# Combined measurement of charm and beauty production in DIS and extraction of $m_{\rm c}$ and $m_{\rm b}$

### Achim Geiser, DESY Hamburg for the H1 and ZEUS collaborations (+extensions)

HERA

7FUS

Low-x 2017 Bari, Italy June 14, 2017

The 2 of 11.00 1 -

IntroductionH1prelim-17-071, ZEUS-prel-17-001HERA charm and beauty data combination<br/>Charm and beauty mass fitscompare also talk<br/>0. Zenaiev DIS17Running of masses and Yukawa couplings<br/>ConclusionsarXiv:1705.08863, Pos CHARM2016 (2017) 012

### The HERA ep collider and experiments



### Heavy flavour contributions to DIS





14. 6. 17

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XBi

### Further charm results in DIS: D\*, D+, vtx 31157 (2013) 027

#### Reminder:



- → completes HERA measurements
- → consistent findings

→ will further improve combination, PDF and m<sub>c</sub> fits

→ recombine H1 and ZEUS

and add beauty, including c-b-correlations



### Data sets to be combined

H1prelim-17-071, ZEUS-prel-17-001



Data set		Tagging	$Q^2$ range		$N_c$	L	$\sqrt{s}$	$N_b$
			[GeV	$V^2$ ]		$[pb^{-1}]$	[GeV]	
1	H1 VTX [8]	VTX	5 –	2000	29	245	318	12
2	H1 D*+ HERA-I [9]	$D^{*+}$	2 –	100	17	47	318	
3	H1 $D^{*+}$ HERA-II (medium $Q^2$ ) [10]	$D^{*+}$	5 –	100	25	348	318	
4	H1 $D^{*+}$ HERA-II (high $Q^2$ ) [11]	$D^{*+}$	100 -	1000	6	351	318	
5	ZEUS D*+ 96-97 [12]	$D^{*+}$	1 –	200	21	37	300	
6	ZEUS D*+ 98-00 [13]	$D^{*+}$	1.5 –	1000	31	82	318	
7	ZEUS D <sup>0</sup> 2005 [14] (D <sup>+</sup> removed)	$D^0$	5 –	1000	9	134	318	
8	ZEUS µ 2005 [7]	μ	20 –	10000	8	126	318	8
9	$ZEUS(D^+)$ HERA-II [2]	$D^+$	5 –	1000	14	354	318	
10	ZEUS D*+ HERA-II [3]	$D^{*+}$	5 –	1000	31	363	318	
11	ZEUS VTX HERA-II [4]	VTX	5 –	1000	18	354	318	17
12	ZEUS e HERA-II [5]	е	10 –	1000		363	318	9
13	ZEUS $\mu$ + jet HERA-I [6]	μ	2 -	3000		114	318	11

- Combined data provided in kinematic range:  $2.5 \le Q^2 \le 2000 \text{ GeV}^2$ ,  $3 \times 10^{-5} \le x_{\text{Bj}} \le 5 \times 10^{-2}$
- Input 209 c, 52 b data points  $\Rightarrow$  combined 52 c, 27 b points 14. 6. 17 A. Geiser, charm and beauty in DIS, Lowx 17

new points

added



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BEAUTY



CHARM



 $\chi^2$ /dof = 149/187, including correlations: input data are consistent 14. 6. 17 A. Geiser, charm and beauty in DIS, Lowx 17 7





significantly improved precision compared to individual measurements noticeably (up to ~20%) improved precision compared to previous charm combination, final HERA combination 14. 6. 17 A. Geiser, charm and beauty in DIS, Lowx 17 8





significantly improved precision compared to individual measurements first (and final) HERA beauty DIS combination

### Fixed Flavour Number Scheme (FFNS)



- + NLO (+partial NNLO) corrections,
- "natural" scale:  $\mu^2 = \mathbf{Q}^2 + 4\mathbf{m}_c^2$

