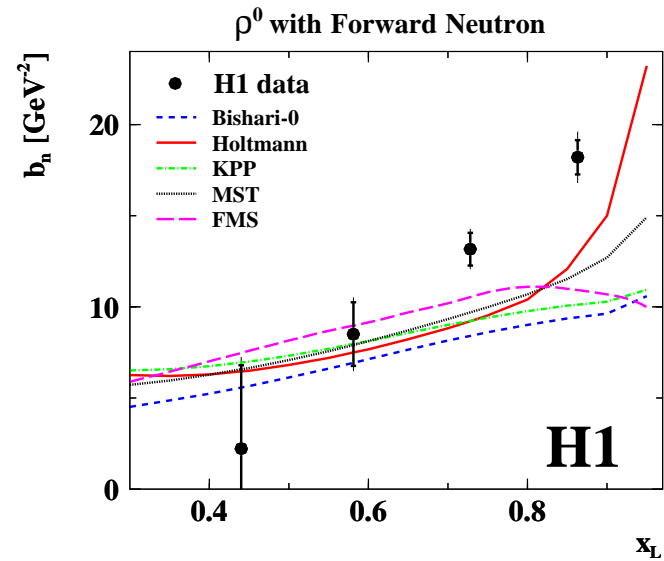
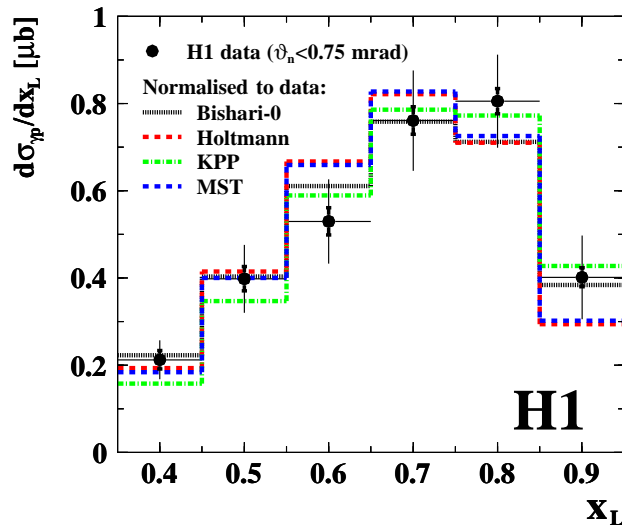
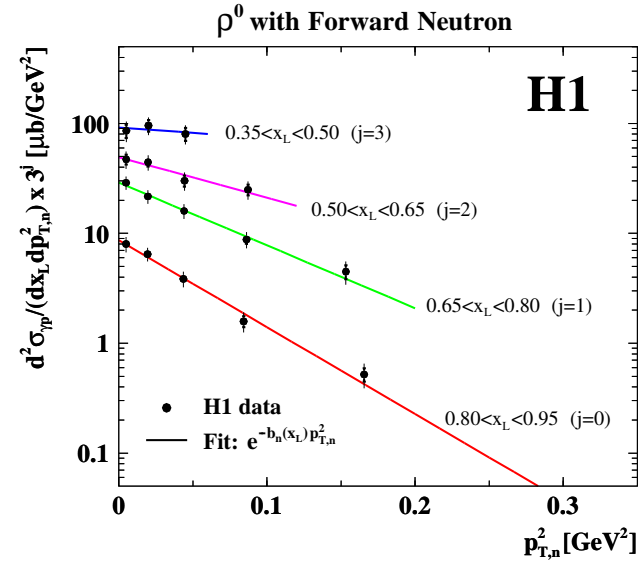
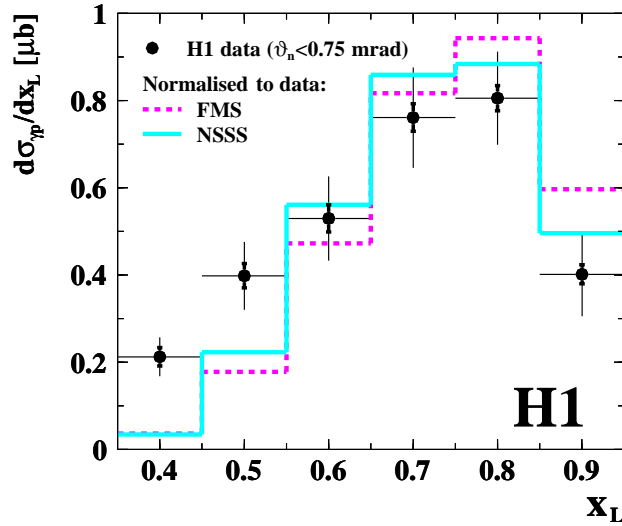
$$p_{t,n} < 0.2 \text{ GeV (OPE)}$$



