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7 **Study of Charm Fragmentation into $D^{*\pm}$ Mesons in** 8 **Deep-Inelastic Scattering at HERA**

9 **H1 Collaboration**

10 **Abstract**

11 The process of charm fragmentation is studied using $D^{*\pm}$ meson production in deep-
12 inelastic scattering as measured by the H1 detector at HERA. Two different methods are
13 used for the definition of the observable approximating the fraction of the four-momentum
14 of the $D^{*\pm}$ meson with respect to the charm quark. The momentum of the charm quark is
15 approximated in the γ^*p -rest-frame either by the momentum of the jet including the $D^{*\pm}$
16 meson or by the momentum of a hemisphere which includes the $D^{*\pm}$ meson. The parame-
17 ters of fragmentation functions are extracted using two QCD models based on leading order
18 matrix elements and DGLAP or CCFM evolution of partons together with string fragmen-
19 tation and particle decays. Additionally, they are determined for a next-to-leading order
20 QCD calculation in the fixed flavour number scheme.

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1 Introduction

The production of charm quarks is expected to be well described by perturbative QCD (pQCD) calculations due to the hard scale provided by the charm mass. The evolution of an “off-shell” charm quark via gluon radiation until it is “on-shell” can be calculated in pQCD in fixed order of the strong coupling or by summing all orders in the leading-log approximation. The transition of an on-shell charm quark into a charmed hadron is however not calculable within the framework of pQCD and is thus usually described by phenomenological models. One of the major characteristics of this transition process is the momentum fraction transferred from the quark to the hadron, which is parametrised by a fragmentation function.

There are several phenomenological models available, which describe the transition of a quark into hadrons, for example the independent fragmentation [1] and the string model [2]. The fragmentation function is defined in the context of a phenomenological model together with a pQCD calculation. Only if this context is fixed, is universality expected to hold, i.e. a fragmentation function extracted from experimental data of a given process can be used for predictions of different processes.

The fragmentation function is not a directly measurable quantity as the momentum of the heavy quark is experimentally not directly accessible. Also the momentum distribution of the heavy hadron can be only measured within certain limitations. Typically, the measurement cannot be performed over the whole phase space of the produced heavy hadron. The momentum spectrum is further distorted by heavy hadrons which are not produced directly, but are the result of decays of higher excited heavy hadrons, whose contribution is not well known.

The production of charmed hadrons has been measured in e^+e^- annihilation experiments, and parameters of fragmentation functions have been determined [3–10]. The H1 and ZEUS collaborations have published total cross sections for the production of various charmed hadrons in deep-inelastic ep scattering (DIS) [11] and in photoproduction [12]. These data show that the probabilities of charm quarks to fragment into various final state hadrons are consistent within experimental uncertainties for e^+e^- and ep collisions.

In this paper the transition of a charm quark into a $D^{*\pm}$ meson in DIS is further investigated. The normalised differential cross sections as a function of two observables with different sensitivity to gluon emissions are measured. The momentum of the charm quark is in one case approximated by the momentum of the jet which includes the $D^{*\pm}$ meson and in the other case by the momentum of a suitably defined hemisphere containing the $D^{*\pm}$ meson. The measurement is performed in the phase space, defined by the photon virtuality $2 < Q^2 < 100 \text{ GeV}^2$, the inelasticity $0.05 < y < 0.7$, the transverse momentum of the $D^{*\pm}$ meson $1.5 < P_T(D^{*\pm}) < 15 \text{ GeV}$ and the pseudorapidity $|\eta(D^{*\pm})| < 1.5$. In addition, the presence of a jet with $E_T^* > 3 \text{ GeV}$ in the γ^*p -rest-frame¹, containing the $D^{*\pm}$ meson, is required.

The resultant normalised differential cross sections are used to fit parameters of two different fragmentation functions within QCD models as implemented in the Monte Carlo (MC) programs RAPGAP/PYTHIA [13, 14] and CASCADE/PYTHIA [15], and for the next-to-leading order (NLO) QCD calculation as implemented in HVQDIS [16].

¹Variables with the superscript * refer to the rest-frame of the virtual photon (γ^*) and proton.

62 The paper is organised as follows. In section 2 a brief description of the H1 detector is given.
63 It is followed by the details of the event selection, the $D^{*\pm}$ meson signal extraction and the jet
64 finding and selection in section 3. The experimental fragmentation observables are defined in
65 section 4. The QCD models and calculations used for data corrections and for the extraction
66 of fragmentation functions are described in section 5. The data correction procedure and the
67 determination of systematic uncertainties is explained in section 6. Finally, in section 7 the
68 results of the measurements and of the fits of the fragmentation parameters are given.

69 2 H1 Detector

70 The data were collected with the H1 detector at HERA in the years 1999 and 2000. During
71 this period HERA collided positrons of energy $E_e = 27.5$ GeV with protons of energy $E_p =$
72 920 GeV corresponding to a centre-of-mass energy of $\sqrt{s} = 319$ GeV. The data sample used
73 for this analysis corresponds to an integrated luminosity of 47 pb^{-1} .

74 A detailed description of the H1 detector can be found in [17]. Here only the relevant
75 components for this analysis are described. A right handed Cartesian coordinate system is used
76 with the origin at the nominal primary ep interaction vertex. The direction of the proton beam
77 defines the positive z -axis (forward direction). Transverse momenta are measured in the x - y
78 plane. Polar (θ) and azimuthal (ϕ) angles are measured with respect to this reference system.
79 The pseudorapidity is defined as $\eta = -\ln \tan \frac{\theta}{2}$.

80 The scattered positron is identified and measured in the SpaCal calorimeter [18], a lead-
81 scintillating fibre calorimeter situated in the backward region of the H1 detector, covering
82 the polar angular range $-4.0 < \eta < -1.4$. Hits in the backward drift chamber (BDC) are
83 used to improve the identification of the scattered positron and the measurement of its angle.
84 Charged particles emerging from the interaction region are measured by the Central Silicon
85 Track detector (CST) [19] and the Central Tracking Detector (CTD), which covers a range
86 $-1.74 < \eta < 1.74$. The CTD comprises two large cylindrical Central Jet drift Chambers (CJs)
87 and two z -chambers situated concentrically around the beam-line within a solenoidal magnetic
88 field of 1.16 T. The CTD also provides triggering information based on track segments measured
89 in the r - ϕ -plane of the CJs and on the z -position of the event vertex obtained from the double
90 layers of two Multi-Wire Proportional Chambers (MWPCs). In the central and forward region
91 the track detectors are surrounded by a finely segmented Liquid Argon calorimeter (LAR) [20].
92 It consists of an electromagnetic section with lead absorbers and a hadronic section with steel
93 absorbers and covers the range $-1.5 < \eta < 3.4$.

94 The luminosity determination is based on the measurement of the Bethe-Heitler process
95 ($ep \rightarrow ep\gamma$), where the photon is detected in a calorimeter close to the beam pipe at $z = -103$ m.

96 3 Data Selection and Analysis

The events selected in this analysis are required to contain a scattered positron in the SpaCal
and at least one $D^{*\pm}$ meson candidate, as reconstructed from tracks measured with the CST

and CTD. The scattered positron is required to have an energy above 8 GeV. The virtuality of the photon Q^2 , as well as the inelasticity y of the event and the boost to the γ^*p -rest-frame are determined from the measured energy and polar and azimuthal angle of the positron. In addition, this analysis makes use of the γ^*p centre-of-mass energy W :

$$Q^2 = 4E_e E'_e \cos^2 \left(\frac{\Theta_e}{2} \right) \quad y = 1 - \frac{E'_e}{E_e} \sin^2 \left(\frac{\Theta_e}{2} \right) \quad (1)$$

$$W^2 = ys - Q^2 ,$$

97 where $s = 4E_e E_p$, and E_e and E_p denote the energies of the incoming positron and proton,
 98 respectively. The photon virtuality is required to be in the range $2 < Q^2 < 100 \text{ GeV}^2$. This
 99 kinematic range is determined by the geometric acceptance of the SpaCal. The inelasticity
 100 of the event is required to lie in the region $0.05 < y < 0.7$. The difference between the
 101 total energy E and the longitudinal component of the total momentum P_z , as calculated from
 102 the scattered positron and the hadronic final state, is restricted to $40 < E - P_z < 75 \text{ GeV}$.
 103 This requirement suppresses photoproduction background, where a hadron is misidentified as
 104 the scattered positron. It also reduces the contribution of DIS events with initial state photon
 105 radiation for which the escaped positron or photon in the $-z$ -direction leads to values of $E - P_z$
 106 lower than the expectation $2E_e = 55 \text{ GeV}$.

107 The $D^{*\pm}$ mesons are reconstructed using the decay channel $D^{*\pm} \rightarrow D^0 \pi_s^\pm \rightarrow (K^\mp \pi^\pm) \pi_s^\pm$,
 108 where π_s denotes the low momentum pion from the $D^{*\pm}$ meson decay. Requirements on the
 109 transverse momentum and pseudorapidity of the $D^{*\pm}$ meson candidate and its decay products
 110 as well as on particle identification using dE/dx are very similar to those used in previous H1
 111 analyses [21]. A summary of the kinematic requirements is given in table 1.

D^0	$P_T(K, \pi) > 0.25 \text{ GeV}$ $P_T(K) + P_T(\pi) > 2 \text{ GeV}$ $ M(K\pi) - M(D^0) < 0.07 \text{ GeV}$
$D^{*\pm}$	$P_T(\pi_s) > 0.12 \text{ GeV}$ $ \eta(D^{*\pm}) < 1.5$ $1.5 < P_T(D^{*\pm}) < 15 \text{ GeV}$

Table 1: Kinematic requirements for the selection of $D^{*\pm}$ meson candidates.