- no charm in proton
- full kinematical treatment of charm mass (multi-scale problem:  $Q^2$ ,  $p_T$ ,  $m_c$  -> logs of ratios)
- no resummation of logs 😣
- no extra matching ③ parameters

## Theoretical predictions vs. charm

### Theoretical predictions calculated using xFitter

ZEUS

[www.xfitter.org]

- input PDFs: HERAPDF2.0FF3A, ABM11, ABMP16, or fitted
- NLO or approx. NNLO as implemented in OPENQCDRAD
- $\mu_f = \mu_r = \sqrt{Q^2 + 4m_Q^2}$ , varied by factor 2 (dominant unc.)

overall reasonable description

•  $m_c(m_c) = 1.27 \pm 0.03$  GeV,  $m_b(m_b) = 4.18 \pm 0.03$  GeV [PDG2016], or fitted



H1prelim-17-071, ZEUS-prel-17-001

 $x_{Bi}$  slope shallower in theory than in data at low and medium  $Q^2$ 

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### Comparison to previous combination: charm



### Theoretical predictions calculated using xFitter

ZEUS

[www.xfitter.org]

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## same effect as in previous combination, reduced uncertainty -> effect more visible

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## Ratio data/predictions, charm



### **Theoretical predictions** calculated using xFitter

[www.xfitter.org]

- input PDFs: HERAPDF2.0FF3A, ABM11, ABMP16, or fitted
- NLO or approx. NNLO as implemented in OPENQCDRAD
- $\mu_f = \mu_r = \sqrt{Q^2 + 4m_Q^2}$ , varied by factor 2 (dominant unc.)
- $m_c(m_c) = 1.27 \pm 0.03$  GeV,  $m_b(m_b) = 4.18 \pm 0.03 \text{ GeV}$ [PDG2016], or fitted



#### overall reasonable description, some $x_{B_i}$ slope differences (as before) approximate NNLO does not improve description 14.6.17

## Theoretical predictions vs. beauty



## Theoretical predictions calculated using xFitter

[www.xfitter.org]

- input PDFs: HERAPDF2.0FF3A, ABM11, ABMP16, or fitted
- NLO or approx. NNLO as implemented in OPENQCDRAD
- $\mu_f = \mu_r = \sqrt{Q^2 + 4m_Q^2}$ , varied by factor 2 (dominant unc.)
- $m_c(m_c) = 1.27 \pm 0.03$  GeV,  $m_b(m_b) = 4.18 \pm 0.03$  GeV [PDG2016], or fitted



#### overall good description (larger data uncertainties, smaller x<sub>Bi</sub> range)

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## Ratio data/predictions, beauty

### Theoretical predictions calculated using xFitter

ZEUS

[www.xfitter.org]

- input PDFs: HERAPDF2.0FF3A, ABM11, ABMP16, or fitted
- NLO or approx. NNLO as implemented in OPENQCDRAD
- $\mu_f = \mu_r = \sqrt{Q^2 + 4m_Q^2}$ , varied by factor 2 (dominant unc.)
- $m_c(m_c) = 1.27 \pm 0.03$  GeV,  $m_b(m_b) = 4.18 \pm 0.03$  GeV [PDG2016], or fitted



overall good description approx. NNLO corrections + PDF effects are small in measured range

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### Combined inclusive HERA II DIS data



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## QCD analysis of combined charm,

## beauty and inclusive DIS data

### Similar to HERAPDF2.0 FF:

- performed using xFitter [www.xfitter.org]
- inclusive HERA data + new combined c&b data
- NLO DGLAP [QCDNUM] and matrix elements [OPENQCDRAD],  $n_f = 3$

• 
$$\mu_f = \mu_r = \sqrt{Q^2 + 4m_Q^2}$$
 varied by factor 2 (model unc.)