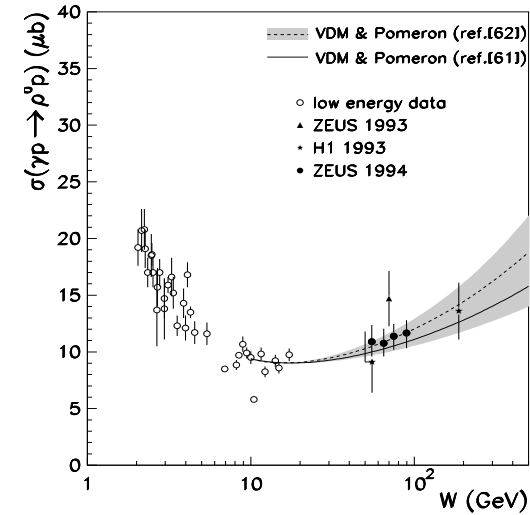
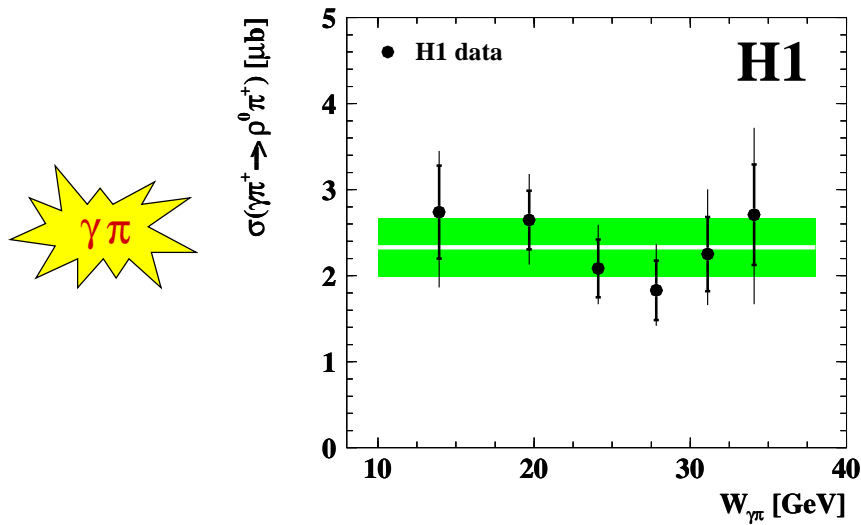
$$\frac{d^2\sigma_{\gamma p}(W_{\gamma p}, x_L, t)}{dx_L dt} =$$

$$f_{\pi/p}(x_L, t) \sigma_{\gamma \pi}(W_{\gamma \pi})$$

# Constraining pion flux



# Estimate of absorption corrections

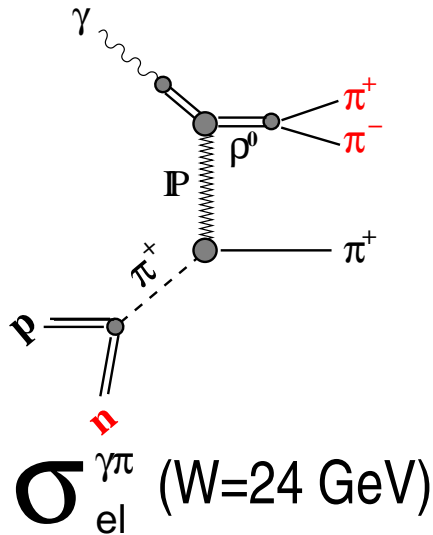


$$r_{\text{el}} = \frac{\sigma_{\gamma\pi \rightarrow \rho^0\pi}}{\sigma_{\gamma p \rightarrow \rho^0 p}} = \begin{cases} 0.25 \pm 0.06 & (\text{exp.extracted}) \\ 0.57 \pm 0.03 & (\text{theo.expected}) \end{cases} \rightarrow K_{\text{abs}} = 0.44 \pm 0.11$$

Look into other processes. What do we see there?