112 To select $D^{*\pm}$ meson candidates the mass difference $\Delta M_{D^{*\pm}} = M(K\pi\pi_s) - M(K\pi)$ [22] is
 113 used. Its distribution for the full data sample is shown in figure 1 together with the wrong charge
 114 $K^\pm \pi^\pm \pi_s^\mp$ combinations, using $K^\pm \pi^\pm$ pairs in the accepted D^0 mass range. The wrong charge
 115 $\Delta M_{D^{*\pm}}$ distribution provides a good description of the right charge combinatorial background
 116 and is thus used to stabilise the fit of the background in the signal region.

117 The signal is extracted using a simultaneous fit to the $\Delta M_{D^{*\pm}}$ distribution of the right and
 118 wrong charge combinations. The signal is fitted using a modified Gaussian function

$$G_{\text{mod}} \propto N_{D^{*\pm}} \exp \left[-0.5 x^{1+1/(1+0.5x)} \right] ,$$

119 where $x = |\Delta M_{D^{*\pm}} - M_0|/\sigma$. The signal position M_0 and width σ as well as the number of
120 $D^{*\pm}$ mesons $N_{D^{*\pm}}$ are free parameters of the fit. The background is parametrised as a power
121 function of the form $N/(a + 1) (\Delta M_{D^{*\pm}} - m_\pi)^a / (M - m_\pi)^{a+1}$. The two free parameters a
122 and N determine the shape and normalisation of the background. First, the total event sample is
123 fitted using six free parameters, i.e. three for the modified Gaussian, two for the normalisation
124 of the right and wrong charge $\Delta M_{D^{*\pm}}$ distributions and one for the background shape, common
125 for the right and wrong charge combinatorial background. The number of $D^{*\pm}$ mesons in the
126 total sample is 2865 ± 89 (stat.). The number of $D^{*\pm}$ mesons in the measurement bins shown in
127 figures 3-9 is extracted using the same procedure, except that a four parameter fit is performed
128 with the position of the signal peak and its width fixed to the values determined from the fit to
129 the full sample.

130 The hadronic final state is reconstructed in each event using an energy flow algorithm. It
131 combines charged particle tracks and calorimetric energy clusters, taking into account their
132 respective resolution and geometric overlap, into so called hadronic objects while avoiding
133 double counting of energy. The three hadronic objects, corresponding to the three decay tracks
134 forming the $D^{*\pm}$ meson, are removed from the event and replaced by the four-momentum vector
135 of the reconstructed $D^{*\pm}$ meson candidate.

136 Jets are found in the γ^*p -rest-frame using the inclusive k_T cluster algorithm [23] with
137 distance parameter $R = 1$ in the η - ϕ plane. To combine hadronic objects into jets, the E-
138 recombination scheme is applied, in which the four-momenta of the objects are used. For the
139 $D^{*\pm}$ meson mass the nominal value is used. The jet containing the $D^{*\pm}$ meson candidate is
140 referred to as $D^{*\pm}$ jet and is required to satisfy the condition $E_T^* > 3$ GeV in the γ^*p -rest-
141 frame. This rather low jet transverse momentum requirement allows to collect a large sample
142 of $D^{*\pm}$ mesons, which are mainly produced at low P_T as a consequence of the $c\bar{c}$ produc-
143 tion enhancement near threshold. Nevertheless, according to MC simulations, the $D^{*\pm}$ jet is
144 found to be well correlated with the originating charm or anti-charm quark. The distance in
145 azimuth-pseudorapidity, $\Delta r = \sqrt{\Delta\eta^2 + \Delta\phi^2}$, between the charm quark jet found using final
146 state partons and the $D^{*\pm}$ jet found using final state hadrons is below 0.3 for 90% of all cases.
147 The correlation between hadron and detector level is even better, since most of the energy of
148 low E_T^* jets can be reconstructed from tracks which are well measured in the tracking system.
149 The number of $D^{*\pm}$ mesons in the sample of events with a $D^{*\pm}$ jet is 1508 ± 68 (stat.).

150 4 Definition of Experimental Observables

151 A convenient method to study fragmentation is to measure the differential cross section of a
152 heavy hadron (H) as a function of a scaled momentum or energy z . In e^+e^- experiments a
153 customary experimental definition is $z_{e^+e^-} = E_H/E_{\text{beam}}$, where E_{beam} is the energy of the
154 beams in the centre-of-mass system. In leading order, i.e. without gluon emissions, the beam
155 energy is also equal to the energy of the charm or anti-charm quark, which are produced in a
156 colour singlet state. The differential cross section of heavy hadron production as a function of
157 z is directly related to the fragmentation function.

158 In the case of ep interactions, the situation is more complex. In DIS the dominant process
159 for $D^{*\pm}$ meson production occurs via boson-gluon fusion $\gamma^*g \rightarrow c\bar{c}$ (BGF) [21]. In this case

160 the $c\bar{c}$ pair is produced in a colour octet state. The energy of the charm quarks depends on the
 161 energy of the incoming photon and gluon. Hadrons produced by initial state gluon emissions
 162 and by fragmentation of the proton remnant are also present in the final state. Final state gluon
 163 radiation occurs of course in e^+e^- as well as in ep interactions.

164 In this analysis two methods to study the fragmentation function are used by measuring the
 165 differential cross sections of $D^{*\pm}$ mesons as a function of two different observables, related to
 166 the fraction of momentum inherited by the $D^{*\pm}$ meson from the initial charm quark.

167 **The hemisphere method: $z=z_{\text{hem}}$**

168 An illustration of the hemisphere method is shown in figure 2. In the leading order BGF process,
 169 dominating charm production at HERA, the charm and anti-charm quarks are moving in the
 170 direction of the virtual photon in the γ^*p -rest-frame of reference. This is due to the fact that
 171 the photon is on average more energetic than the gluon, which typically carries only a small
 172 fraction of the proton's momentum. Assuming no further gluon radiation in the initial and
 173 final state, the charm and anti-charm quarks are balanced in transverse momentum (figure 2,
 174 left). This observation suggests to divide the event into two hemispheres, one containing the
 175 fragmentation products of the charm quark, the other one those of the anti-charm quark. In
 176 order to suppress contributions from initial state radiation and the proton remnant, particles
 177 pointing in the proton direction, i.e. particles with $P_z^* < 0$ are discarded (the photon direction
 178 is taken as the direction of the z -axis in the γ^*p -rest-frame). The projections of the momenta
 179 of the remaining particles onto a plane perpendicular to the γ^*p -axis are determined. Using
 180 the projected momenta, the thrust-axis in this plane, i.e. the axis maximising the sum of the
 181 longitudinal momenta of these particles along this axis, is found. A line perpendicular to the
 182 thrust-axis allows to divide the projected event into two hemispheres, one of them containing the
 183 $D^{*\pm}$ meson and usually other particles (figure 2, right). The particles that belong to the same
 184 hemisphere as the $D^{*\pm}$ meson are attributed to the fragmentation of the charm or anticharm
 185 quark. The fragmentation observable is thus defined as:

$$z_{\text{hem}} = \frac{(E^* + P_L^*)_{D^{*\pm}}}{\sum_{\text{hem}} (E^* + P^*)}, \quad (2)$$

186 where in the denominator the energy and momentum of all particles of the $D^{*\pm}$ meson hemi-
 187 sphere are summed. The longitudinal momentum $P_{L D^{*\pm}}^*$ is defined with respect to the direction
 188 of the three-momentum of the hemisphere, defined as a sum of three-momenta of all particles
 189 belonging to the hemisphere.

190 **The jet method: $z=z_{\text{jet}}$**

191 In the case of the jet method the energy and direction of the charm quark are approximated by
 192 the energy and direction of the reconstructed jet which contains the $D^{*\pm}$ meson. The fragmen-
 193 tation observable is defined as:

$$z_{\text{jet}} = \frac{(E^* + P_L^*)_{D^{*\pm}}}{(E^* + P^*)_{\text{jet}}}, \quad (3)$$

194 where the longitudinal momentum $P_{LD^{*\pm}}^*$ is defined with respect to the direction of the three-
 195 momentum of the jet. The jet finding and the determination of z_{jet} are performed in the γ^*p -
 196 rest-frame.

197 These fragmentation observables are defined in such a way that, assuming no gluon radi-
 198 ation and independently fragmenting charm quarks, they would lead to similar distributions.
 199 The measured distributions, however, are expected to differ, as they have different sensitivity
 200 to gluon radiation and charm quarks which do not fragment independently. The hemisphere
 201 method typically includes more energy around the charm quark direction than the jet method.
 202 The parameters of fragmentation functions however, if extracted with QCD models which pro-
 203 vide a very good description of the underlying physics over the full phase space of this analysis,
 204 should be the same. A comparison of both methods thus may provide a consistency check or a
 205 test of the perturbative and non-perturbative physics as encoded in the models.

206 In order to minimise the sensitivity of the analysis to the total $D^{*\pm}$ meson cross section,
 207 and to reduce systematic errors, shape normalised differential cross sections as a function of
 208 the fragmentation observables z_{hem} and z_{jet} are measured. The z_{hem} and z_{jet} distributions are
 209 normalised to unity in the respective z -region, where the measurement can be performed, i.e.
 210 $0.2 < z_{\text{hem}} \leq 1.0$ and $0.3 < z_{\text{jet}} \leq 1.0$.