• free  $m_c(m_c)$ ,  $m_b(m_b)$ 

• 
$$\alpha_s (M_Z)^{n_f=3} = 0.106 \ (\to \alpha_s (M_Z)^{n_f=5} = 0.118)$$

- HERAPDF parametrisation, 14p
- fit uncertainty using  $\Delta \chi^2 = 1$
- model and parametrisation uncertainties

## Check: fit inclusive DIS data only

 $m_c(m_c) = 1798^{+144}_{-134}$ (fit) MeV

 $m_b(m_b) = 8450^{+2280}_{-1810}$ (fit) MeV

somewhat unphysical ...

H1prelim-17-071, ZEUS-prel-17-001

No full uncertainty evaluation, but large sensitivity to PDF parametrisation observed:

 $m_c(m_c) = 1798 \rightarrow 1450 \text{ MeV}, \ m_b(m_b) = 8450 \rightarrow 3995 \text{ MeV}$ in 13p reduced parametrisation recover ~ physical values! (PDG: 1270 and 4180 MeV)

- -> inclusive data alone can not reliably constrain HQ masses
- -> can yield bias (see also arXiv:1605.01946, JHEP 1608 (2016) 050), interplay between PDFs and HQ masses needs careful treatment

## -> use difference between 13p and 14p parametrisations as additional systematic uncertainty

### Fit inclusive, charm and beauty data

H1prelim-17-071, ZEUS-prel-17-001

measure charm and beauty quark masses in MSbar scheme





PDG2016:  $m_c(m_c) = 1270 \pm 30$  MeV,  $m_b(m_b) = 4180^{+40}_{-30}$  MeV

## significant improvement w.r.t. and consistent with previous H1/ZEUS mass determinations

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### Comparison with previous $m_c(m_c)$ results

#### from DIS data

APFEL

 $m_c(m_c) = 1290^{+46}_{-41}(\text{fit})^{+62}_{-14}(\text{mod})^{+7}_{-31}(\text{par}) \text{ MeV}$ H1/ZEUS preliminary

scheme	$m_c(m_c)$ [GeV]
FONLL (this work)	$1.335 \pm 0.043(\exp)^{+0.019}_{-0.000}(\operatorname{param})^{+0.011}_{-0.008}(\operatorname{mod})^{+0.033}_{-0.008}(\operatorname{th})$
FFN (this work)	$1.318 \pm 0.054 (\exp)^{+0.011}_{-0.010} (\operatorname{param})^{+0.015}_{-0.019} (\operatorname{mod})^{+0.045}_{-0.004} (\operatorname{th})$
FFN (HERA) [9]	$1.26 \pm 0.05(\text{exp}) \pm 0.03(\text{mod}) \pm 0.02(\text{param}) \pm 0.02(\alpha_s)$
FFN (Alekhin et al.) [24]	$1.24 \pm 0.03(\exp)^{+0.03}_{-0.02}(\operatorname{scale})^{+0.00}_{-0.07}(\operatorname{th})$ (approx. NNLO)
	$1.15 \pm 0.04 (\exp)^{+0.04}_{-0.00} (\text{scale}) \text{ (NLO)}$
S-ACOT- $\chi$ (CT10) [29]	$1.12^{+0.05}_{-0.11}$ (strategy 1)
	$1.18^{+0.05}_{-0.11}$ (strategy 2)
	$1.19_{-0.15}^{+0.06}$ (strategy 3)
	$1.24^{+0.06}_{-0.15}$ (strategy 4)
World average [53]	$1.275 \pm 0.025$

ABMP, arXiv:1701.05838 HERA, DY, ttbar and nu fixed target approx. NNLO  $m_c(m_c) = 1.252 \pm 0.018_{fit}$  GeV (not full uncertainty)

#### FF (new c/b + HERA II) +++

![](_page_19_Picture_6.jpeg)

all results (NLO, approx. NNLO, FFNS, VFNS) consistent

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### Charm quark mass running

A. Gizhko et al., arXiv:1705.08863

subdivide (previous) HERA DIS charm data into 6 kinematic intervals, determine running of charm-quark mass in MSbar scheme for the first time (conceptually similar to running of  $\alpha_s$  from jets)