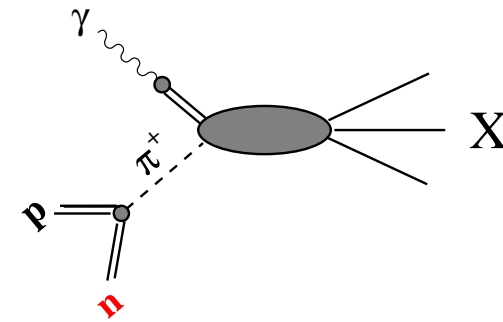
# Cross sections ratio

## H1 (2015)



$$\sigma_{el}^{\gamma\pi} (W=24 \text{ GeV})$$

## ZEUS (2002)



$$\sigma_{tot}^{\gamma\pi} (W=107 \text{ GeV})$$

$$\sigma_{el}^{\gamma\pi} / \sigma_{el}^{\gamma p} = 0.25 \pm 0.06$$

Exp.result

$$\sigma_{tot}^{\gamma\pi} / \sigma_{tot}^{\gamma p} = 0.32 \pm 0.03$$

OT+eikonal approach+data:  $r_{el} \simeq 0.57$

Theory

AQM:  $r_{tot} \simeq 2/3$

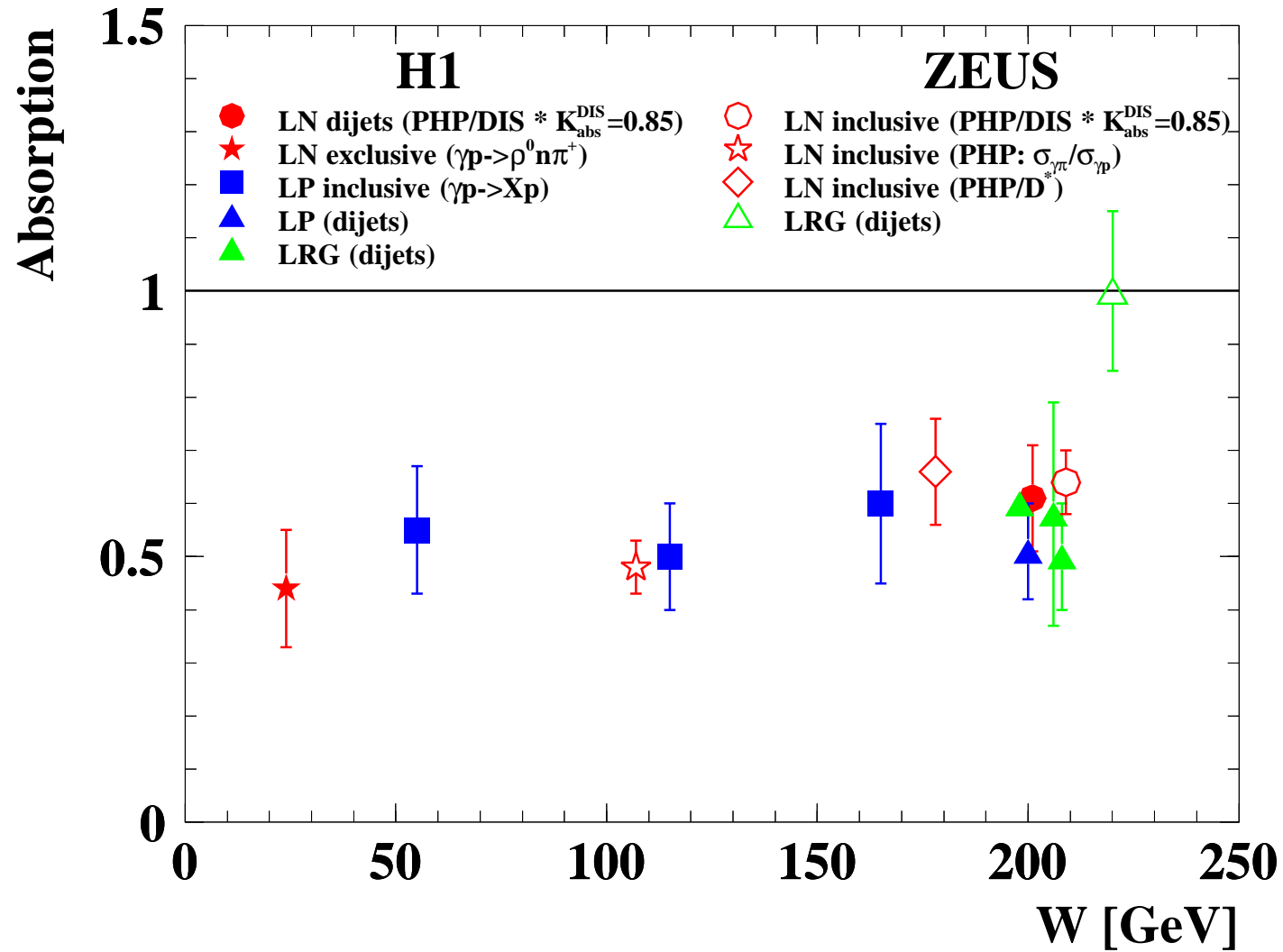
## Large absorption effects!

Optical Theorem:  $\frac{d\sigma_{el}}{dt} \Big|_{t=0} = b_{el}\sigma_{el} \propto \sigma_{tot}^2 \Rightarrow r_{el} = \left(\frac{b_{\gamma p}}{b_{\gamma\pi}}\right) \cdot (\sigma_{tot}^{\gamma\pi} / \sigma_{tot}^{\gamma p})^2$

Eikonal approach:  $b = \langle R^2 \rangle$ ;  $b_{12} = b_1 + b_2$

World data:  $(b_{pp} \simeq 11.7, b_{\pi+p} \simeq 9.6, b_{\gamma p} \simeq 9.75) \text{ GeV}^{-2}$

# Absorptive factors, $K_{abs}$ , in different PHP reactions



Unofficial private summary!

## Summary

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- Diffraction is an important area of HERA physics landscape. It represents a complicated interplay of soft and hard phenomena.
- Pomeron is a gluon dominated object. Diffractive DIS is fairly well described by both RP model and CD approach.
- QCD factorisation holds in DDIS, but is broken in PHP regime. The exact mechanism still to be revealed ( $x_\gamma$  independence).
- Very forward neutral particle production is still a challenge for Cosmic Ray models.
- Absorptive effects in Leading Neutron production are essential both in DIS and PHP regimes. They have to be taken into account when extracting  $F_2^\pi$  from LN in DIS and for  $\gamma\pi$  cross section extraction from LN in PHP.