211 5 QCD Models

212 QCD models are used to generate events containing charm and beauty quarks, which are then
 213 passed through a detailed simulation of the detector response, based on the GEANT simulation
 214 program [24], and are reconstructed using the same software as used for the data. The obtained
 215 event samples are used to determine the acceptance and efficiency and to estimate the systematic
 216 errors associated with the measurements. Fitting these models to data, the parameters of the
 217 underlying fragmentation functions are determined.

218 The Monte Carlo program RAPGAP [13], based on collinear factorisation and DGLAP [25]
 219 evolution, is used to generate the direct process of photon-gluon fusion to a heavy (charm or
 220 beauty) quark anti-quark pair, where the photon acts as a point-like object. In addition, RAP-
 221 GAP allows the simulation of charm production via resolved processes, where the photon fluctu-
 222 ates into partons, one of which interacts with a parton in the proton, and the remaining partons
 223 produce a photon remnant. The program uses LO matrix elements with massive (massless)
 224 charm quarks for the direct (resolved) processes. Parton showers based on DGLAP evolution
 225 are used to model higher order QCD effects.

226 The CASCADE program [15] is based on the k_T -factorisation approach. In the $\gamma^*g \rightarrow c\bar{c}$
 227 matrix element the charm mass is taken into account, but also the fact that the incoming gluon
 228 is off mass-shell and can have a finite transverse momentum. Parton showers off the charm or
 229 anti-charm quark are implemented including angular ordering constraints. The incoming gluon

230 density is evolved according to the CCFM equations [26]. The k_T -unintegrated gluon density
 231 function is used as obtained from an analysis of inclusive DIS data [27].

232 In both RAPGAP and CASCADE the hadronisation of partons is performed using the Lund
 233 string model as implemented in PYTHIA [14]. In the Lund model, the heavy hadron is produced
 234 in the process of string breaking. The fraction of the string longitudinal momentum carried by
 235 the hadron is generated according to different choices of adjustable fragmentation functions.
 236 Within this analysis three widely used parametrisations are employed, two of them depend on a
 237 single free parameter, and one depends on two free parameters. The parametrisation suggested
 238 by Peterson et al. [28] has the functional form:

$$D_Q^H(z) \propto \frac{1}{z[1 - (1/z) - \varepsilon/(1 - z)]^2} \quad (4)$$

239 and the one by Kartvelishvili et al. [29] is given by:

$$D_Q^H(z) \propto z^\alpha(1 - z). \quad (5)$$

240 The free parameters ε and α determine the "hardness" of the fragmentation function and are
 241 specific to the flavour of the heavy quark, i.e. charm in case of $D^{*\pm}$ meson production. The
 242 parametrisation inspired by Bowler and Morris [30] (referred to as Bowler parametrisation) has
 243 the functional form:

$$D_Q^H(z) \propto \frac{1}{z^{1+r_Q b m_Q^2}} (1 - z)^a \exp\left(-\frac{b M_T^2}{z}\right). \quad (6)$$

244 The shape of the fragmentation function is determined by two free parameters a and b , m_Q is
 245 the mass of the heavy quark, $M_T = \sqrt{M^2 + P_T^2}$ the transverse mass of the heavy hadron, and
 246 $r_Q = 1$ as default in PYTHIA.

247 For correcting data, the parameter setting tuned by the ALEPH collaboration [31] is used
 248 for the fragmentation of partons in PYTHIA. It includes higher excited charm states of which
 249 some also decay to $D^{*\pm}$ mesons and contribute significantly to the $D^{*\pm}$ meson yield. When
 250 extracting fragmentation functions also the default parameter set of PYTHIA with the Peterson
 251 fragmentation function is used as an alternative. In this case no higher excited charm states are
 252 produced. Both parameter settings are indicated in table 4.

253 The HVQDIS program [16] is also used to extract parameters for the Kartvelishvili and Pe-
 254 terson fragmentation functions. It is based on the full NLO, i.e. $\mathcal{O}(\alpha_s^2)$, calculation in the fixed
 255 flavour number scheme, with three light active flavours and the gluon in the proton. The proton
 256 PDFs of the light quarks and the gluon are evolved according to the DGLAP equations. Mas-
 257 sive charm (or beauty) quarks are assumed to be produced only perturbatively via photon-gluon
 258 fusion and higher-order processes. The final state charm quarks are fragmented independently
 259 into $D^{*\pm}$ mesons in the γ^*p -rest-frame. The Kartvelishvili or Peterson parametrisations are used
 260 to generate the fraction of the c -quark's momentum transferred to the $D^{*\pm}$ meson. Its energy is
 261 calculated using the on-mass-shell condition. In addition, to account for possible P_T smearing
 262 of the $D^{*\pm}$ meson, it can be given a transverse momentum P_T with respect to the charm quark,
 263 according to the function $P_T \exp(-\beta P_T)$. The value used for the parameter β corresponds to
 264 $\langle P_T \rangle = 350$ MeV [21].

265 The Monte Carlo programs RAPGAP and HERWIG [32] are used to estimate the size of the
 266 hadronisation corrections. While the perturbative QCD model of HERWIG is similar to the one
 267 of RAPGAP, the HERWIG program employs the cluster hadronisation model, which is quite
 268 different from the Lund string model used by PYTHIA.

269 The basic parameter choices for various Monte Carlo and NLO programs are summarised
 270 in table 2.

	RAPGAP	CASCADE	HERWIG	HVQDIS
proton PDF	CTEQ5L [33]	A0 [27]	CTEQ5L [33]	CTEQ5F3 [33]
photon PDF	SaSD-2D [34]		SaSG-1D [34]	
μ	$\sqrt{Q^2 + P_T^2}$	$\sqrt{4m_c^2 + P_T^2}$	$\sqrt{\hat{s}}$	$\sqrt{Q^2 + 4m_c^2}$
m_c [GeV]	1.5	1.5	1.5	1.5
fragmentation model	Lund string	Lund string	cluster	independent

Table 2: Parton density functions (PDFs), fragmentation models and basic parameters used in the Monte Carlo and NLO programs. The renormalisation and factorisation scales are set equal, $\mu = \mu_r = \mu_f$ (apart from CASCADE where $\mu_f = \sqrt{\hat{s} + Q_T^2}$; here the invariant mass squared and the transverse momentum squared of the $c\bar{c}$ -pair are denoted by \hat{s} and Q_T^2 , respectively), and m_c is the charm quark mass.

271 6 Data Corrections and Systematic Errors

272 The data are corrected for detector and QED radiative effects. The small contribution of $D^{*\pm}$
 273 mesons originating from B-hadron decays is estimated with RAPGAP and is subtracted from
 274 the data. It is less than 2%. The transverse momentum and pseudorapidity distributions of the
 275 $D^{*\pm}$ mesons of the Monte Carlo events are reweighted simultaneously to those of the data in
 276 order to achieve an improved description. The η and P_T reweighting factors applied differ from
 277 unity by typically 10 – 30%. After this reweighting, both MC models and the detector simula-
 278 tion provide a good description of the data as shown in figure 3. The purity of the bins of the
 279 hemisphere and the jet sample, defined as the fraction of events reconstructed in a Z_{hem} or Z_{jet}
 280 bin that originate from that bin on hadron level, is found to vary between 40 and 70%, mainly
 281 driven by the resolution in z . Correction for the detector effects is done using regularised decon-
 282 volution, taking into account migrations between measurement bins [35]. The detector response
 283 matrix is generated using RAPGAP, and the value of the regularisation parameter is determined
 284 using decomposition of the data into eigenvectors of the detector response matrix. Statistical
 285 errors are calculated by error propagation using the covariance matrix, assuming that statistical
 286 errors on detector level are not correlated between bins. The data are then corrected for mi-
 287 grations from and into the visible phase space using RAPGAP and CASCADE. The effects of
 288 QED radiation are corrected for using the HERACLES [36] program which is interfaced with
 289 RAPGAP. Correction factors are calculated from the ratio between cross section obtained from
 290 the model including and not including QED radiation. The corrections are applied bin-by-bin
 291 in Z_{hem} or Z_{jet} .

292 The HVQDIS program provides a full partonic final state and additionally the $D^{*\pm}$ meson.
 293 While the quantity $(E^* + P_L^*)_{D^{*\pm}}$ in equation 2 and 3 is calculated using the momentum of
 294 the $D^{*\pm}$ meson, the jet finding and the calculation of hemisphere quantities, denominators in
 295 equations 2 and 3, are performed using the partonic final state. In order to compare the mea-
 296 sured cross sections with HVQDIS predictions, hadronisation corrections are applied to the
 297 data. They are estimated by comparing the parton and hadron level cross sections of RAPGAP
 298 and HERWIG. All partons of RAPGAP and HERWIG after the parton shower step are consid-
 299 ered, and the same jet and hemisphere finding algorithms are applied at parton and hadron level.
 300 For each z-bin the hadronisation correction factor is calculated as the ratio of parton to hadron
 301 level cross section. The arithmetic mean of the hadronisation correction factors of both models
 302 is used to multiply the data cross section. In case of z_{hem} the hadronisation corrections differ
 303 from unity by typically 40%. For z_{jet} they differ from unity by typically 20%, except for the
 304 highest z-bin, where they differ from unity by 50%.