![](_page_20_Figure_3.jpeg)

### Running of $\alpha_s$ and quark Yukawa couplings

#### PoS CHARM2016 (2017) 012

![](_page_21_Figure_2.jpeg)

## Summary and conclusions

final HERA DIS charm and beauty data have been combined
-> very good consistency, full correlations, reduced uncertainties, replaces previous charm combination

well-described by NLO QCD in FFNS -> measure charm and beauty quark masses in MSbar scheme  $m_c(m_c) = 1290^{+46}_{-41}(\text{fit})^{+62}_{-14}(\text{mod})^{+7}_{-31}(\text{par}) \text{ MeV}$  $m_b(m_b) = 4049^{+104}_{-109}(\text{fit})^{+90}_{-32}(\text{mod})^{+1}_{-31}(\text{par}) \text{ MeV}$ 

- split (previous) combined charm data into subsets spanning different scales
- -> first determination of charm quark mass running, consistent with QCD
  - convert to Higgs Yukawa couplings

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-> representation of running Yukawa couplings with running of strong coupling

![](_page_23_Picture_0.jpeg)

### Deep Inelastic ep Scattering at HERA

![](_page_24_Figure_1.jpeg)

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### Why are heavy flavours important?

- charm contribution to inclusive DIS data ~10-30%!
   kinematic effect of mass, fragmentation effects
   competing scales for perturbative expansion
  - e.g. m,  $Q^2$ ,  $p_T \rightarrow$  terms log  $Q^2/m^2$

 $\log p_T^2/m^2$  etc.

- "massless" treatment allows resummation beyond NLO, but fails near "mass threshold" -> avoid !
- "massive" treatment gets kinematics right, but does not allow resummation (fixed flavour number schemes) or induces ambiguities in QCD corrections near flavour threshold (variable flavour number schemes, available for semi-inclusive only)

### check theory against HERA data

## $\chi^2$ for different predictions

H1prelim-17-071, ZEUS-prel-17-001

Dataset	PDF	$\chi^2$	$\chi^2$ with PDF unc.
HERA 2012 of 11	HERAPDF20_NLO_FF3A_EIG	59	59
HEKA 2012 C [1]	abm11_3n_nlo	62	62
(dof = 52)	ABMP16_3_nnlo	64	63
New combined c	HERAPDF20_NLO_FF3A_EIG	86	85
New combined t	abm11_3n_nlo	92	91
(dof = 52)	ABMP16_3_nnlo	101	99
ZEUS VTY LIA	HERAPDF20_NLO_FF3A_EIG	14	14
2E03 VIX 0 [4]	abm11_3n_nlo	13	13
(dof = 17)	ABMP16_3_nnlo	14	14
New combined h	HERAPDF20_NLO_FF3A_EIG	33	33
rew combined b	abm11_3n_nlo	34	34
(dof = 27)	ABMP16_3_nnlo	39	39

 previous HERA charm combination EPJ C73 (2013) 2311

[4] ZEUS *b* lifetime tagging measurement JHEP09 (2014) 127

(most precise individual public data sets for c and b from HERA to date)

#### Quantitatively confirms observed findings:

- larger tension for new charm data owing to reduced uncertainties
- appr. NNLO does not improve data description compared to NLO
- overall small sensitivity to input PDFs

![](_page_27_Picture_0.jpeg)

![](_page_27_Picture_1.jpeg)

![](_page_27_Figure_2.jpeg)

well described using HERAPDF1.5 (VFNS) (fitted from inclusive DIS only)

EPJ C73 (2013) 2311

strong charm mass dependence (blue band: 1.35->1.6 GeV)

> constrains PDFs, -> talk O. Zenaiev

### Previous combination compared to ABM FFNS

![](_page_28_Figure_1.jpeg)