## Open questions

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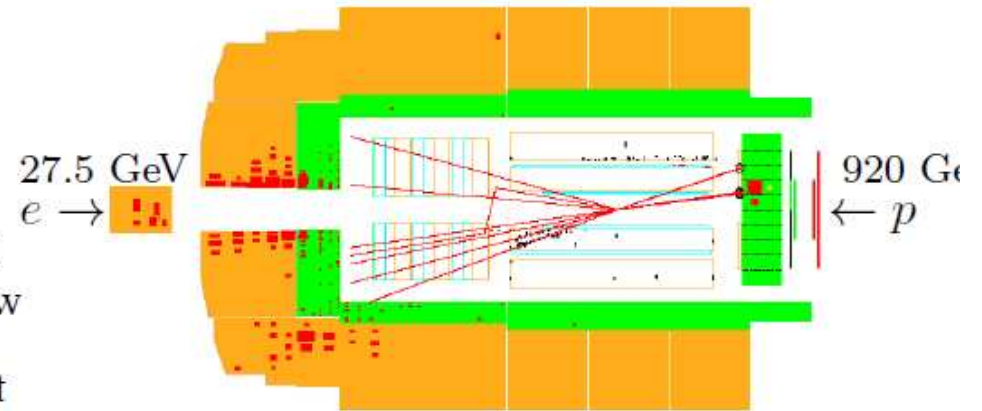
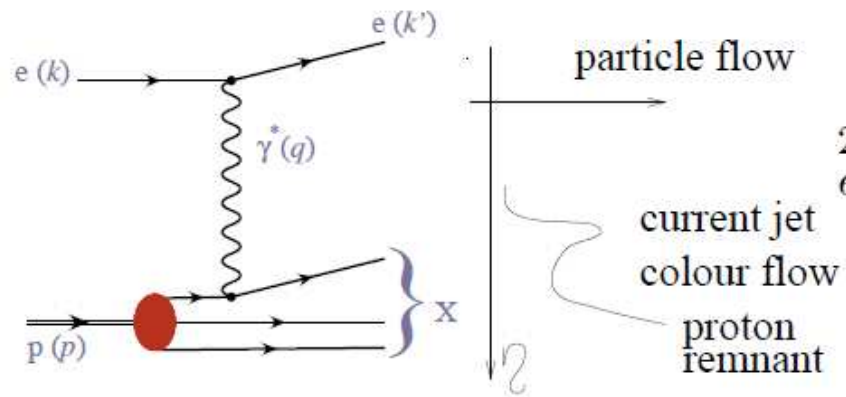
- $F_2^{D(4)}$  from HERA-II VFPS data and final DPDF determination without assumption on Regge factorisation.
- Explain factorisation breaking mechanism in PHP, in particular independence of Gap Survival Probability on  $x_\gamma$ .
- Multiscale problem:  $(Q^2, E_T, M_V, t)$ .
- Where is an Odderon ?
- Can one observe Glueball in a double Pomeron reaction in PHP?  
 $\gamma p \rightarrow (\mathbf{IP} \mathbf{IP}) \rightarrow M_X \quad (M_X = \sqrt{x_{\mathbf{IP}1} x_{\mathbf{IP}2}} W_{\gamma p} = 2 \div 4 \text{ GeV})$

HERA has finished, but not DIS physics.  
 What's next? eRHIC ? LHeC ?

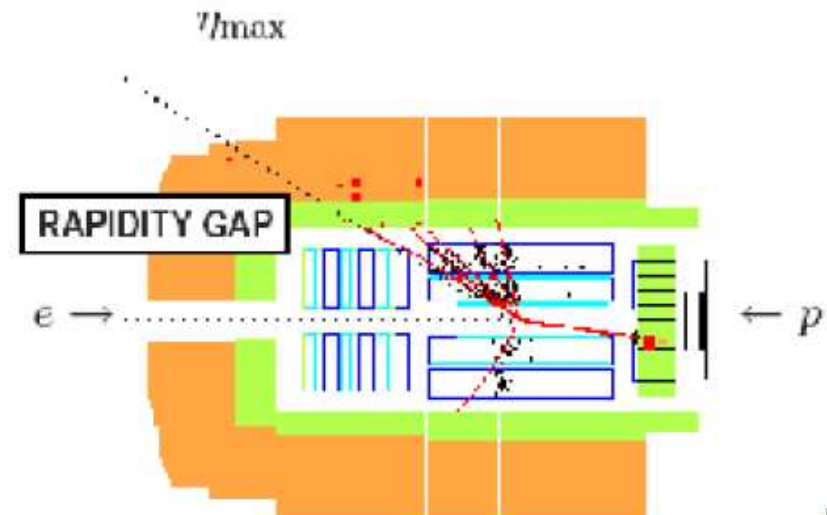
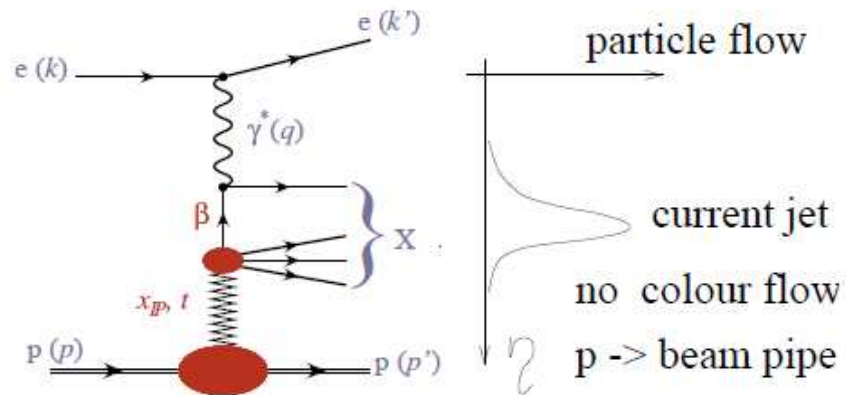
# Backup Slides