305 The following sources of systematic errors on the differential cross sections are considered:

- 306 • The energy of the scattered positron is varied by $\pm 3\%$ for an energy of 8 GeV and by
 307 $\pm 1\%$ for 27 GeV. For intermediate energies the size of the variation is linearly dependent
 308 on energy.
- 309 • The polar angle of the scattered positron is varied by its estimated uncertainty of ± 1 mrad.
- 310 • To estimate the effect of the uncertainty of the energy scale of hadronic objects, the ener-
 311 gies of tracks are varied by $\pm 0.5\%$, of clusters in LAr by $\pm 4\%$ and in SpaCal by $\pm 7\%$.
- 312 • The effect of the tracking efficiency uncertainty on reconstructing the $D^{*\pm}$ meson is esti-
 313 mated by changing the nominal efficiency in the simulation as a function of track η and
 314 P_T . In the corners of the accepted η, P_T phase space it is varied by $\pm 4\%$, and in the main
 315 region by $\pm 2\%$.
- 316 • The value of dE/dx of the $D^{*\pm}$ meson decay products is varied in MC by $\pm 8\%$, which
 317 corresponds to the experimental resolution in dE/dx .
- 318 • Different procedures are applied to extract the $D^{*\pm}$ meson signal. The number of $D^{*\pm}$
 319 mesons is determined from the parameters of the fit and from counting the entries above
 320 the fitted background in the signal region.
- 321 • The nominal cross section of beauty production of the RAPGAP MC is increased by a
 322 factor of 2. This reflects the fact that its predictions tend to underestimate the measured
 323 beauty cross section.
- 324 • The effect of using different MC models for the small correction of migrations from
 325 and into the visible phase space is studied using RAPGAP and CASCADE. The average
 326 correction factors are determined from the two models and are used to correct the data.
 327 Half of the difference is taken as systematic error.
- 328 • For parton level corrected distributions half of the difference between the hadronisation
 329 correction factors of RAPGAP and HERWIG is taken as the uncertainty due to the differ-
 330 ent fragmentation model, i.e. Lund string versus cluster model.

331 Other systematic errors which are investigated and found to be negligible are: the effect
 332 of reflections, i.e. wrongly or incompletely reconstructed $D^{*\pm}$ meson decays, on the shape
 333 of the fragmentation observables, the effect of including diffractive events on acceptance and
 334 reconstruction efficiency and the effect of using different MC models for the deconvolution of
 335 the data.

336 Each source of systematic error is varied in the Monte Carlo within its uncertainty. In each
 337 measurement bin, the corresponding deviation of the normalised cross sections from the central
 338 value is taken as systematic error. Among the systematic errors the uncertainties due to the
 339 scattered positron energy scale, the hadronic energy scale, and the beauty fraction are correlated
 340 amongst the bins in z . In the extraction of the parameters of the fragmentation functions, the
 341 uncorrelated as well as correlated statistical and systematic errors are taken into account. The
 342 average effect of various systematic errors on the z_{hem} and z_{jet} distributions is summarised
 343 in table 3. Since the distributions of z_{hem} and z_{jet} are shape-normalised, the effect of many
 344 systematic uncertainties is reduced such that the statistical error is dominating the measurement.

sources of systematic uncertainty	z_{hem} error	z_{jet} error
scattered positron energy scale	0.8%	0.5%
positron scattering angle	0.1%	0.1%
hadronic energy scale	3.0%	2.5%
track reconstruction efficiency	0.1%	0.1%
dE/dx measurement	0.1%	0.3%
$D^{*\pm}$ signal extraction	1.7%	1.7%
beauty fraction	1.2%	0.9%
model dependence of correction for migrations from and into the visible phase space	0.1%	0.3%
total systematic uncertainty	3.8%	3.4%
statistical uncertainty	9.5%	10.9%
uncertainty on corrections for hadronisation effects	3.9%	9.6%
total syst. uncertainty on data corrected for hadronisation effects	5.7%	10.6%

Table 3: Experimental and theoretical systematic uncertainties of the normalised z distributions, averaged over all bins. The last two entries in the table apply only when data are additionally corrected for hadronisation effects for comparison with HVQDIS.

345

7 Experimental Results

7.1 Normalised differential cross sections and comparison with different predictions

The normalised differential cross sections of $D^{*\pm}$ meson production as a function of the fragmentation observables z_{hem} and z_{jet} are shown in figure 4. They refer to the visible phase space given by $2 < Q^2 < 100 \text{ GeV}^2$, $0.05 < y < 0.7$ and $1.5 < P_{\text{T}}(D^{*\pm}) < 15 \text{ GeV}$, $|\eta(D^{*\pm})| < 1.5$. In addition, a $D^{*\pm}$ jet with $E_{\text{T}}^* > 3 \text{ GeV}$ in the γ^*p -rest-frame is required in order to have the same hard scale in the event for both distributions, z_{hem} and z_{jet} . The measurements and the corresponding predictions are normalised to unity (see section 4). The striking difference between the two distributions observed in the highest z_{jet} bin is mainly due to a significant fraction of $D^{*\pm}$ jets consisting of a $D^{*\pm}$ meson only, for which z_{jet} equals unity. The normalised cross sections and their errors are given in table 5 for the hemisphere and in table 6 for the jet observable.

Figure 4 also includes predictions of RAPGAP with three commonly used fragmentation parameter settings for PYTHIA (see table 4), obtained from e^+e^- annihilation. The values of the corresponding $\chi^2/\text{n.d.f.}$, as calculated from the data and the model predictions, as well as the combination of parameter settings and corresponding values for the fragmentation function parameter used, are summarised in table 7. In general, there is reasonable agreement between data and the QCD model with all settings for both the jet and the hemisphere observable.

7.2 Extraction of parameters for the Kartvelishvili and Peterson fragmentation functions

The normalised $D^{*\pm}$ meson differential cross sections as a function of z_{hem} and z_{jet} are used to extract optimal parameters for the Peterson and Kartvelishvili fragmentation functions described in section 5.

The parameter extraction is done by comparing different model configurations to data. A configuration is defined by one of the QCD calculations (RAPGAP, CASCADE, or HVQDIS), by one of the fragmentation functions (Peterson or Kartvelishvili) and by a possible value for the corresponding fragmentation parameter, ε or α . In order to be able to compare all configurations to data, a reweighting procedure is applied. Large event samples with $D^{*\pm}$ mesons are generated for each of the three QCD calculations and for one fragmentation function. For these events the z -value of the fragmentation function used by the model to generate the fraction of charm quark (or string) momentum transferred to the $D^{*\pm}$ meson is stored such that each event can be reweighted to another fragmentation function or any other parameter value. For each configuration the predicted and measured distributions of the fragmentation observables are used to determine a χ^2 as a function of the fragmentation parameter. In the calculation of χ^2 the full covariance matrix is used, taking into account correlated and uncorrelated statistical and systematic errors. The best value of the fragmentation parameter is found at the minimum of χ^2 . The shape of the χ^2 distribution (with $\chi_{\text{min}}^2 + 1$) is used to determine the $\pm 1\sigma$ error of the extracted parameter. As an example, in figure 5 the data are compared to the prediction of

385 RAPGAP using the ALEPH setting as given in table 4 and the Kartvelishvili parametrisation,
386 with two lines indicating the $\pm 1\sigma$ total uncertainty around the best fit value of α .

387 The parameters α and ε , which are extracted using RAPGAP and CASCADE, with and
388 without higher excited charmed hadrons, are summarised in table 8 together with their corre-
389 sponding values of $\chi^2/\text{n.d.f.}$. With the fitted parameters, the model predictions using either the
390 Peterson or Kartvelishvili parametrisations describe the data reasonably well, as can be seen
391 from the values of $\chi^2/\text{n.d.f.}$. When using the same parameter settings, the fragmentation param-
392 eters extracted from the z_{hem} and z_{jet} observables are in good agreement. Both RAPGAP and
393 CASCADE lead to statistically compatible parameters ε and α . A priori, agreement in the frag-
394 mentation function parameters for RAPGAP and CASCADE is not required, since the models
395 differ in terms of simulated processes (direct and resolved in case of RAPGAP vs. direct only
396 for CASCADE) and in their implementation of perturbative QCD.

397 The fragmentation parameters α and ε depend significantly on the parameter settings used,
398 i.e. whether $D^{*\pm}$ mesons are assumed to be produced only via direct fragmentation of charm
399 quarks or additionally originate from decays of higher excited charm states. In the latter case
400 the $D^{*\pm}$ -mesons carry a smaller fraction of the original charm or anti-charm quark momentum
401 in comparison with the directly produced ones. Both the default settings and the settings con-
402 taining higher excited charm states lead to a reasonable description of the data. The value of
403 the Peterson parameter ε , extracted for the setting containing higher charm states, is in agree-
404 ment with the value $\varepsilon = 0.04$ tuned by ALEPH, supporting the hypothesis of fragmentation
405 universality between ep and e^+e^- . In an alternative method parameter values are determined
406 by directly fitting Monte Carlo predictions at detector level to uncorrected data. The results
407 obtained are found to be in very good agreement with our nominal procedure.

408 The NLO calculation as implemented in HVQDIS with the Kartvelishvili fragmentation
409 function leads to a good fit of the data, while it fails to describe z_{hem} and also z_{jet} when the
410 Peterson fragmentation function is used (see table 8 and figure 6). The effect of smearing the
411 P_T of the $D^{*\pm}$ meson with respect to the charm quark on the extracted value of α is very small
412 in both cases.