### Combination procedure

H1prelim-17-071, ZEUS-prel-17-001

- Take measured visible x-section  $\sigma_{vis}$  and extrapolate to full phase space  $\sigma_{red}$  using consistent NLO setup:  $\sigma_{red} = \sigma_{vis} \frac{\sigma_{red}^{NLO}}{\sigma_{vis}^{NLO}}$  [HVQDIS]
- Combine  $\sigma_{\rm red}$  accounting for bin-to-bin correlations [HERAverager]

#### NLO setup for extrapolation as in [DESY-12-172]

- pole masses  $m_c = 1.5 \pm 0.15 \text{ GeV}$ ,  $m_b = 4.5 \pm 0.25 \text{ GeV}$ consistent with extracted from data:  $m_c = 1.43 \pm 0.04 \text{ GeV}$ ,  $m_b = 4.35 \pm 0.11 \text{ GeV}$ and consistent with PDG:  $m_c = 1.67 \pm 0.07 \text{ GeV}$ ,  $m_b = 4.78 \pm 0.06 \text{ GeV}$
- $\mu_R = \mu_F = \sqrt{Q^2 + 4m_Q^2}$ , varied simultaneously by factor 2
- $\alpha_s^{n_f=3}(M_Z) = 0.105 \pm 0.002 \ [\alpha_s^{n_f=5}(M_Z) = 0.116 \pm 0.002]$
- HERAPDF1.0 FFNS, n<sub>f</sub> = 3, assign 2% uncor. unc. (checked vs HERAPDF2.0: see backup)
- c fragmentation: Kartvelishvili frag. function parametrised as step function with k<sub>T</sub> kink (H1, ZEUS meas. [DESY-08-080, DESY-08-209])
- b fragmentation: Peterson  $\epsilon_b = 0.0035 \pm 0.0020$  [NP B565 (2000) 245]
- charm fragmentation fractions [EPJ C76 (2016) 397]
- branching ratios PDG2016
- hadronisation uncertainties for data with jets in the final state

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### QCD analysis settings

H1prelim-17-071, ZEUS-prel-17-001

Similar to HERAPDF2.0 FF, using running HQ mass definition:

- xFitter-1.2.0
- Input data:
  - HERA  $e^{\pm}p$  inclusive data,  $Q^2_{\min} > 3.5 \text{ GeV}^2$  [1506.06042]
  - new HERA c and b combined
- FFNS  $n_f = 3$  ('FF ABM RUNM'),  $(\alpha_s(F_L) = \alpha_s(F_2))$

• 
$$\alpha_s^{n_f=3}(M_Z) = 0.106$$

- free  $m_c(m_c)$ ,  $m_b(m_b)$ , or PDG  $m_c(m_c) = 1.27$  GeV,  $m_c(m_c) = 4.18$  GeV
- DGLAP NLO [QCDNUM]
- PDF parametrisation: 14p HERAPDF at  $\mu_{f0}^2 = 1.9$  GeV<sup>2</sup>,  $f_s = 0.4$ :

 $\begin{aligned} xg(x) &= A_g x^{Bg} (1-x)^{Cg} - A'_g x^{B'g} (1-x)^{C'g} \\ xu_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} (1+E_{u_v} x^2) \\ xd_v(x) &= A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}} \\ x\bar{U}(x) &= A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} (1+D_{\bar{U}} x) \\ x\bar{D}(x) &= A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}} \end{aligned}$ Additional constrains:  $\begin{aligned} A_{\bar{U}} &= A_{\bar{D}} (1-f_s), \ B_{\bar{U}} &= B_{\bar{D}}, \ C'_g &= 25 \\ \int_0^1 [\sum_i (q_i(x) + \bar{q}_i(x)) + g(x)] x dx &= 1 \\ \int_0^1 [u(x) - \bar{u}(x)] dx &= 2, \\ \int_0^1 [d(x) - \bar{d}(x)] dx &= 1 \end{aligned}$ 

• fit ( $\Delta \chi^2 = 1$ ), model (scales,  $\alpha_s$ ,  $f_s$ ,  $Q^2_{\min}$ ) and par. ( $\mu_{f0}$ ,  $E_{u_v} = 0$ ) unc. 14. 6. 17 A. Geiser, charm and beauty in DIS, Lowx 17 31