# Inclusive vs Diffractive DIS

## Deep Inelastic Scattering (DIS)



## Diffractive Scattering (DDIS)



# Interplay of soft and hard contributions



$$\gamma_L (z \simeq 0.5): \langle r_t^2 \rangle \simeq (z(1-z)Q^2 + m_q^2)^{-1} \simeq 1/[(Q/2)^2 + m_q^2]$$

$$\gamma_T (z \simeq 0; 1): \langle r_t^2 \rangle \simeq (z(1-z)Q^2 + m_q^2)^{-1} \simeq 1/m_q^2$$

Small dipole

Large dipole

TABLE I: Interplay between the probabilities of hard and soft fluctuations in a highly virtual photon and the cross section of interaction of these fluctuations.

	$ C_\alpha ^2$	$\sigma_\alpha$	$\sigma_{tot} = \sum_{\alpha=soft}^{hard}  C_\alpha ^2 \sigma_\alpha$	$\sigma_{sd} = \sum_{\alpha=soft}^{hard}  C_\alpha ^2 \sigma_\alpha^2$
Hard	$\sim 1$	$\sim \frac{1}{Q^2}$	$\sim \frac{1}{Q^2}$	$\sim \frac{1}{Q^4}$
Soft	$\sim \frac{m_q^2}{Q^2}$	$\sim \frac{1}{m_q^2}$	$\sim \frac{1}{Q^2}$	$\sim \frac{1}{m_q^2 Q^2}$

# Inclusive DDIS: Extracting Pomeron trajectory

- Regge fit to LRG cross section:

$$F_2^{D(3)}(Q^2, \beta, x_{\mathbb{P}}) = f_{\mathbb{P}/p}(x_{\mathbb{P}})F_2^{\mathbb{P}}(Q^2, \beta) + n_{\mathbb{R}}f_{\mathbb{R}/p}(x_{\mathbb{P}})F_2^{\mathbb{R}}(Q^2, \beta)$$

$$f_{\mathbb{P}/p, \mathbb{R}/p}(x_{\mathbb{P}}) = \int_{t_{cut}}^{t_{min}} \frac{e^{B_{\mathbb{P}, \mathbb{R}}t}}{x_{\mathbb{P}}^{2\alpha_{\mathbb{P}, \mathbb{R}}(t)-1}} dt$$

$$\alpha_{\mathbb{P}, \mathbb{R}}(t) = \alpha_{\mathbb{P}, \mathbb{R}}(0) + \alpha'_{\mathbb{P}, \mathbb{R}}t$$

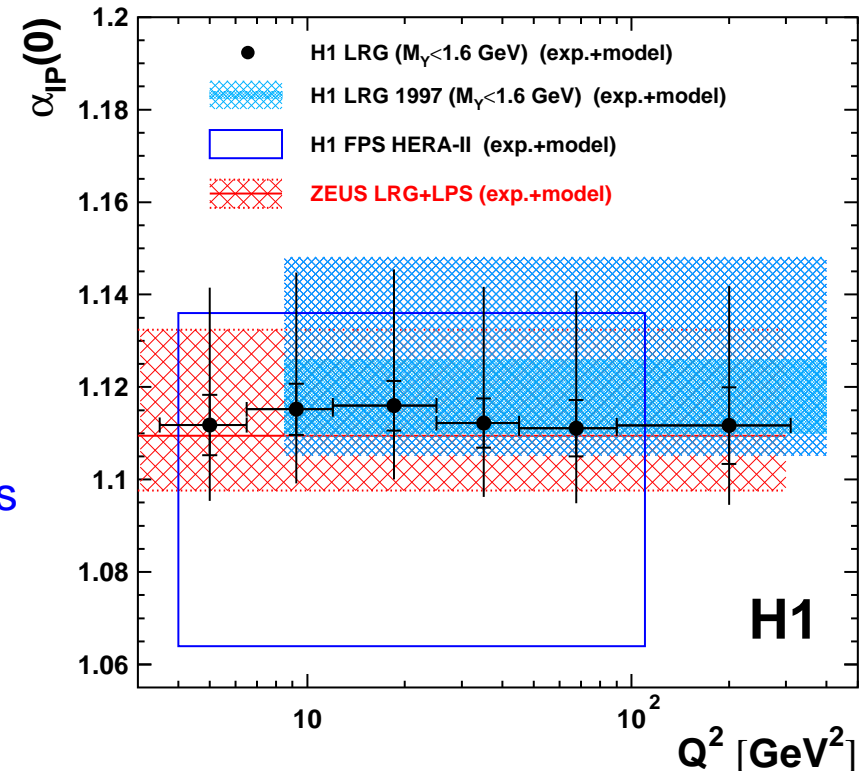
- Mean value of the Pomeron intercept:

$$\alpha_{\mathbb{P}}(0) = 1.113 \pm 0.002(\text{exp})_{-0.015}^{+0.029}(\text{model})$$

- No  $Q^2$  dependence observed
- Consistent with other determinations
- Supports proton-vertex factorisation hypothesis

$\alpha_{\mathbb{P}}(0)$  – consistent with ‘soft  $\mathbb{P}$ ’

$\alpha'_{\mathbb{P}} \leq 0.1$  is typical for ‘hard  $\mathbb{P}$ ’

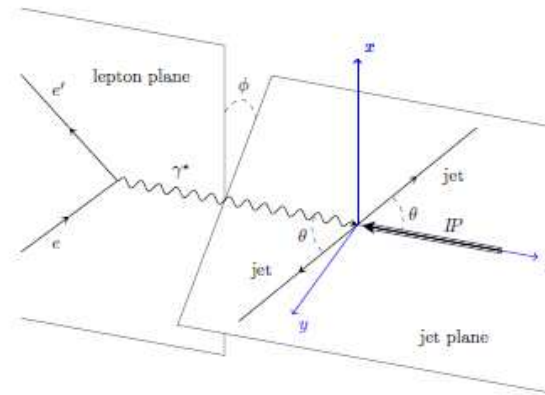


➡ **Complicated interplay of hard and soft phenomena**

# Exclusive dijets in DDIS

LRG:  $Q^2 > 25 \text{ GeV}^2$ ,  $x_{\mathbb{P}} < 0.01$ ,  $N_{\text{jet}} = 2$ ,  $P_T^{\text{jets}} > 2 \text{ GeV}$

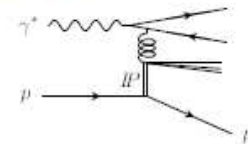
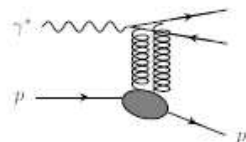
- using Durham jet algorithm in  $\gamma^* - \mathbb{P}$  rest frame in exclusive mode (all objects are in jets),  $y_{\text{cut}} = 0.15$ .
- test the **nature of the exchanged object** in diffractive interactions
- reconstruct  **$\phi$  angle** between lepton and jet planes



→  $d\sigma/d\phi \sim 1 + A(P_T^{\text{jet}}) \cos 2\phi$  [J.Bartels et al., PLB386,(1996)389]

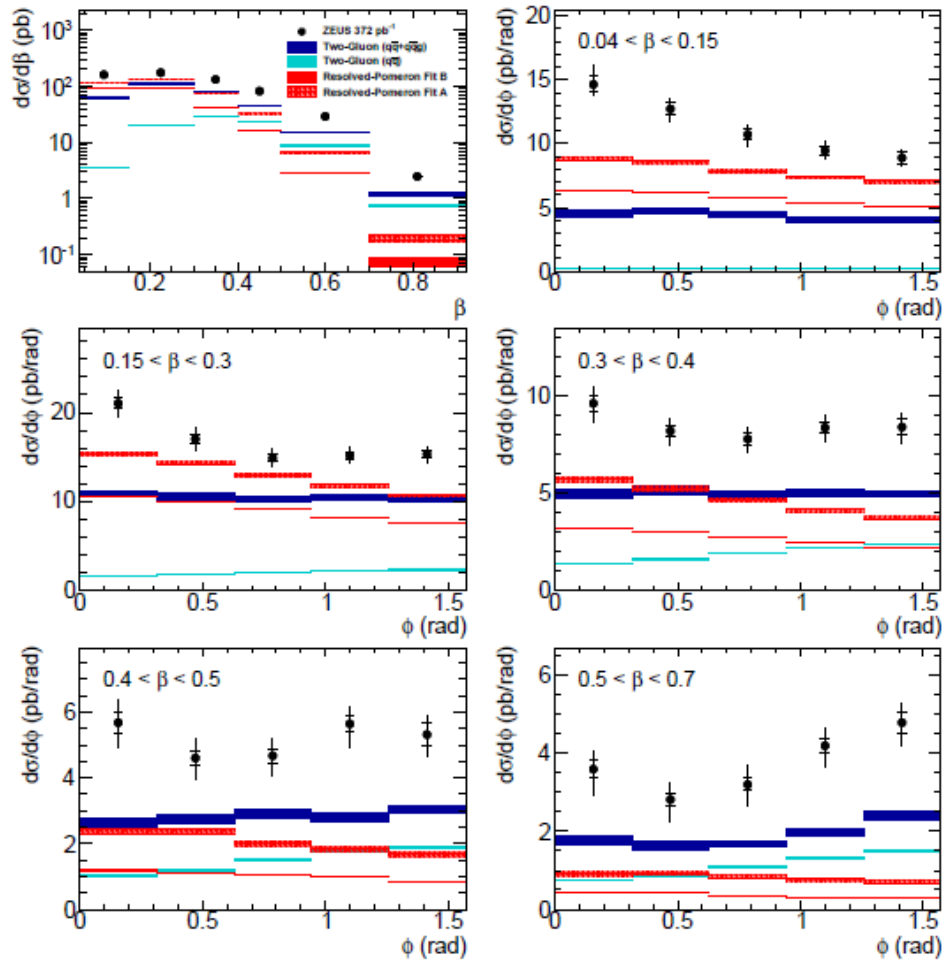
$A > 0$  for  $q\bar{q}$  produced from single gluon