413 In order to study whether the Q^2 or W dependence of the fragmentation observables can
414 be described by the QCD models using the fragmentation parameters obtained from the fits,
415 the observables z_{hem} and z_{jet} are also measured in two bins in Q^2 ($2 < Q^2 < 10$ and $10 < Q^2 <$
416 100 GeV^2) and in W ($W < 170$ and $W \geq 170 \text{ GeV}$). Correction factors and systematic un-
417 certainties for these samples are determined in the same way as for the nominal samples. The
418 data are compared to the QCD models with parameter settings including higher excited charm
419 states and using the Kartvelishvili fragmentation function. For the low and high Q^2 bins the
420 measured distributions are found to be almost the same and well described by the QCD models.
421 The distribution of z_{jet} is also similar at low and high W . A difference is observed for the z_{hem}
422 distribution, which is softer at high W as shown in figure 7. RAPGAP (and CASCADE) show
423 the same behaviour as a function of W as observed in data. This behaviour can be understood
424 as being partly due to the effect of the requirement $P_T(D^{*\pm}) > 1.5 \text{ GeV}$ at low and high W and
425 due to enhanced gluon radiation at high W .

426 The hemisphere observable allows to investigate the fragmentation of charm close to the
427 kinematic threshold, at the limit of applicability of the concept of fragmentation function. A

428 sample of events is selected with the nominal requirements on the DIS and $D^{*\pm}$ meson phase
 429 space and by requiring that there are no $D^{*\pm}$ jets with an $E_T^* > 3$ GeV in an event. The event
 430 sample thus obtained has no overlap with the nominal sample investigated so far. It has similar
 431 statistics as the nominal event sample. A comparison of this data sample with the predictions
 432 of the QCD models at detector level is shown in figure 8. The sample without a $D^{*\pm}$ jet is
 433 found to be less well described than the nominal sample. The correction factors and systematic
 434 uncertainties for the z_{hem} distribution for this sample are evaluated using the same procedure as
 435 for the nominal data sample. The normalised cross sections and errors are given in table 9 and
 436 are plotted together with the predictions of RAPGAP, showing the $\pm 1\sigma$ total uncertainty around
 437 the fitted value of α in figure 9. The fragmentation parameters are extracted for RAPGAP,
 438 CASCADE and the NLO calculation using the same procedure as for the nominal data sample
 439 and are summarised in table 10. The fragmentation parameters extracted for RAPGAP and
 440 CASCADE are statistically compatible. The NLO calculation as implemented in HVQDIS
 441 fails to describe the data sample without a $D^{*\pm}$ jet. Predictions of RAPGAP with the three
 442 commonly used fragmentation parameter settings for PYTHIA (see table 4), which provide a
 443 reasonable description of the data sample with a hard scale, fail for the sample close to the
 444 kinematic threshold.

445 The fragmentation parameters fitted to the data sample without a $D^{*\pm}$ jet are found to be
 446 significantly different from those for the nominal sample. They indicate that the fragmentation
 447 function for an optimal description of the sample without a $D^{*\pm}$ jet needs to be significantly
 448 harder (see figure 9) than the one for the nominal sample. The influence of several aspects of the
 449 QCD models on the result that different fragmentation parameters are required for the two data
 450 samples is studied. By, for example, tuning parameters of the parton shower, it is not possible
 451 to obtain consistent parameters for the two samples. In order to study the effect of diffrac-
 452 tive charm events on the extracted parameters, diffractive events as generated by RAPGAP are
 453 added to its direct and resolved events. The fraction of diffractive events in the full sample is
 454 adjusted to achieve a good description of the tail of the η_{max} distribution [37]. The effect on the
 455 extracted parameters is found to be negligible. Therefore, the feature of requiring a significantly
 456 different fragmentation parameter for events close to the kinematic threshold can be considered
 457 as an inadequacy of both QCD models and possibly of the simple parametrisations used for the
 458 fragmentation function.

459 8 Conclusions

460 The fragmentation of charm quarks into $D^{*\pm}$ mesons in DIS is studied using the H1 detector
 461 at the HERA collider. The shape-normalised $D^{*\pm}$ meson differential cross sections as a func-
 462 tion of two observables sensitive to fragmentation, the hemisphere observable z_{hem} and the jet
 463 observable z_{jet} , are measured in the visible DIS phase space defined by $2 < Q^2 < 100$ GeV²,
 464 $0.05 < y < 0.7$ and the $D^{*\pm}$ meson phase space $1.5 < P_T(D^{*\pm}) < 15$ GeV, $|\eta(D^{*\pm})| < 1.5$.
 465 An additional $D^{*\pm}$ jet with $E_T^* > 3$ GeV is required to provide a hard scale for the events.

466 The data are compared with predictions of QCD models with three widely used paramete-
 467 rer settings and the Peterson and the Bowler parametrisation for the fragmentation of heavy
 468 flavours obtained from e^+e^- annihilation. They provide a reasonable description of the ep data
 469 presented.

470 The shape-normalised differential cross sections are used to extract parameters for the Kartvel-
471 ishvili and Peterson fragmentation functions within the framework of the QCD models RAP-
472 GAP and CASCADE and the NLO QCD calculation as implemented in HVQDIS. The frag-
473 mentation parameters extracted using the z_{hem} and z_{jet} observables are in good agreement with
474 each other. Both QCD models, RAPGAP and CASCADE, lead to statistically compatible pa-
475 rameters. The value of the Peterson parameter ε extracted for the parameter setting which
476 includes not only $D^{*\pm}$ mesons from direct fragmentation of charm quarks but also from the de-
477 cays of higher excited charm states, is in agreement with the value $\varepsilon = 0.04$ tuned by ALEPH,
478 supporting the hypothesis of fragmentation universality between ep and e^+e^- .

479 The QCD models, with the fragmentation parameters fitted to the data, also provide a good
480 description of the Q^2 and W dependence of the fragmentation observables.

481 The NLO calculation as implemented in HVQDIS with the Kartvelishvili fragmentation
482 function leads to a good fit of the data, while it fails when the Peterson fragmentation function
483 is used.

484 Finally, the hemisphere method is used to study the fragmentation of charm produced close
485 to the kinematic threshold by selecting a sample of events within the visible phase space, but
486 without a $D^{*\pm}$ jet. The description of this sample by the QCD models is not as good as in case
487 of the nominal sample, i.e. with a $D^{*\pm}$ jet in the event. The fragmentation parameters extracted
488 using this sample of events are significantly different from the parameters fitted to the nominal
489 sample, which can be interpreted as an inadequacy of the QCD models and possibly of the
490 simple parametrisations used for the fragmentation function in the phase space region close to
491 kinematic threshold. The NLO calculation implemented in HVQDIS fails to describe the event
492 sample without a $D^{*\pm}$ jet.

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parameter	ALEPH setting	default setting	description
'MSTJ(11)'	3	4	choice of fragmentation function: 3 Peterson fragmentation (for c, b) 4 Bowler fragmentation (for c, b)
'MSTJ(12)'	2	2	baryon model option
'MSTJ(46)'	0	3	parton shower azimuth. corr.
'MSTJ(51)'	0	0	BEC off
'PARJ(1)'	0.108	0.100	P(qq)/P(q)
'PARJ(2)'	0.286	0.300	P(s)/P(u)
'PARJ(3)'	0.690	0.400	P(us)/P(ud)/P(s)/P(d)
'PARJ(4)'	0.050	0.050	(1/3)P(ud_1)/P(ud_0)
'PARJ(11)'	0.553	0.500	P(S=1)d,u
'PARJ(12)'	0.470	0.600	P(S=1)s
'PARJ(13)'	0.650	0.750	P(S=1)c,b
'PARJ(14)'	0.120	0.000	P(S=0,L=1,J=1) AXIAL
'PARJ(15)'	0.040	0.000	P(S=1,L=1,J=0) SCALAR
'PARJ(16)'	0.120	0.000	P(S=0,L=1,J=1) AXIAL
'PARJ(17)'	0.200	0.000	P(S=1,L=1,J=2) TENSOR
'PARJ(19)'	0.550	1.000	extra Baryon Suppression
'PARJ(21)'	0.366	0.360	σ_q
'PARJ(25)'	1.000	1.000	extra η suppression
'PARJ(26)'	0.276	0.400	extra η' suppression
'PARJ(41)'	0.400	0.300	Lund symm. fragm.: a
'PARJ(42)'	0.885	0.580	Lund symm. fragm.: b
'PARJ(54)'	-0.040	-0.050	ε_c
'PARJ(55)'	-0.002	-0.005	ε_b
'PARJ(82)'	1.390	1.000	Q_0
'PARP(72)'	0.295	0.250	Λ for α_s in time-like parton showers

Table 4: PYTHIA parameter settings: shown are three settings, the one from ALEPH [31], the default setting of PYTHIA (version 6.2) [14] with the BOWLER fragmentation function, and the default setting but with the Peterson fragmentation function (differs from the previous one only in the value of MSTJ(11)). The first setting is used for detector corrections, and all three are used for the predictions which in figure 4 are compared with data. Finally, the first and the last settings are used in the procedure to extract optimal fragmentation function parameters.

bin in z_{hem}	normalised cross section	statistical error	uncorrelated systematic error	correlated systematic errors			total error
				positron energy	hadronic scale	beauty	
[0.2-0.4[0.93	0.11	0.02	-0.008	-0.027	-0.020	0.11
[0.4-0.5[1.53	0.13	0.03	-0.014	-0.037	-0.019	0.14
[0.5-0.625[1.80	0.15	0.03	+0.002	-0.032	+0.007	0.15
[0.625-0.75[1.85	0.14	0.03	+0.008	+0.030	+0.019	0.15
[0.75-0.85[1.27	0.11	0.02	+0.008	+0.056	+0.016	0.13
[0.85-1.0]	0.52	0.06	0.01	+0.010	+0.025	+0.007	0.07

Table 5: Normalised $D^{*\pm}$ meson differential cross section as a function of z_{hem} , in the visible phase space described in section 7, including the requirement of a $D^{*\pm}$ jet in the event. The data are normalised to unity in the given range of z_{hem} . All errors are considered to be symmetric in each bin, for correlated systematic errors a relative sign is indicated.

bin in z_{jet}	normalised cross section	statistical error	uncorrelated systematic error	correlated systematic errors			total error
				positron energy	hadronic scale	beauty	
[0.3-0.55[0.61	0.10	0.01	-0.005	-0.029	-0.016	0.10
[0.55-0.7[1.76	0.15	0.03	-0.001	-0.026	+0.004	0.16
[0.7-0.825[2.17	0.18	0.04	+0.009	+0.032	+0.017	0.19
[0.825-0.9[1.47	0.18	0.03	-0.011	+0.043	+0.004	0.19
[0.9-1.0]	2.03	0.17	0.04	+0.012	+0.038	+0.011	0.18

Table 6: Normalised $D^{*\pm}$ meson differential cross section as a function of z_{jet} , in the visible phase space described in section 7, including the requirement of a $D^{*\pm}$ jet in the event. The data are normalised to unity in the given range of z_{jet} . All errors are considered to be symmetric in each bin, for correlated systematic errors a relative sign is indicated.