## Running of $\alpha_{s}$ and quark masses $m_{Q}$

α<sub>s</sub> running depends on number of coulours N<sub>C</sub> and number of quark flavours n<sub>f</sub>

$$\alpha_{s}(\mu) = \frac{\alpha_{s}(\mu_{0})}{1 + \alpha_{s} \times (11N_{c} - 2n_{f})/12\pi \ln(\mu^{2}/\mu_{0}^{2})}$$

quark mass running depends on 
$$\alpha_s$$
, e.g.
m\_Q(pole) = m\_Q(m\_Q) (1 + 4/3 \alpha\_s/\pi)
= m\_Q(\mu) (1 + \alpha\_s/\pi (4/3 + \ln(\mu^2/m\_Q^2)))
or

$$m_Q(\mu) = m_Q(m_Q) \times \left(\frac{\alpha_s(\mu)}{\alpha_s(m_Q)}\right)^{c_0} \qquad c_0 = 4/(11 - 2n_f/3) = 4/9$$

 part of gluon field around quark not 'visible' any more when 'looking' at smaller distances/larger energy scales
 -> effective quark mass decreases

leading

### mb from reduced beauty cross section

DESY-14-083

ZEUS

![](_page_32_Figure_2.jpeg)

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#### The running beauty quark mass ZEUS ZEUS, JHEP 1409 (2014) 7; review, arXiv:1506.07519 LEP, Eur. Phys. J. C55 (2008) 525 Prog. Part. Nucl. Phys. 84 (2015) 1 translate to 2m<sub>b</sub> ZEUS m<sub>b</sub>(μ) [GeV $2m_{\rm h}$ PDG 4.5 (lattice etc.) LEP **MSbar** ZEUS scheme

3.5

3

2.5

2

PDG with evolved uncertainty

10

ZEUS

ALEPH

**OPAL** 

SLD

 $\star$ 

Δ

Ο

**DELPHI 3-jets** 

**DELPHI 4-jets NLO** 

10<sup>2</sup>

μ [GeV]

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## (White measurement of MS charm mass

![](_page_34_Picture_1.jpeg)

![](_page_34_Figure_2.jpeg)

simultaneous fit of combined charm data and inclusive HERA I DIS data

EPJ C73 (2013) 2311

![](_page_34_Figure_4.jpeg)

 $\begin{array}{ll} m_{c}(m_{c}) = 1.26 \pm 0.05_{exp} \pm 0.03_{mod} \pm 0.02_{\alpha s} & GeV \\ \mbox{PDG:} & 1.275 \pm 0.025 & GeV & (lattice QCD + time-like processes) \\ 14.6.17 & A. Geiser, charm and beauty in DIS, Lowx 17 \end{array}$ 

### Measurement of $m_c$ running

A. Gizhko et al., DESY-17-048

![](_page_35_Figure_2.jpeg)

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## $m_c$ fit and uncertainties

#### A. Gizhko et al., DESY-17-048

![](_page_36_Figure_2.jpeg)

- Variation of α<sub>s</sub>
- Variation of the factorisation and renormalization scales of heavy quarks by factor 2 -> outer error bar

### sensitivity to $m_c(m_c)$ decreases with increasing scale $\mu^2 = Q^2 + 4m_c^2$

### 'in reality', have measured $m_c(\mu)$ at each scale

### The running charm quark mass

A. Gizhko et al., DESY-17-048

Step 2: translate back to  $m_c(\mu)$ , which was actually measured, using LO formula consistent with NLO MS QCD fit

(OpenQCDrad, Alekhin et al.)

![](_page_37_Figure_4.jpeg)

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### Running of strong coupling "constant" $\alpha_s$ EPJC 75 (2015) 186

#### reminder

e.g. from jet production at e+e-, ep, and pp at DESY, Fermilab and CERN

![](_page_38_Figure_3.jpeg)