$A < 0$  two gluons exchange.



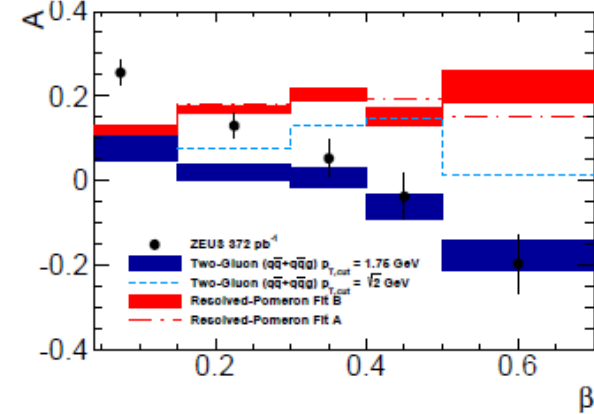
# Exclusive dijets in DDIS

## ZEUS



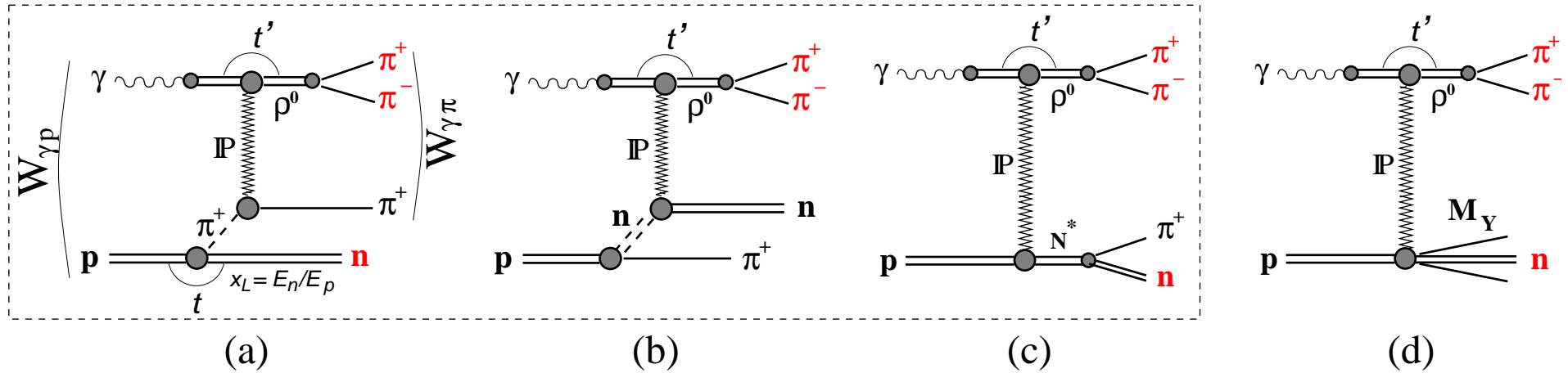
- $d\sigma/d\phi$  fitted in each  $\beta$  bin