RAPGAP with PYTHIA		hemisphere observable ($\chi^2/\text{n.d.f.}$)	jet observable ($\chi^2/\text{n.d.f.}$)
parameter settings	fragmentation function		
Aleph	Peterson $\varepsilon = 0.04$	6.0/5	4.3/4
default	Peterson $\varepsilon = 0.05$	6.1/5	6.0/4
default	Bowler $a = 0.3, b = 0.58$	5.6/5	3.5/4

Table 7: The parameter settings used for the RAPGAP predictions and $\chi^2/\text{n.d.f.}$ as calculated from them and the data.

model	α Kartvelishvili ($\chi^2/n.d.f.$)		ε Peterson ($\chi^2/n.d.f.$)	
	hemisphere	jet	hemisphere	jet
PYTHIA default parameter setting:				
RAPGAP	$\alpha = 3.3_{-0.4}^{+0.4}$ (1.9/4)	$\alpha = 3.1_{-0.3}^{+0.3}$ (2.3/3)	$\varepsilon = 0.049_{-0.010}^{+0.012}$ (6.1/4)	$\varepsilon = 0.061_{-0.009}^{+0.011}$ (4.4/3)
CASCADE	$\alpha = 3.5_{-0.4}^{+0.4}$ (2.4/4)	$\alpha = 3.2_{-0.3}^{+0.3}$ (3.5/3)	$\varepsilon = 0.044_{-0.009}^{+0.012}$ (7.1/4)	$\varepsilon = 0.060_{-0.009}^{+0.010}$ (5.0/3)
PYTHIA with ALEPH parameter setting:				
RAPGAP	$\alpha = 4.5_{-0.5}^{+0.6}$ (3.4/4)	$\alpha = 4.3_{-0.4}^{+0.4}$ (3.1/3)	$\varepsilon = 0.029_{-0.005}^{+0.007}$ (4.8/4)	$\varepsilon = 0.035_{-0.006}^{+0.007}$ (4.1/3)
CASCADE	$\alpha = 4.5_{-0.5}^{+0.6}$ (2.6/4)	$\alpha = 4.4_{-0.4}^{+0.4}$ (2.6/3)	$\varepsilon = 0.027_{-0.005}^{+0.007}$ (4.0/4)	$\varepsilon = 0.034_{-0.006}^{+0.007}$ (3.7/3)
fixed-order (NLO) calculation:				
HVQDIS	$\alpha = 3.3_{-0.4}^{+0.4}$ (4.8/4)	$\alpha = 3.8_{-0.3}^{+0.3}$ (5.0/3)	$\varepsilon = 0.070_{-0.013}^{+0.015}$ (19.8/4)	$\varepsilon = 0.034_{-0.004}^{+0.004}$ (24.0/3)

Table 8: Fragmentation function parameters extracted for the QCD models of RAPGAP and CASCADE, with the parameter settings as summarised in table 4, and for the NLO QCD program HVQDIS, using the hemisphere and jet observables in the visible phase space described in section 7, including the requirement of a $D^{*\pm}$ jet in the event.

bin in z_{hem}	normalised cross section	statistical error	uncorrelated systematic error	correlated systematic errors			total error
				positron energy	hadronic scale	beauty	
[0.2-0.4[0.50	0.09	0.01	+0.003	-0.017	-0.007	0.09
[0.4-0.5[0.97	0.12	0.01	+0.004	-0.023	-0.009	0.12
[0.5-0.625[1.44	0.16	0.01	-0.006	-0.026	-0.002	0.16
[0.625-0.75[1.77	0.17	0.02	-0.016	-0.005	+0.005	0.17
[0.75-0.85[2.13	0.15	0.02	+0.008	+0.037	+0.009	0.16
[0.85-1.0]	1.26	0.10	0.01	+0.006	+0.038	+0.006	0.11

Table 9: Normalised $D^{*\pm}$ meson differential cross section as a function of z_{hem} , in the visible phase space described in section 7, but with the requirement that there is no $D^{*\pm}$ jet in the event. The data are normalised to unity in the given range of z_{hem} . All errors are considered to be symmetric in each bin, for correlated systematic errors a relative sign is indicated.

hemisphere observable, events without $D^{*\pm}$ jet		
model	α Kartvelishvili ($\chi^2/n.d.f.$)	ε Peterson ($\chi^2/n.d.f.$)
PYTHIA default parameter setting:		
RAPGAP	$\alpha = 7.6^{+1.3}_{-1.1}$ (6.1/4)	$\varepsilon = 0.010^{+0.003}_{-0.002}$ (4.2/4)
CASCADE	$\alpha = 6.9^{+1.0}_{-0.9}$ (4.3/4)	$\varepsilon = 0.014^{+0.004}_{-0.003}$ (3.1/4)
PYTHIA with ALEPH parameter setting:		
RAPGAP	$\alpha = 10.3^{+1.7}_{-1.6}$ (3.0/4)	$\varepsilon = 0.006^{+0.002}_{-0.002}$ (1.7/4)
CASCADE	$\alpha = 8.2^{+1.2}_{-1.1}$ (5.2/4)	$\varepsilon = 0.011^{+0.003}_{-0.002}$ (4.9/4)
fixed-order (NLO) calculation:		
HVQDIS	$\alpha = 6.0^{+1.0}_{-0.8}$ (44.2/4)	$\varepsilon = 0.007^{+0.001}_{-0.001}$ (42.6/4)

Table 10: Fragmentation function parameters extracted for the QCD models of RAPGAP and CASCADE, with parameter settings as summarised in table 4, and for the NLO QCD program HVQDIS, in the visible phase space described in section 7, but with the requirement that there is no $D^{*\pm}$ jet in the event.

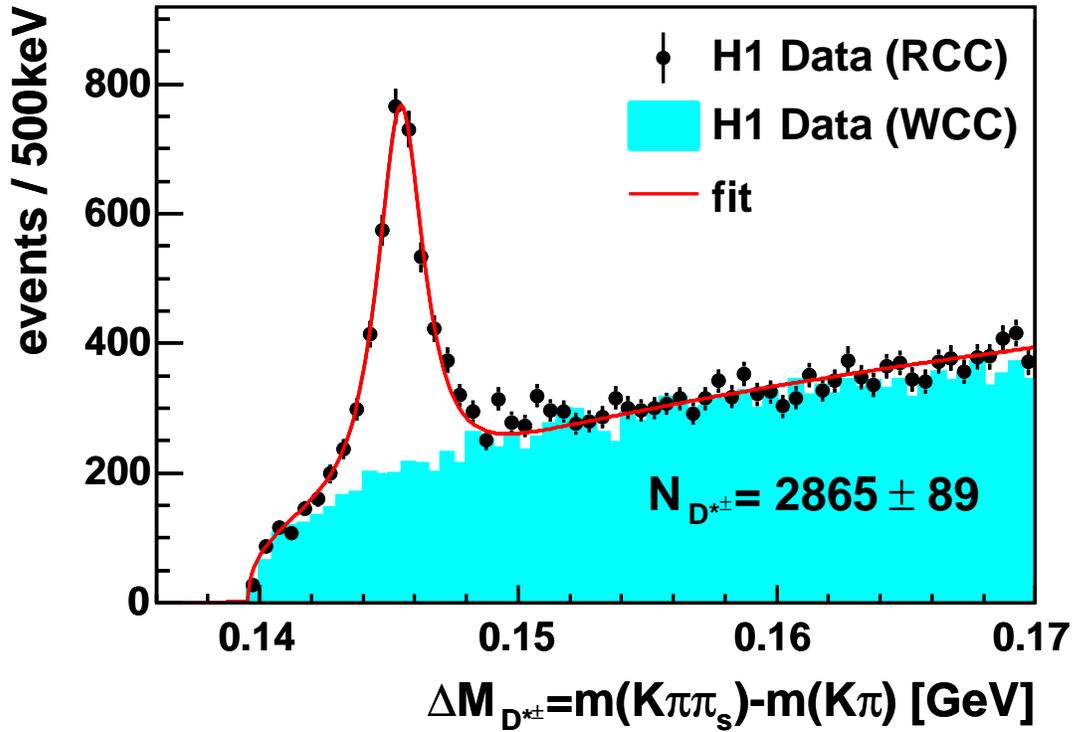


Figure 1: Distributions of $\Delta M_{D^{*\pm}} = m(K\pi\pi_s) - m(K\pi)$ for right charge combinations (RCC) and for wrong charge $K\pi$ combinations (WCC) in the accepted D^0 mass window.