## ZEUS



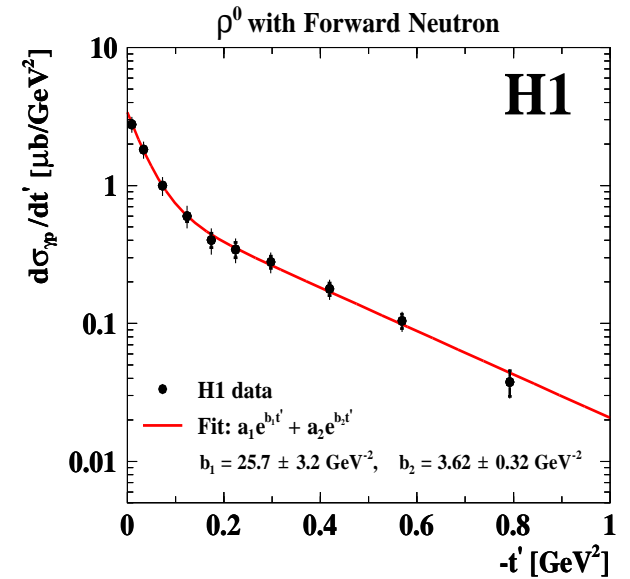
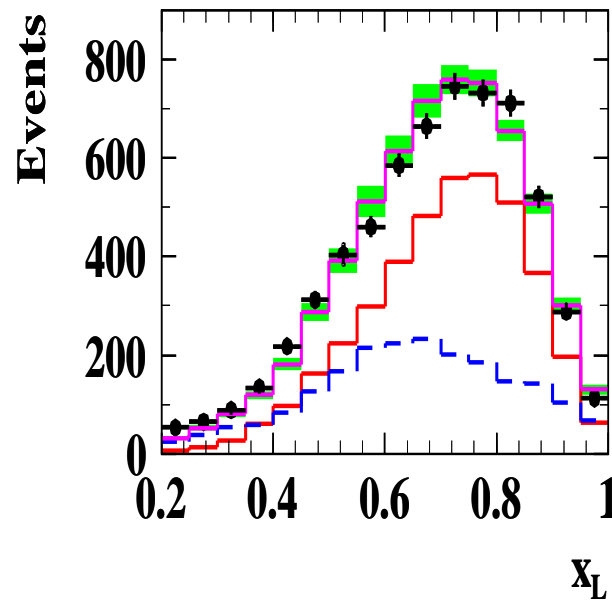
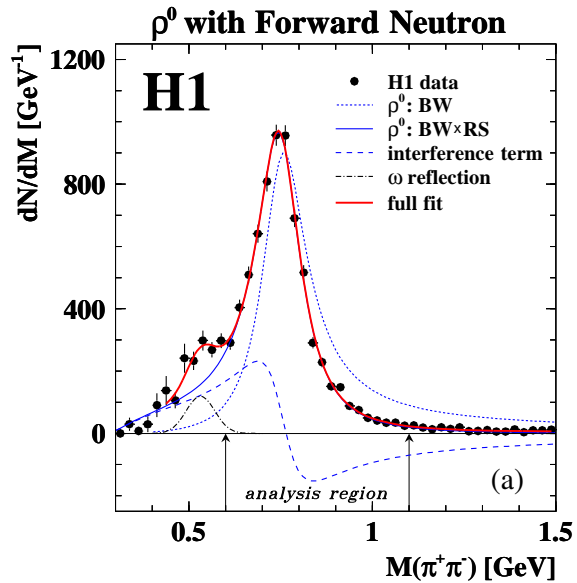
- normalisation discrepancy of factor two (NLO large ?)
- $A$  vs  $\phi$ : good description by the two gluon model for  $\beta > 0.3$  (i.e. towards exclusive dijets).

# Exclusive $\rho^0$ with Forward Neutron



Signal (DHD model)

Bgr (DIFFVM MC)





## Taking an estimate of $K_{abs}$ seriously

- Optical Theorem (plus exponential  $t$  dependence):

$$d\sigma_{el}/dt |_{t=0} = b_{el}\sigma_{el} \propto \sigma_{tot}^2; \Rightarrow \sigma_{el} \propto \sigma_{tot}^2/b_{el}$$

- Relations between elastic slopes ( $b \propto \langle R^2 \rangle$ ;  $b_{ij} = b_i + b_j$ ):

$$r_b \equiv \frac{b_{12}}{b_{13}} = \frac{b_1 + b_2}{b_1 + b_3} = \frac{b_1 + b_2}{(b_1 + b_2) + (b_2 + b_3) - 2b_2} = \frac{b_{12}}{b_{12} + b_{23} - b_{22}} = \frac{1}{1 - \frac{b_{22} - b_{23}}{b_{12}}}$$

- Data at  $\sqrt{s} \simeq 24$  GeV (for  $\gamma p \rightarrow \rho^0 p$  an interpolated value of  $b_{\gamma p}$  is given):

$$b_{pp} = (11.7 \pm 0.2) \text{ GeV}^{-2}; \quad b_{\pi^+ p} = (9.6 \pm 0.25) \text{ GeV}^{-2}; \quad b_{\gamma p} = (9.75 \pm 0.50) \text{ GeV}^{-2}$$

- Ratio  $r_{el}$  ( $1 = \gamma$ ,  $2 = p$ ,  $3 = \pi^+$ ):

$$r_{el} = \left( \frac{b_{\gamma p}}{b_{\gamma \pi}} \right) \cdot \left( \frac{\sigma_{tot}^{\gamma \pi}}{\sigma_{tot}^{\gamma p}} \right)^2 = \left( \frac{1}{1 - (2.1/9.75)} \right) \cdot \left( \frac{2}{3} \right)^2 = (0.57 \pm 0.03)$$

- Absorption factor:

$$K_{abs} = \frac{r_{el}(\text{measured})}{r_{el}(\text{estimated})} = \frac{0.25 \pm 0.06}{0.57 \pm 0.03} = \mathbf{0.44 \pm 0.11}$$