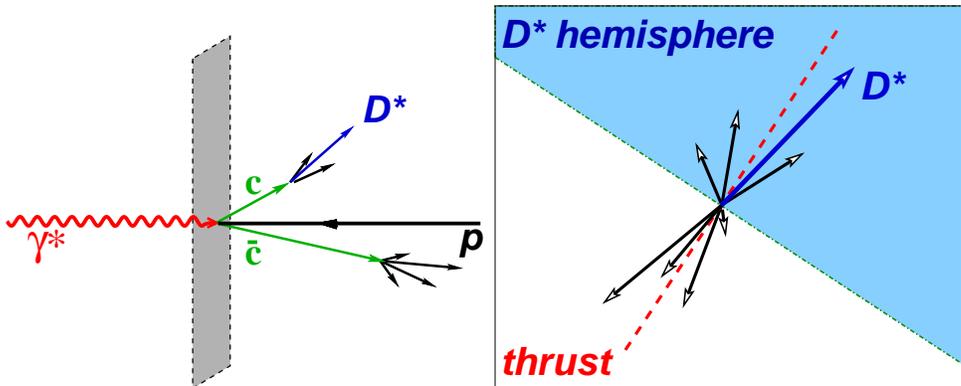


Figure 2: Illustration of the hemisphere method: a $c\bar{c}$ pair in the γ^*p -rest-frame (left) and in a plane perpendicular to the photon momentum (right).

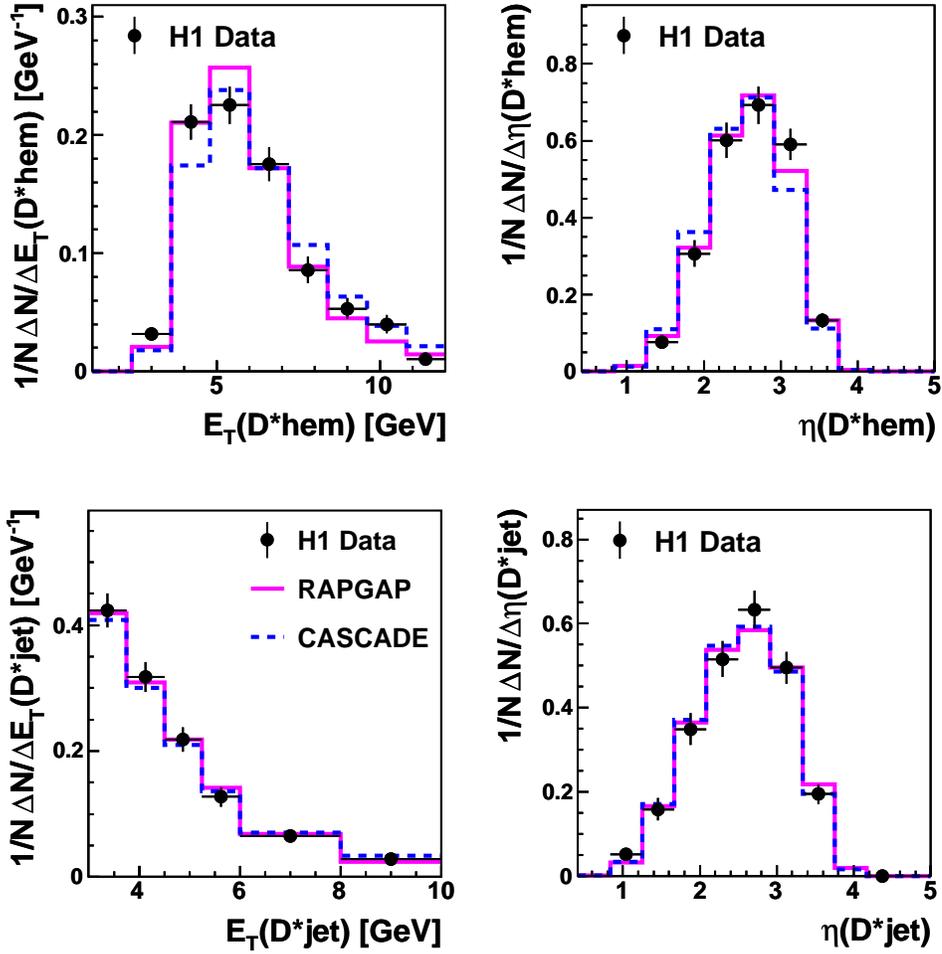


Figure 3: Comparison on detector level between data and Monte Carlo models used to correct the data for detector effects. Shown are E_T^* and η^* of the $D^{*\pm}$ meson hemisphere and E_T^* and η^* of the $D^{*\pm}$ jet. All observables are calculated in the γ^*p -rest-frame.

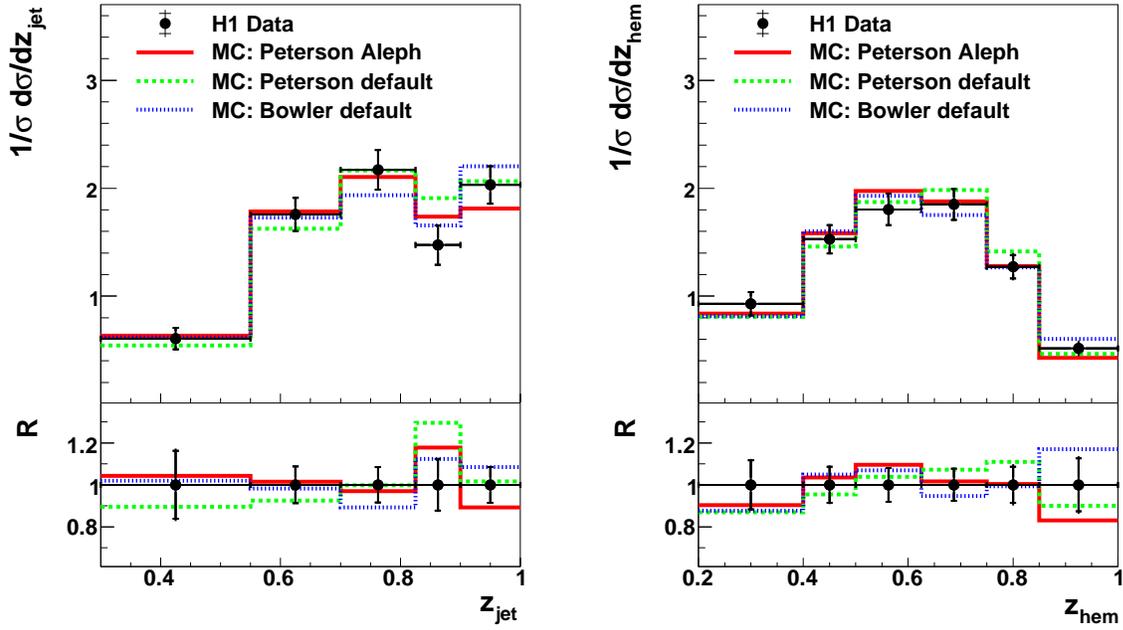


Figure 4: Normalised $D^{*\pm}$ meson cross sections as a function of z_{jet} and z_{hem} (sample of events with a $D^{*\pm}$ jet in the event). The distributions are normalised to unity in the displayed range of z_{jet} and z_{hem} respectively. The data are compared with MC predictions of RAPGAP, using PYTHIA default settings with Peterson or Bowler parametrisations and the ALEPH setting, which includes the production of higher excited charm states (see table 4). The ratio $R = \text{MC}/\text{data}$ is shown as well as the relative statistical uncertainties (inner error bars) and the relative statistical and systematic uncertainties added in quadrature (outer error bars) for the data points at $R = 1$.

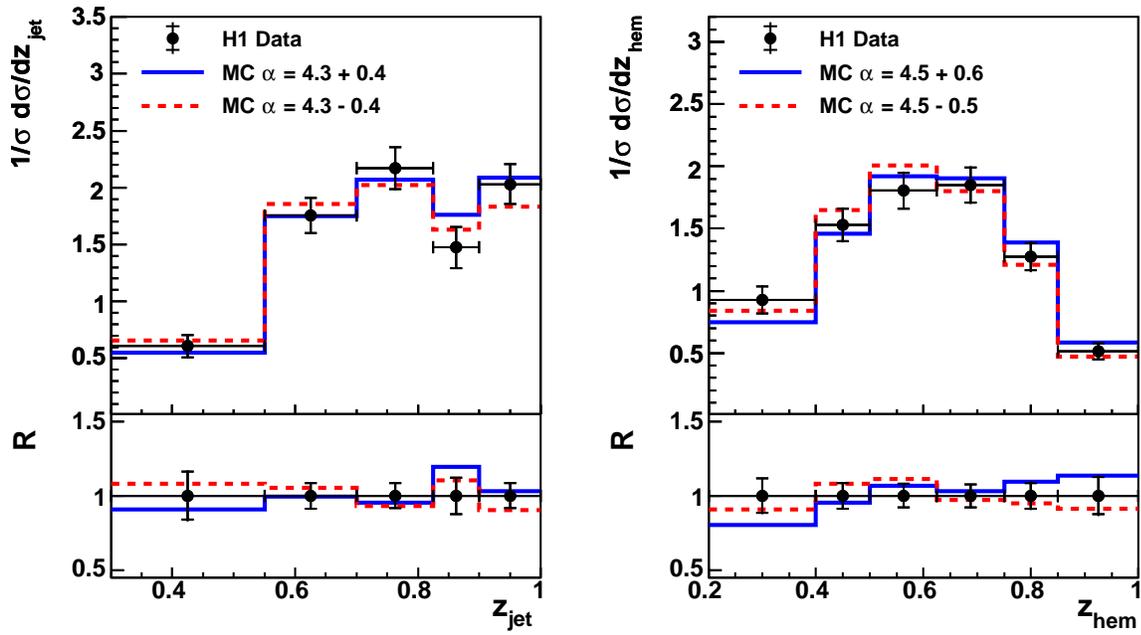


Figure 5: Normalised $D^{*\pm}$ meson cross sections as a function of z_{jet} and z_{hem} (sample of events with a $D^{*\pm}$ jet in the event). They are normalised to unity in the displayed range of z_{jet} and z_{hem} respectively. The same data as in figure 4 are compared with the predictions of the MC program RAPGAP using the ALEPH setting and Kartvelishvili parametrisation. The fragmentation function parameter α is fitted according to the procedure described in section 7. The full and dashed line indicate a variation of the fragmentation parameter by $\pm 1\sigma$ around the best fit value of α . The ratio $R = \text{MC}/\text{data}$ is described in the caption of Fig. 4.

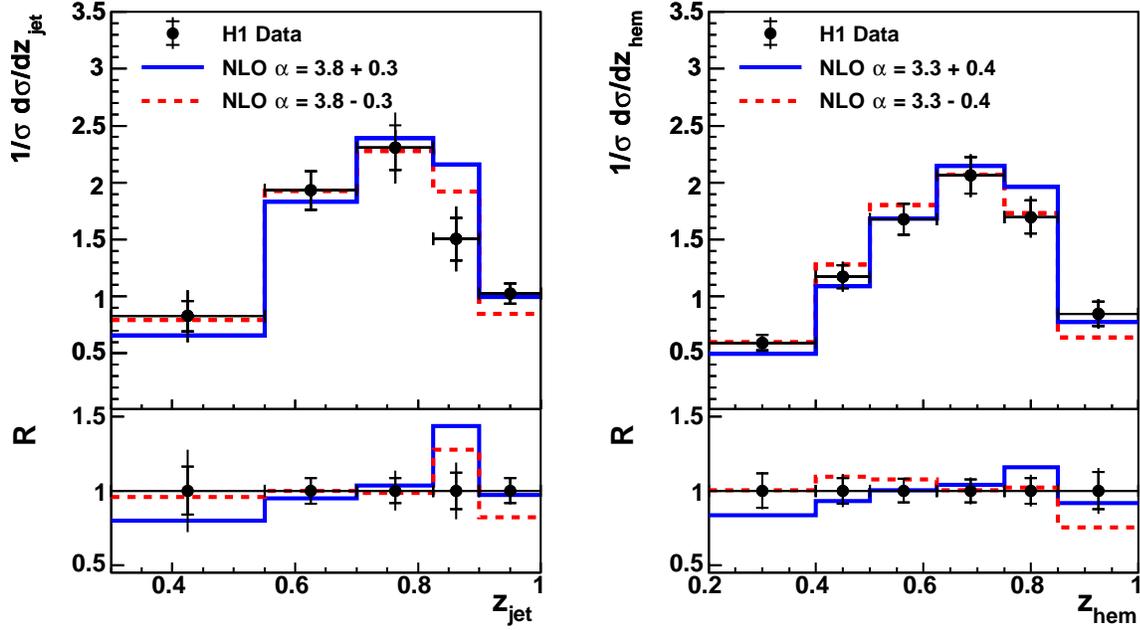


Figure 6: Normalised $D^{*\pm}$ meson cross sections as a function of z_{jet} and z_{hem} (sample of events with a $D^{*\pm}$ jet in the event). The data are corrected for hadronisation effects (see section 5). They are normalised to unity in the displayed range of z_{jet} and z_{hem} respectively. The data are compared with NLO predictions of HVQDIS using the Kartvelishvili parametrisation. The fragmentation function parameter α is fitted according to the procedure described in section 7. The full and dashed line indicate a variation of the fragmentation parameter by $\pm 1\sigma$ around the best fit value of α . The ratio $R = \text{MC}/\text{data}$ is described in the caption of Fig. 4.

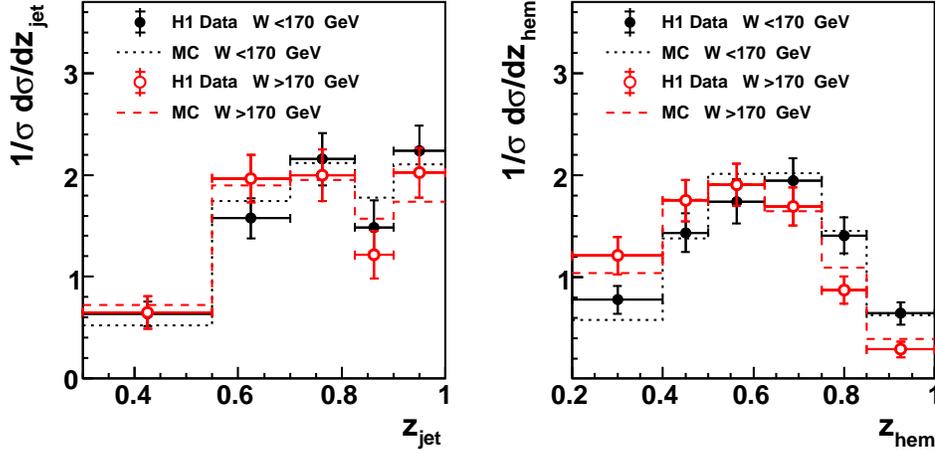


Figure 7: Normalised $D^{*\pm}$ meson cross sections as a function of z_{hem} and z_{jet} for $W < 170$ and $W > 170$ GeV, (sample of events with a $D^{*\pm}$ jet in the event). The cross sections are normalised to unity in the displayed range of z_{jet} and z_{hem} respectively. In addition, the MC predictions of RAPGAP are shown using the ALEPH setting and the optimised fragmentation parameters α for the Kartvelishvili parametrisation as given in table 8 for z_{jet} and z_{hem} .

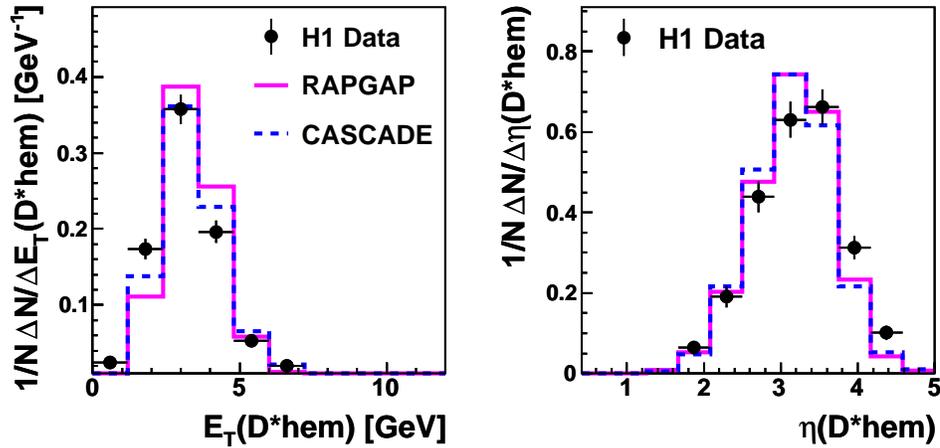


Figure 8: Comparison on detector level between data and Monte Carlo models used to correct the data for detector effects for the sample of events requiring that there is no $D^{*\pm}$ jet in the event (see section 7.2). Shown are E_T^* and η^* of the $D^{*\pm}$ meson hemisphere. All quantities are calculated in the γ^*p -rest-frame.

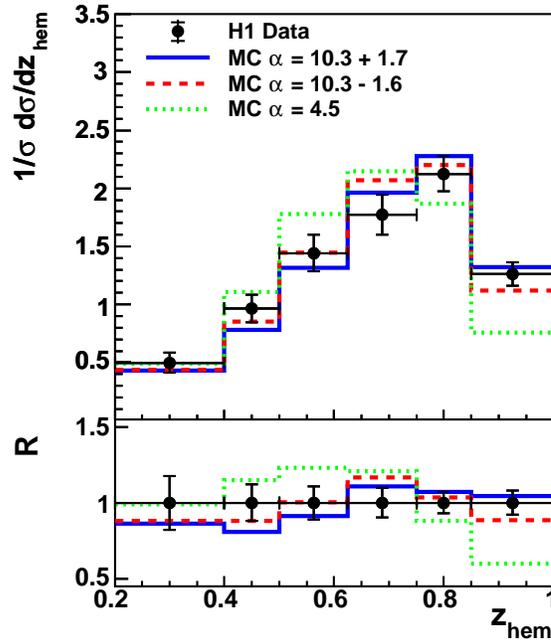


Figure 9: Normalised $D^{*\pm}$ meson cross sections as a function of z_{hem} for the sample of events requiring that there is no $D^{*\pm}$ jet in the event. They are normalised to unity in the displayed range of z_{hem} . The data are compared with MC predictions of RAPGAP using the ALEPH setting and Kartvelishvili fragmentation function. The fragmentation parameter α is fitted according to the procedure described in section 7. The full and dashed line indicate a variation of the fragmentation parameter by $\pm 1\sigma$ around the best fit value of α . The green dashed line shows the prediction of RAPGAP with the fragmentation parameter α extracted from the nominal data sample. The ratio $R = \text{MC}/\text{data}$ is described in the caption of Fig. 4.